

**State of Texas**  
**Department of Information Resources**



**Texas.gov 3.0 Procurement**

**Exhibit 4.2**  
**Financial Responsibility Matrix**

**Texas.gov Payment Service**

**DIR-ESS-TGOV-PMNT-254**

## Meaning of Headings

Item	Description
<b>Personnel</b>	
<b>Salary, Benefits, Add'l Comp, Payroll Taxes</b>	Identifies who pays for Personnel compensation including salary, benefits, bonus, other additional compensation and payroll taxes.
<b>Travel</b>	Identifies who pays for Personnel travel subject to <b>Exhibit 4 Business Model</b> .
<b>Training</b>	Identifies who pays for Personnel training.
<b>Relocation</b>	Identifies who pays for transitioned Personnel relocation expenses.
<b>Inflation/Deflation</b>	Identifies who pays for inflation/deflation that impacts Personnel-related line items.
<b>Increase / Decrease in Staffing</b>	Identifies who pay for staffing increases and if staffing goes down, which Party gets the benefit.
<b>Retention Payments</b>	Identifies who pays Personnel retention payments if applicable.
<b>EUC Devices</b>	Identifies who pays for Laptop, Desktop, Printers, Mobile Phones, etc.
<b>Background Checks</b>	Identifies who pays for background checks.
<b>Office Space</b>	Identifies who pays for employees office space.
<b>Charging Mechanism</b>	Identifies the charging mechanism based on the following: 1. SP Charges - Included in the SP Charges and cannot be billed separately or incrementally. - Refer to <b>Exhibit 4</b> . 2. Pass-Through Expenses - Refer to <b>Exhibit 4</b> . 3. TX - DIR or Customer expense with no payment to SP. SP has no financial responsibility for Third Party Vendor payment.
<b>Equipment</b>	
<b>Current Equipment Ownership</b>	Unless otherwise specified, this applies to all Equipment used to provide the Services. Identifies who paid for Equipment that existed prior to Service Proposal Effective Date and is used to provide the Services.
<b>Equipment Ownership at Refresh</b>	Identifies who pays for upgrading and/or replacing Equipment during the Service Proposal Term.
<b>Refresh Cycle</b>	Identifies the maximum number of years before Equipment is refreshed.
<b>Ownership of Equipment for Upgrades / Enhancements</b>	Identifies who pays if Equipment needs to be upgraded or enhanced.
<b>Ownership of Equipment Required to Support Growth</b>	Identifies who pays if additional Equipment is required as the result of growth.
<b>Maintenance</b>	Identifies who pays for Equipment break/fix and preventative maintenance.
<b>Removal and Disposal</b>	Identifies who is responsible for removing the Equipment from the environment. DIR receives any net benefit after disposal of state-owned Equipment. SP receives any benefit after disposal for all other SP-owned Equipment.
<b>Charging Mechanism</b>	Identifies the charging mechanism based on the following: 1. SP Charges - Included in the SP Charges and cannot be billed separately or incrementally. - Refer to <b>Exhibit 4</b> . 2. Pass-Through Expenses - Refer to <b>Exhibit 4</b> . 3. TX - DIR or Customer expense with no payment to SP. SP has no financial responsibility for Third Party Vendor payment.

