



**Texas Department of Information Resources**  
Transforming How Texas Government Serves Texans

# **Legislative Appropriations Request For Fiscal Years 2022 and 2023**

Submitted to the  
Governor's Office of Budget, Planning and Policy  
and the Legislative Budget Board

**October 9, 2020**

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**1.A Administrator's Statement**  
**87th Regular Session**  
**313 Department of Information Resources**

**Background**

The mission of the Texas Department of Information Resources (DIR) is to serve Texas government by leading the state's technology strategy, protecting state technology infrastructure, and offering innovative and cost-effective solutions for all levels of government.

DIR was created in 1989 and enabled in Texas Government Code (Gov't Code) Chapter 2054—the Information Resources Management Act. Other relevant chapters implementing DIR programs include Gov't Code chapters 2055, 2059, 2157, 2170, and 2262. As the information technology (IT) landscape has changed since its creation, DIR has adapted and gained additional responsibilities because it has proven itself as a leader and innovator in the IT arena.

Over time, the scope of DIR's responsibilities has expanded from primarily a strategic and operational technology planning organization to now protecting the state's data and critical technology infrastructure, managing a multi-billion dollar cooperative contracts program, and providing strategic technology leadership, solutions, and innovation to all levels of Texas government. This includes Texas.gov, network security operations center and security services, telecommunications services, a technology sourcing office, Shared Technology Services (formerly Data Center Services), and the Open Data Portal. Over the last few years, one of the biggest issues facing Texas has been cybersecurity and the protection of Texans' private data. DIR is constantly working with federal, state, and local partners to improve the state's cybersecurity posture, protect Texas from those that would want to do her harm, and assist entities to recover after they have been impacted by a cybersecurity incident. Included in this LAR are two exceptional items to help further address the cybersecurity needs of the state.

While DIR understands the current financial situation facing state leadership, it is imperative that DIR put forward this roadmap to strengthen cybersecurity at all levels of state government.

1. The first exceptional item establishes a pilot program for one regional Security Operations Center (SOC), with the aim of eventually expanding to as many as twelve SOCs throughout Texas as funding becomes available in the future. The SOC would be located at a to-be-determined university and would allow local governments experiencing a major cybersecurity incident timely access to “boots on the ground” to help with the response necessary to restore service to Texans. In addition, the SOC would provide network security infrastructure that regional governments can utilize to improve their cybersecurity posture while leveraging advanced technologies that the state can provide through greater economies of scale.
2. The second exceptional item provides Endpoint Detection and Response (EDR) technology on all state-issued computers, laptops, servers, and other endpoints to protect them from cybersecurity threats. In analyzing prior ransomware incidents, EDR tools have clearly demonstrated their effectiveness in preventing or blocking ransomware events. This is next-generation software to defend against evolving cyber threats, and can save the state significant real and reputational costs in preventing and limiting future events.

DIR has continued its mission of delivering technology solutions to Texas state agencies, institutions of higher education, school districts, and local governments in a rapidly changing environment due to the COVID-19 pandemic. DIR’s response to COVID-19 relies on the years of strategic IT planning that DIR has placed at the heart of its mission. DIR has assisted a multitude of agencies’ successful transitions to remote work through the procurement of computers, mobile devices, software, and other remote work technologies. DIR expanded the internet access and compute capacity of partner agencies. DIR also assisted in application development and provided consultation on numerous topics, including the secure use of web-based video conferencing, expedited procurement solutions, leveraging the cloud to create scalability, and modernization of IT systems that has allowed frontline agencies to respond to this event. DIR and its industry partners continue to work with organizations across Texas to meet the demands of a COVID-19 environment and will continue to do so as long as the need persists.

