



**Attachment to Managed Application Services
Service Component Provider
Master Services Agreement
DIR Contract No. DIR-MAS-SCP-RCR-001**

Between

**The State of Texas, acting by and through
the Texas Department of Information Resources**

and

Allied Consultants, Inc.

Attachment 4-B

Financial Responsibility Matrix

January 30, 2017

Meaning of Headings

Item	Description
Personnel	
Salary, Benefits, Add'l Comp	Identifies who pays for Personnel compensation including salary, benefits, bonus, other additional compensation and payroll taxes.
Travel	Identifies who pays for Personnel travel subject to Exhibit 4.
Training	Identifies who pays for Personnel training.
Relocation	Identifies who pays for transitioned Personnel relocation expenses.
Inflation/Deflation	Identifies who pays for inflation/deflation that impacts Personnel-related line items.
Increase / Decrease in Staffing	Identifies who pay for staffing increases and if staffing goes down, which Party gets the benefit.
Severance	Identifies who pays Personnel severance costs.
Retention Payments	Identifies why pays Personnel retention payments if applicable.
End User Computing Devices	Identifies who pays for Laptop, Desktop, Printers, Mobile Phones, etc.
Background Checks	Identifies who pays for background checks as described in Attachment 17-A
Office Space	Identifies who pays for employees office space.
Charging Mechanism	Identifies the charging mechanism based on the following: 1. SP Rates - Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resource and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4. 2. Pass-Through Expenses - Refer to Exhibit 4. 3. TX - DIR or STC Customer contract with no payment to SP. SP has no financial responsibility for Third Party Vendor payment.
Equipment	
Unless otherwise specified, this applies to all Equipment used to provide the Services.	
Current Equipment Ownership	Identifies who paid for Equipment that existed prior to Service Proposal Effective Date and is used to provide the Services.
Equipment Ownership at Refresh	Identifies who pays for upgrading and/or replacing Equipment during the Service Proposal Term.
Refresh Cycle	Identifies the maximum number of years before Equipment is refreshed.
Ownership of Equipment for Upgrades / Enhancements	Identifies who pays if Equipment needs to be upgraded or enhanced.
Ownership of Equipment Required to Support Growth	Identifies who pays if additional Equipment is required as the result of growth.
Charging Mechanism	Identifies the charging mechanism based on the following: 1. SP Rates - Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4. 2. Pass-Through Expenses - Refer to Exhibit 4. 3. TX - DIR or STC Customer contract with no payment to SP. SP has no financial responsibility for Third Party Vendor payment.
Equipment Maintenance	Identifies who pays for Equipment break/fix and preventative maintenance.
Charging Mechanism	Identifies the charging mechanism based on the following: 1. SP Rates - Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources, and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4. 2. Pass-Through Expenses - Refer to Exhibit 4. 3. TX - DIR or STC Customer contract with no payment to SP. SP has no financial responsibility for Third Party Vendor payment.
Network Transport	
Unless otherwise specified, this applies to all transport used to provide the Services.	
Current Transport Capacity	Identifies who paid for network transport capacity that existed prior to Service Proposal Effective Date and is used to provide the Services.
Transport Capacity Growth	Identifies who pays if more network transport capacity is required.
Charging Mechanism	Identifies the charging mechanism based on the following: 1. SP Rates - Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources, and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4. 2. Pass-Through Expenses - Refer to Exhibit 4. 3. TX - DIR or STC Customer contract with no payment to SP. SP has no financial responsibility for Third Party Vendor payment.
Software	
Unless otherwise specified, this applies to all Software used to provide the Services.	
Current Licenses / Maint. / Lease	Identifies who paid for Software licenses, maintenance fees and Software leases that existed prior to Service Proposal Effective Date and are used to provide the Services.
Future Licenses / Maint. / Lease	Identifies who pays for future licenses / maintenance fees / leases during the Service Proposal Term?
Software Currency	Identifies the version of Software as designated by DIR and DCS as current. See Exhibit 1 for definition of N/N-1. Financial responsibility determined in accordance with Software Releases/Upgrades/Enhancements.
Releases / Upgrades	Identifies who pays for Software upgrades. Financial responsibility includes N-1 to N upgrades where required, based upon Application requirements.
Software Required to Support Growth	Identifies who pays if new Software or additional licenses are required due to growth.
Charging Mechanism	Identifies the charging mechanism based on the following: 1. SP Rates - Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources, and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4. 2. Pass-Through Expenses - Refer to Exhibit 4. 3. TX - DIR or STC Customer contract with no payment to SP. SP has no financial responsibility for Third Party Vendor payment.
Facilities	
Current Assets	Identifies who pays for the current Facilities (building leases, HVAC, common services, etc.) that are used to provide the Services?
Refresh	Identify who pays for the upgrading and/or replacing of Equipment during the Term.
Upgrades / Enhancements	If upgrades or enhancements are needed for the Facility, identifies who pays for it.
Growth	If more space is needed, identifies who pays for the additional space.
Charging Mechanism	Identifies the charging mechanism based on the following: 1. SP Rates - Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources, and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4. 2. Pass-Through Expenses - Refer to Exhibit 4. 3. TX - DIR or STC Customer contract with no payment to SP. SP has no financial responsibility for Third Party Vendor payment.
Cross Functional	
Current Ownership	Identifies who paid for the item that existed prior to Service Proposal Effective Date and is used to provide the Services.
Ownership at Refresh	Identifies who pays for upgrading and/or replacing the item during the Service Proposal Term.
Refresh Cycle	Identifies the maximum number of years before the item is refreshed.
Ownership for Upgrades / Enhancements	Identifies who pays if the item needs to be upgraded or enhanced.
Ownership Required to Support Growth	Identifies who pays if additional growth is required.
Charging Mechanism	Identifies the charging mechanism based on the following: 1. SP Rates - Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources, and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4. 2. Pass-Through Expenses - Refer to Exhibit 4. 3. TX - DIR or STC Customer contract with no payment to SP. SP has no financial responsibility for Third Party Vendor payment. 4. SP - MSI Rates Are invoiced through MSI Charges
Maintenance	Identifies who pays for break/fix and preventative maintenance.
Charging Mechanism	Identifies the charging mechanism based on the following: 1. SP Rates - Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources, and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4. 2. Pass-Through Expenses - Refer to Exhibit 4. 3. TX - DIR or STC Customer contract with no payment to SP. SP has no financial responsibility for Third Party Vendor payment. 4. SP - MSI Rates Are invoiced through MSI Charges