## Meaning of Headings

Item	Description
<b>Network Transport</b>	Unless otherwise specified, this applies to all transport used to provide the Services.
<b>Transport Capacity</b>	Identifies who pays for network transport capacity used to provide the Services.
<b>Transport Capacity Growth</b>	Identifies who pays if more network transport capacity is required.
<b>Charging Mechanism</b>	Identifies the charging mechanism based on the following: 1. SP Charges - Included in the SP Charges and cannot be billed separately or incrementally. - Refer to <b>Exhibit 4</b> . 2. Pass-Through Expenses - Refer to <b>Exhibit 4</b> . 3. TX - DIR or Customer expense with no payment to SP. SP has no financial responsibility for Third Party Vendor payment.
<b>Software</b>	Unless otherwise specified, this applies to all Software used to provide the Services.
<b>Current Licenses / Maint. / Lease</b>	Identifies who paid for Software licenses, maintenance fees and Software leases that existed prior to Service Proposal Effective Date and are used to provide the Services.
<b>Future Licenses / Maint. / Lease</b>	Identifies who pays for future licenses / maintenance fees / leases during the Service Proposal Term?
<b>Software Currency</b>	Identifies the version of Software as designated by DIR as current. See <b>Exhibit 1.1 Definitions</b> for definition of N/N-1. Financial responsibility determined in accordance with Software Releases/Upgrades/Enhancements.
<b>Releases / Upgrades</b>	Identifies who pays for Software upgrades. Financial responsibility includes N-1 to N upgrades where required, based upon Application requirements.
<b>Software Required to Support Growth</b>	Identifies who pays if new Software or additional licenses are required due to growth.
<b>Charging Mechanism</b>	Identifies the charging mechanism based on the following: 1. SP Charges - Included in the SP Charges and cannot be billed separately or incrementally. - Refer to <b>Exhibit 4</b> . 2. Pass-Through Expenses - Refer to <b>Exhibit 4</b> . 3. TX - DIR or Customer expense with no payment to SP. SP has no financial responsibility for Third Party Vendor payment.
<b>Facilities</b>	
<b>Current Assets</b>	Identifies who pays for the current Facilities (building leases, HVAC, common services, etc.) that are used to provide the Services.
<b>Refresh</b>	Identify who pays for the upgrading and/or replacing of Equipment during the Term.
<b>Upgrades / Enhancements</b>	If upgrades or enhancements are needed for the Facility, identifies who pays for it.
<b>Growth</b>	If more space is needed, identifies who pays for the additional space.
<b>Charging Mechanism</b>	Identifies the charging mechanism based on the following: 1. SP Charges - Included in the SP Charges and cannot be billed separately or incrementally. - Refer to <b>Exhibit 4</b> . 2. Pass-Through Expenses - Refer to <b>Exhibit 4</b> . 3. TX - DIR or Customer expense with no payment to SP. SP has no financial responsibility for Third Party Vendor payment.

## Meaning of Headings

Item	Description
<b>Cross Functional</b>	
<b>Current Ownership</b>	Identifies who paid for the item that existed prior to Service Proposal Effective Date and is used to provide the Services.
<b>Ownership at Refresh</b>	Identifies who pays for upgrading and/or replacing the item during the Service Proposal Term.
<b>Refresh Cycle</b>	Identifies the maximum number of years before the item is refreshed.
<b>Ownership for Upgrades / Enhancements</b>	Identifies who pays if the item needs to be upgraded or enhanced.
<b>Ownership Required to Support Growth</b>	Identifies who pays if additional growth is required.
<b>Maintenance</b>	Identifies who pays for break/fix and preventative maintenance.
<b>Operations and Support</b>	Identifies who provides the Services to support the software and assets including configuration, restarting, operating, and troubleshooting.
<b>Disaster Recovery</b>	Identifies who pays for disaster recovery equipment, software, and services.
<b>Charging Mechanism</b>	Identifies the charging mechanism based on the following: 1. SP Charges - Included in the SP Charges and cannot be billed separately or incrementally. - Refer to <b>Exhibit 4</b> . 2. Pass-Through Expenses - Refer to <b>Exhibit 4</b> . 3. TX - DIR or Customer expense with no payment to SP. SP has no financial responsibility for Third Party Vendor payment.

Personnel											
All Service Types	Salary, Benefits, Add'l Comp, Payroll Taxes	Travel	Training	Relocation	Inflation / Deflation	Increase / Decrease in Staffing	Retention Payments	EUC Devices	Background Checks	Office Space	Charging Mechanism
Third-Party Service Contracts (1) Service Provider Staff	SCP SCP	SCP SCP	SCP SCP	SCP SCP	SCP SCP	SCP SCP	SCP SCP	SCP SCP	SCP SCP	SCP SCP	SCP Charges SCP Charges
Customer Employees	TX	TX	TX	TX	TX	TX	TX	TX	TX	TX	TX

N/A = Not Applicable

TX = DIR or Customer

SCP = All Texas.gov Service Component Providers

GOV = Texas.gov Services Service Component Provider

PMT = Texas.gov Payment Service Component Provider

MSI = Multisourcing Service Integrator

MSI Charges = Included in MSI Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4.