DIR management and staff reviewed the Governor’s statewide objectives, DIR’s statutory obligations and customer needs, and considered the agency’s strengths and challenges. As a result, the following core goals were established to support DIR’s mission:

1. Expand cybersecurity services, increase awareness, and improve the strength of cybersecurity programs.
  - Finalize an Information Sharing Analysis Organization (ISAO).
  - Implement a real-time forensics tool for Shared Technology Services (STS) security incident management.
  - Develop Identity as a Service (IDaaS) through the STS program.
  - Improve security posture through outreach and education.
2. Increase timely, cost-effective, and customer-oriented access to technology services and solutions.
  - Expand Texas.gov services through the mobile application, "Texas by Texas (TxT)."
  - Improve and redesign DIR's website.
  - Optimize bandwidth for the state data network.
  - Procure and implement new telecommunications contracts and services.
  - Implement a digital document management system.
3. Accelerate digital transformation by providing innovative and agile solutions.
  - Use STS offerings to address IT legacy modernization.
  - Increase access to DIR services and resources through self-service solutions.
  - Implement artificial intelligence (AI) and digital transformation opportunities in DIR processes.
  - Offer digitization solutions through the Cooperative Contracts Program, STS, and Telecommunications roadmaps.
  - Expand agile methodologies into IT project management, procurement methods, and application development.
  - Provide guidance for adopting digital capabilities.
4. Improve data governance and increase the adoption of data management best practices.
  - Develop data governance strategy and implementation plan for DIR.
  - Ensure that Texans' data remains private and secure through strong data governance.
  - Expand data training based on the key principles in the Texas Data Management Framework.
  - Increase use of the Open Data Portal (ODP) to leverage data for transparency.
  - Encourage sharing within and between organizations through the Closed Data Portal (CDP).
  - Continue outreach efforts to expand the knowledge of the ODP/CDP value and capabilities.

5. Optimize information technology procurement and contracting practices.
  - Align procedures to further streamline and automate DIR procurement and contract management processes.
  - Establish procurement and contracting processes and document best practices.
  - Formalize, document, and publish approved updated practices and policies.
  - Develop vendor management strategy/approach for each vendor/contract segment.
  - Develop and deliver continuing education modules for DIR customers specific to IT procurement and contracting.

**Governance**

DIR is governed by a ten-member board appointed by the Governor. Three members, representing state agencies, serve as ex officio members. The following individuals are currently members of DIR’s Board:

BOARD MEMBER	TERM ENDS	HOMETOWN
Ben Gatzke, Chair	February 1, 2023	Fort Worth
Christian Alvarado	February 1, 2021	Austin
Mike Bell	February 1, 2023	Spring
Stuart A. Bernstein	February 1, 2021	Austin
Stacey Napier	February 1, 2025	Austin
Jeffrey Tayon	February 1, 2021	Houston
Kara Thompson	February 1, 2025	Austin
Ricardo Blanco, ex officio	February 1, 2021	Austin
Anh Selissen, ex officio	February 1, 2021	Austin
Nancy Clark, ex officio	February 1, 2021	Austin

### **Factors Impacting Appropriations Request**

The Fiscal Years (FY) 2022-2023 Legislative Appropriations Request provides the required funding to operate the following core services provided to state agencies and other governmental entities, and to the people of Texas:

- Information Security.
- Texas.gov, the state's official e-government web portal.
- Communications Technology Services.
- Technology Sourcing Office.
- Data Center Services.
- Technology Modernization, Planning, and Policy.
- Data Governance.

The primary driver for DIR's FY 2022-2023 appropriations request is an overriding objective of improving the quality and cost effectiveness of DIR services to state agencies, cities, counties, K-12 entities, and institutions of higher education.

### **Supplemental Information**

- DIR is currently appropriated General Revenue Funds only for Multi-Factor Authentication, Cybersecurity Assessments, and Penetration Tests for state agencies and institutions of higher education. As a cost-recovery agency, DIR is otherwise funded by Other Funds Collected Revenue appropriations. DIR's expenditures are directly related to the level of services consumed by our customers. However, as a cost-recovery agency, DIR annually evaluates its operations and fees and will, as in past years, lower fees charged to customers as we identify opportunities to do so.