Personnel

All Service Types	Salary, Benefits, Add'l Comp, Payroll Taxes	Travel	Training	Relocation	Inflation / Deflation	Increase / Decrease in Staffing	Severance	Retention Payments	End User Computing Devices (2)	Background Checks	Office Space	Charging Mechanism
Third-Party Service Contracts (1)	SP	SP	SP	SP	SP	SP	SP	SP	SP	SP	SP	SP Rates
SP Staff	SP	SP	SP	SP	SP	SP	SP	SP	SP	SP	SP	SP Rates
STC Customer Employees	TX	TX	TX	TX	TX	TX	TX	TX	TX	TX	TX	TX

N/A = Not Applicable

SP = MAS Service Provider

TX = DIR or STC Customer

SP Rates =Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources Charges, and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4.

Note 1: Third-Party Service Contracts are contracts that include a labor-based component not included in the TX staffing levels

Note 2: End User Computing (EUC) devices includes PCs, laptops, printers, mobile phones, pagers, and any other tool required for Personnel to provide services.

Equipment	Equipment Costs					
	Current Equipment Ownership	Equipment Ownership at Refresh	Refresh Cycle	Ownership of Equipment for Upgrades / Enhancements	Ownership of Equipment Required to Support Growth	Charging Mechanism
All Service Types						
Equipment used to provide the Services (1)	SP	SP	5 years	SP	SP	SP Rates
Desktops/Laptops - SP Personnel	SP	SP	3 years	SP	SP	SP Rates

Maintenance Contract Expenses	
Equipment Maintenance	Charging Mechanism
SP	SP Rates
SP	SP Rates

N/A = Not Applicable

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TX = DIR or STC Customer

SP Rates =Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources Charges, and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4.

Note 1: Excludes equipment provided by DCS vendors.

Network Transport			
All Service Types	Current Transport Capacity	Transport Capacity Growth	Charging Mechanism
Transport - Data:			
between TX Sites and TX network cloud	TX	TX	TX
between TX Sites	TX	TX	TX
between TX network cloud and SP network cloud (terminating at approved USA SP Site location)	SP	SP	SP Rates
between TX network cloud and public network cloud	TX	TX	TX
between TX Sites and SP 3rd Party Disaster Recovery Provider	SP	SP	SP Rates
between SP Sites and SP network cloud (demarcation point)	SP	SP	SP Rates
between SP network cloud and public network cloud	SP	SP	SP Rates
between SP Sites	SP	SP	SP Rates
between SP Sites and Third-Party Disaster Recovery Provider	SP	SP	SP Rates

N/A = Not Applicable

SP = MAS Service Provider

TX = DIR or STC Customer

SP Rates =Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources Charges, and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4.