SCP Charges = Included in SCP Charges

GOV Charges = Included in Texas.gov Services Service Component Provider Charges

PMT Charges = Included in Payment Services Service Component Provider Charges

Pass-Through = MSI/SCP invoices DIR as a Pass-through

**Note 1:** Third-Party Service Contracts are contracts that include a labor-based component not included in the Incumbant staffing levels

<b>Equipment</b>								
	<b>Current Equipment Ownership</b>	<b>Equipment Ownership at Refresh</b>	<b>Refresh Cycle (2)</b>	<b>Ownership of Equipment for Upgrades / Enhancements</b>	<b>Ownership of Equipment Required to Support Growth</b>	<b>Equipment Maintenance</b>	<b>Removal and Disposal</b>	<b>Charging Mechanism</b>
<b>All Service Types</b>								
<b>Equipment used to provide services (SCP provided) (1)</b>								
<b>Texas.gov infrastructure compute, storage, and backup</b>	SCP	SCP	N-1	SCP	SCP	SCP	SCP	SCP Charges
	SVR	SVR	5 years	SVR	SVR	SVR	SVR	SRV Charges
<b>SCP personnel equipment - desktops, laptops, etc.</b>	SCP	SCP	N-1	SCP	SCP	SCP	SCP	SCP Charges
<b>Point of Sale - PCI Compliant Device from PMT (5)</b>	TX	TX	EOL (3)	TX	TX	N/A	N/A	PMT Charges
<b>DPS Device Management (VIC)</b>	TX	GOV (4)	EOL (3)	GOV	GOV	GOV	GOV	GOV Charges
<b>Equipment (Customer provided)</b>	TX	TX	N-1	TX	TX	TX	TX	TX

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SVR = DCS Server Component Provider

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PMT Charges = Included in PMT Charges

SCP Charges = Included in SCP Charges

SVR Charges = Included in SVR Charges

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**Note 1:** Excludes equipment provided by MSI or DCS SCPs.

**Note 2:** N-1 version must still be supported by third party vendor and not End Of Life.

**Note 3:** Equipment replaced on an as needed basis at end of life. There is no recurring refresh schedule.

**Note 4:** The Texas.gov SCP procures new equipment on behalf of DIR and is compensated through the monthly unit rate Charge.

**Note 5:** Billed as a one time, optional charge. No ongoing support other than warranty from manufacturer.

<b>Network Transport</b>			
<b>All Service Types</b>	<b>Transport Capacity</b>	<b>Transport Capacity Growth</b>	<b>Charging Mechanism</b>
<b>Transport - Data:</b>			
<b>between TX Sites and TX network cloud (MPLS)</b>	TX	TX	TX
<b>between TX Sites</b>	TX	TX	TX
<b>between TX network cloud and SCP network cloud (terminating at approved USA SCP Site location)</b>	TX	TX	TX
<b>between TX network cloud and public network cloud</b>	TX	TX	TX
<b>between SCP Sites and SCP network cloud (demarcation point)</b>	SCP	SCP	SCP Charges
<b>between SCP Sites and SCP network cloud (VPN)</b>	SCP	SCP	SCP Charges
<b>between SCP network cloud and public network cloud</b>	SCP	SCP	SCP Charges
<b>between SCP Sites</b>	SCP	SCP	SCP Charges
<b>DPS Device Management Connectivity (1)</b>	TX	TX	TX
<b>between VIC sites and SCP network cloud (demarcation point) (2)</b>	N/A	N/A	N/A

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(1) VIC dial up termination services

(2) Connectivity provided by VIC Customers - dial up line and/or internet based

Software						
All Service Types	Current Licenses / Maint. / Lease	Future Licenses / Maint. / Lease	Software Currency (2)	Releases / Upgrades	Software Required to Support Growth	Charging Mechanism
Application Software	GOV	GOV	n / n-1	GOV	GOV	GOV Charges
Database Software	SVR	SVR	n / n-1	SVR	SVR	SVR Charges
			n / n-1			
Middleware Software	SVR	SVR		SVR	SVR	SVR Charges
			n / n-1			
Release Management Software	GOV	GOV		GOV	GOV	GOV Charges
Payment Processing Services Software	PMT	PMT	n / n-1	PMT	PMT	PMT Charges
License Access / Transfer fees	SCP	SCP	N/A	SCP	SCP	SCP Charges

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SVR Charges = Included in SVR Charges

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**Note 1:** Excludes software provided by MSI.