### **DIR Authority to Conduct Background Checks**

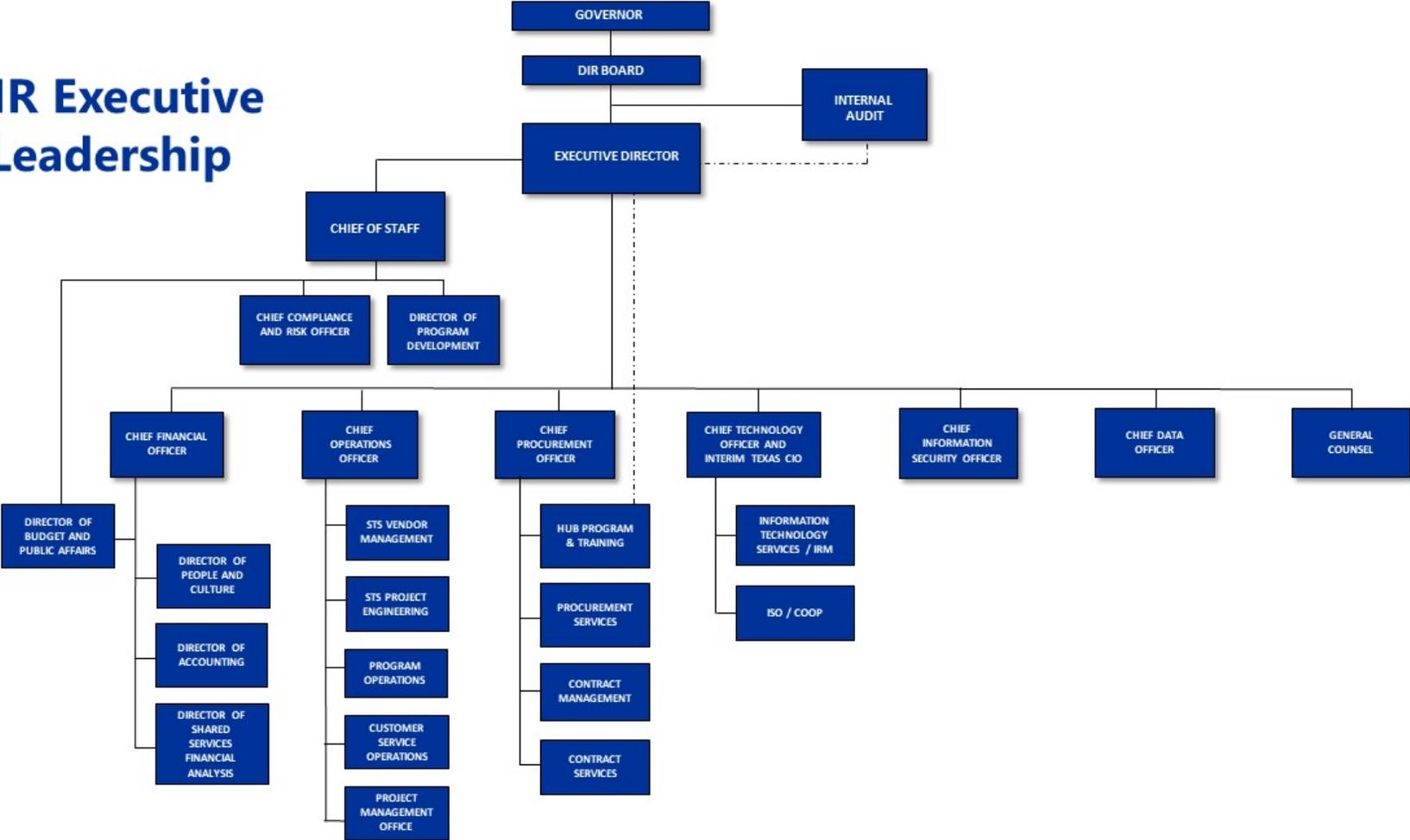
DIR conducts criminal history checks as authorized by section 411.1405 of the Gov't Code. This statute allows DIR to conduct criminal history checks on employees, volunteers, and interns who have access to information resources or information resources technologies, other than a desktop computer or telephone station assigned to that person. DIR conducts checks on this referenced group upon the hire date and subsequently on a yearly basis.

In those cases where the information resources or information resources technologies of DIR or other government entities are accessed through DIR information resources or information resources technologies, DIR may also have criminal history record checks performed on a regular and ongoing basis on its contractors' employees, volunteers, and interns, as well as the applicants for those same positions.