Software						
All Service Types	Current Licenses / Maint. / Lease	Future Licenses / Maint. / Lease	Software Currency	Releases / Upgrades	Software Required to Support Growth	Charging Mechanism
Development Tools (Servers)	TX	SP	n / n-1	SP	SP	SP Rates
Development, Unit & Integration Testing Software (Servers)	TX	SP	n / n-1	SP	SP	SP Rates
Application Software	TX	TX	n / n-1	TX	TX	TX
Database Software	TX	TX	n / n-1	TX	TX	TX
Release Management Software	TX	TX	n / n-1	TX	TX	TX
License Access / Transfer fees	SP	SP	n / n-1	SP	SP	SP Rates

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SP Rates =Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources Charges, and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4.

Facilities					
All Service Types	Current Assets	Refresh	Upgrades / Enhancements	Growth	Charging Mechanism
TX Facilities including DCS Consolidated Data Centers					
Environmental (e.g., HVAC, PDUs)	TX	TX	TX	TX	TX
UPS	TX	TX	TX	TX	TX
Space Upgrades, Additions, Fit out	TX	TX	TX	TX	TX
Furniture & Fixtures	TX	TX	TX	TX	TX
Wiring	TX	TX	TX	TX	TX
Building Depreciation / Lease	TX	TX	TX	TX	TX
Office Equipment	TX	TX	TX	TX	TX
Building Maintenance / Security	TX	TX	TX	TX	TX
Utilities	TX	TX	TX	TX	TX
SP Facilities					
Environmental (e.g., HVAC, PDUs)	SP	SP	SP	SP	SP Rates
UPS	SP	SP	SP	SP	SP Rates
Space Upgrades, Additions, Fit out	SP	SP	SP	SP	SP Rates
Furniture & Fixtures	SP	SP	SP	SP	SP Rates
Wiring	SP	SP	SP	SP	SP Rates
Building Depreciation / Lease	SP	SP	SP	SP	SP Rates
Office Equipment	SP	SP	SP	SP	SP Rates
Building Maintenance / Security	SP	SP	SP	SP	SP Rates
Utilities	SP	SP	SP	SP	SP Rates

N/A = Not Applicable

SP = MAS Service Provider

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SP Rates =Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources Charges, and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4.

Cross Functional	Ownership Costs						Maintenance Contract Expenses	
	Current Ownership	Ownership at Refresh	Refresh Cycle	Ownership for Upgrades / Enhancements	Ownership Required to Support Growth	Charging Mechanism	Maintenance	Charging Mechanism
All Service Types								
Cross-Functional General:								
Security Assessment	SP - MSI	SP - MSI	N/A	SP - MSI	SP - MSI	SP - MSI Rates	SP - MSI	SP - MSI Rates
Asset Inventory and Mgmt Software	SP - MSI	SP - MSI	N/A	SP - MSI	SP - MSI	SP - MSI Rates	SP - MSI	SP - MSI Rates
SP Third Party Service Contracts	SP	SP	N/A	SP	SP	SP Rates	SP	SP Rates
Cross Functional Equipment as Part of MAS SP Solution	SP	SP	3 years	SP	SP	SP Rates	SP	SP Rates
Software:								
Service Management Systems	SP - MSI	SP - MSI	n / n-1	SP - MSI	SP - MSI	SP - MSI Rates	SP - MSI	SP - MSI Rates
PC Software for Personnel	SP	SP	n / n-1	SP	SP	SP Rates	SP	SP Rates
Cross-Functional Software included in Service Proposal	N/A	SP	n / n-1	SP	SP	SP Rates	SP	SP Rates

N/A = Not Applicable

SP = MAS Service Provider

SP - MSI = Multisourcing Service Integrator

TX = DIR or STC Customer

SP Rates =Included in Application Development Services (ADS), Application Maintenance Services (ASM), Rate Card Resources Charges, and SaaS Administrator Charges and cannot be billed separately or incrementally. - Refer to Exhibit 4.

SP - MSI Rates = Are invoiced through MSI Charges