**Note 2:** N-1 version must still be supported by third party vendor and not End Of Life.



<b>Facilities</b>					
<b>All Service Types</b>	<b>Current Assets</b>	<b>Refresh</b>	<b>Upgrades / Enhancements</b>	<b>Growth</b>	<b>Charging Mechanism</b>
<b>DIR Facilities</b>					
<b>Environmental (e.g., HVAC, PDUs)</b>	TX	TX	TX	TX	TX
<b>UPS</b>	TX	TX	TX	TX	TX
<b>Space Upgrades, Additions, Fit out</b>	TX	TX	TX	TX	TX
<b>Furniture &amp; Fixtures</b>	TX	TX	TX	TX	TX
<b>Wiring</b>	TX	TX	TX	TX	TX
<b>Building Depreciation / Lease</b>	TX	TX	TX	TX	TX
<b>Office Equipment</b>	TX	TX	TX	TX	TX
<b>Building Maintenance / Security</b>	TX	TX	TX	TX	TX
<b>Utilities</b>	TX	TX	TX	TX	TX
<b>SCP Sites</b>					
<b>Environmental (e.g., HVAC, PDUs)</b>	SCP	SCP	SCP	SCP	SCP Charges
<b>UPS</b>	SCP	SCP	SCP	SCP	SCP Charges
<b>Space Upgrades, Additions, Fit out</b>	SCP	SCP	SCP	SCP	SCP Charges
<b>Furniture &amp; Fixtures</b>	SCP	SCP	SCP	SCP	SCP Charges
<b>Wiring</b>	SCP	SCP	SCP	SCP	SCP Charges
<b>Building Depreciation / Lease</b>	SCP	SCP	SCP	SCP	SCP Charges
<b>Office Equipment</b>	SCP	SCP	SCP	SCP	SCP Charges
<b>Building Maintenance / Security</b>	SCP	SCP	SCP	SCP	SCP Charges
<b>Utilities</b>	SCP	SCP	SCP	SCP	SCP Charges

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<b>Cross Functional</b>									
	<b>Current Ownership</b>	<b>Ownership at Refresh</b>	<b>Refresh Cycle (1)</b>	<b>Ownership for Upgrades / Enhancements</b>	<b>Ownership Required to Support Growth</b>	<b>Maintenance</b>	<b>Operations and Support</b>	<b>Disaster Recovery (2)</b>	<b>Charging Mechanism</b>
<b>All Service Types</b>									
<b>Cross-Functional General:</b>									
<b>Security Assessment</b>	MSI	MSI	N/A	MSI	MSI	MSI	MSI	MSI	MSI Charges
<b>Asset Inventory and Mgmt Software</b>	MSI	MSI	n / n-1	MSI	MSI	MSI	MSI	MSI	MSI Charges
<b>Third Party Service Contracts</b>	SCP	SCP	n / n-1	SCP	SCP	SCP	SCP	SCP	SCP Charges
	N/A	SCP	n / n-1	SCP	SCP	SCP	SCP	SCP	SCP Charges
<b>Cross Functional Equipment part of SCP solution</b>									SCP Charges
<b>Software:</b>									
<b>Service Management Systems</b>	MSI	MSI	n / n-1	MSI	MSI	MSI	MSI	MSI	MSI Charges
<b>PC Software for Personnel</b>	SCP	SCP	n / n-1	SCP	SCP	SCP	SCP	SCP	SCP Charges
	N/A	SCP	n / n-1	SCP	SCP	SCP	SCP	SCP	SCP Charges
<b>Cross Functional Equipment part of SCP solution</b>									SCP Charges

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**Note 1:** N-1 version must still be supported by third party vendor and not End Of Life.

**Note 2:** Includes Disaster Planning and implementation and provision of Disaster Recovery and Crisis Management Services. The cost for all DR Services are included in the Fixed and