Beginning in March 2010, DIR conducted criminal history checks on all employees as authorized by section 411.1405 of the Gov't Code. Since that date, criminal history checks are conducted on all new hires.

We appreciate your consideration of DIR's FY 2022-2023 Legislative Appropriation Request and look forward to working with the Governor's Office, the Legislative Budget Board, and the 87th Legislature to continue the transformation of technology initiatives in the State of Texas.

# DIR Executive Leadership



### **Governing Board**

DIR is an executive agency governed by a 10-member board composed of seven voting members and three non-voting ex officio members. The Governor, with the advice and consent of the Senate, appoints the voting members to serve staggered six-year terms. One appointed member must be employed by an institution of higher education, as defined by section 61.003 of the Education Code. In 2013, the Legislature added new responsibilities to the DIR Board, including additional required board member training, board approval of all administrative fees, board approval of major contracts and contract amendments, and additional board oversight in setting a strategic direction for the agency.

### **Executive Director's Office**

The executive director of DIR provides overall leadership and direction to the agency, oversees daily operations. The executive director is responsible for ensuring the overall accomplishment of agency goals and objectives.

### **Office of the Chief of Staff**

The Chief of Staff provides strategic counsel to the executive director and helps manage the day-to-day operations of the agency. The Program Development Office, Public Affairs Office, and Chief Compliance and Risk Officer all directly report to the Chief of Staff.

### **Internal Audit**

The Internal Audit Division provides independent audit reviews for the agency including objective analysis, information, and recommendations for management action. The division also performs an annual risk assessment and develops an audit plan for board approval. During the year, the division monitors agency activities and performs scheduled audits, board-requested projects, and investigations. The Chief Audit Executive serves as liaison between DIR and external auditors, and reports to DIR's governing board.

### **General Counsel's Office**

The General Counsel's Office provides legal counsel and advises the board and executive director. The office also provides general legal support for DIR staff functions. For all DIR program areas, the General Counsel's Office: drafts, negotiates, reviews, and interprets contracts and other agreements; supports the procurement process; coordinates litigation with the Office of the Attorney General; coordinates the rulemaking process; handles matters related to the Public Information and Open Meetings Acts; and handles legal matters related to human resources and ethics compliance.

### **Office of the Chief Information Security Officer**

The Office of the Chief Information Security Officer (OCISO) manages the statewide security program and coordinates statewide cybersecurity efforts. Division programs include the statewide Cybersecurity Council, security services, Texas Information Sharing and Analysis Organization (ISAO), policy and assurance, risk management, and education and training. To protect state information and technology assets, the office delivers vulnerability assessment services to state agencies, develops statewide security policies and best practices, maintains a 24/7 security alert system, and promotes security awareness through cybersecurity training.

### **Chief Operations Office**

The Chief Operations Officer (COO) leads the technology operations that serve state leadership, state agencies, educational institutions (higher education and K–12), and local governments. The following program operations are the responsibility of the COO: Shared Technology Services, Texas.gov, and Communications Technology Services. To support growing demand for new services and provide increased value for DIR customers, the COO organization is organized by program functions to include Vendor Management, Project Engineering, Program Operations, Customer Service Operations, and Project Management.

### **Chief Procurement Office**

The Chief Procurement Officer (CPO) is responsible for procurement and contracting of shared technology services (e.g., Data Center Services, Texas.gov, Managed Security Services, Open Data Portal), telecommunication services, and cooperative contracts, along with procurement and contracting associated with DIR's own use.

DIR's Procurement Services, Contract Management, Contract Services, and the Historically Underutilized Businesses (HUB) Program and Training departments all report to the CPO.

### **Chief Technology Officer**

The Chief Technology Officer (CTO) is primarily responsible for providing comprehensive strategic planning for the agency. The CTO oversees DIR's IT leadership in planning and policy, enterprise solution services, enterprise strategic outsourcing, and enterprise business development. The following functions report directly to the CTO: Technology Planning, Policy, and Governance; Enterprise Solution Services; Digital Project Services; and Information Technology Services.

### **Chief Data Officer**

Through leadership and collaboration, the Office of the Chief Data Officer establishes the statewide data management strategic direction and best practices for our community of state agency and institutions of higher education customers. The focus of the program addresses five key areas associated with the development of a data management program, including: Data Management Practices, Data Sharing, Data Analytics, the Texas Open Data Portal, and Data Literacy.

### **Chief Financial Office**

The Chief Financial Officer is responsible for supporting DIR's mission achievement through informed financial strategy, timely and accurate financial reporting, strategic human capital management, and continuous improvement of business processes. The office provides agency management and the DIR Board with reliable financial information and analysis and ensures compliance with finance-related laws and regulations. As DIR is a cost-recovery agency, the office, with board approval, sets administrative fees that recover DIR operating expenses while ensuring that fund balances are effectively managed.

### **DIR Services and Programs:**

#### **Cooperative Contracts**

The DIR Cooperative Contracts program is a streamlined cooperative purchasing program with over 750+ Master Contracts for technology products and services. These contracts include a broad range of offerings for hardware, software, staffing services, maintenance, and numerous other services. Eligible customers include all public entities within Texas, as well as other public entities outside the state. The volume of customers utilizing this program allows even the smallest entities to leverage the full purchasing power of Texas, without the need for a lengthy procurement process. All DIR contracts comply with all state purchasing laws and requirements.

#### **Communications Technology Services (CTS)**

The CTS program provides a secure statewide network for data, voice, video, and Internet for use by more than 900 customers including: state leadership, state agencies, educational institutions, and local government. The CTS program also provides the telephone system for the Capitol Complex. Staff focus on ensuring stable, secure, and reliable network operations while providing individualized customer service. Although state agencies are required to use CTS services, education and local government customers voluntarily leverage DIR enterprise contracts for low-priced, high-value telecommunications services.

**Shared Technology Services** – This program includes, but is not limited to, Data Center Services, Texas.gov, and the Open Data Portal.

**Data Center Services (DCS)**

The DCS program provides fully managed and semi-managed server, mainframe, bulk print/mail, managed application services, and managed security services. Fully managed services allows DIR and its industry partners to work together to provide all the hardware, software, tools, and technical staff to fully support IT infrastructure for its customers. These services include disaster recovery, backup, monitoring, security, storage, production control, data center network, architecture design, capacity management, operating system support, hardware refresh, facilities, application development and maintenance, and security. Semi-managed services enable customers to select specific services, including cloud-computing services, tailored to their IT and business needs. Data center services are available to all Texas state agencies, colleges, universities, and local governments.

**Texas.gov**

DIR administers Texas.gov, which is the online portal for the state of Texas. The portal provides a single, secure structure for state agencies and other governmental customers to conduct business over the Internet in the most cost-effective manner. For its applications, Texas.gov offers development, maintenance, operations services, digital and cloud transformation services, and marketing services.

**Open Data Portal**

The Texas Open Data Portal (ODP) was created in 2014 and designated as the official central repository of publicly accessible electronic data for the state in 2019. Because data published on the ODP is intended for public consumption, only publicly available data is made available. This excludes personal identifiable information and other regulated data. As the administrator of the ODP, DIR regulates the access controls and sets user permissions; however, each agency is responsible for ensuring the data submitted is able to be released to the public. This allows governmental entities to save significant time and resources used to respond to public information requests by directing requestors to the ODP, while still being open and transparent to the people of Texas.

## Management Positions/FTEs Supervised

TITLE	FTEs
Executive Director	2.0
Chief of Staff	6.0
Chief Data Officer	3.0
Chief Financial Officer	29.0
Chief Information Security Officer	12.0
Chief Operations Officer	78.0
Chief Procurement Officer	42.0
Chief Technology Officer	30.0
General Counsel's Office	5.0
Internal Audit Director	3.0



## CERTIFICATE

### Department of Information Resources

This is to certify that the information contained in the agency Legislative Appropriation Request filed with the Legislative Budget Board (LBB) and the Governor's Office Budget Division (Governor's Office) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Governor's Office will be notified in writing in accordance with Article IX, Section 7.01 (2020-21 GAA).

**Chief Executive Officer**

Handwritten signature of Amanda Crawford in cursive script.

**Signature**

Amanda Crawford  
Executive Director

10-9-2020

**Date**

**Board Chair**

Handwritten signature of Ben Gatzke in cursive script.

**Signature**

Ben Gatzke  
Board Chair

10-09-2020

**Date**

**Chief Financial Officer**

Handwritten signature of Nick Villalpando in cursive script.

**Signature**

Nick Villalpando  
Chief Financial Officer

10/9/20

**Date**

**Budget Overview - Biennial Amounts**  
**87th Regular Session, Agency Submission, Version 1**  
Automated Budget and Evaluation System of Texas (ABEST)

313 Department of Information Resources  
Appropriation Years: 2022-23

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS	
	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2022-23	
	<hr/>											
<b>Goal: 1. Promote Statewide IR Policies &amp; Innovative, Productive, &amp; Eff Info Sys</b>												
1.1.1. Statewide Planning And Rules							2,264,668	2,880,938	2,264,668	2,880,938		
1.1.2. Innovation And Modernization							1,932,694	1,779,789	1,932,694	1,779,789		
<b>Total, Goal</b>							<b>4,197,362</b>	<b>4,660,727</b>	<b>4,197,362</b>	<b>4,660,727</b>		
<b>Goal: 2. Manage the Cost Effective Delivery of IT Commodities &amp; Shared Services</b>												
2.1.1. Contract Admin Of It Comm & Svcs							7,844,744	8,609,267	7,844,744	8,609,267		
2.2.1. Shared Technology Services							585,177,591	652,973,250	585,177,591	652,973,250		
2.3.1. Texas.Gov							86,263,266	87,090,303	86,263,266	87,090,303		
2.4.1. Communications Technology Services							176,341,488	187,522,285	176,341,488	187,522,285		
<b>Total, Goal</b>							<b>855,627,089</b>	<b>936,195,105</b>	<b>855,627,089</b>	<b>936,195,105</b>		
<b>Goal: 3. Promote Efficient Security</b>												
3.1.1. Security Policy And Awareness							2,102,929	2,338,402	2,102,929	2,338,402		
3.1.2. Security Services	10,604,992	10,604,993			621,519	808,876	15,822,665	18,828,549	27,049,176	30,242,418	45,129,622	
<b>Total, Goal</b>	<b>10,604,992</b>	<b>10,604,993</b>			<b>621,519</b>	<b>808,876</b>	<b>17,925,594</b>	<b>21,166,951</b>	<b>29,152,105</b>	<b>32,580,820</b>	<b>45,129,622</b>	
<b>Goal: 4. Indirect Administration</b>												
4.1.1. Central Administration							5,309,416	5,669,448	5,309,416	5,669,448		
4.1.2. Information Resources							5,658,906	6,122,116	5,658,906	6,122,116		
4.1.3. Other Support Services							826,155	1,218,352	826,155	1,218,352		
<b>Total, Goal</b>							<b>11,794,477</b>	<b>13,009,916</b>	<b>11,794,477</b>	<b>13,009,916</b>		
<b>Total, Agency</b>	<b>10,604,992</b>	<b>10,604,993</b>			<b>621,519</b>	<b>808,876</b>	<b>889,544,522</b>	<b>975,032,699</b>	<b>900,771,033</b>	<b>986,446,568</b>	<b>45,129,622</b>	
<b>Total FTEs</b>									<b>205.0</b>	<b>211.0</b>	<b>15.0</b>	

313 Department of Information Resources

Goal / Objective / STRATEGY	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
<b>1</b> Promote Statewide IR Policies & Innovative, Productive, & Eff Info Sys					
<b>1</b> Enhance Statewide Enterprise Management of Information Resources					
<b>1 STATEWIDE PLANNING AND RULES</b>	1,006,268	1,000,311	1,264,357	1,490,780	1,390,158
<b>2 INNOVATION AND MODERNIZATION</b>	601,575	1,170,074	762,620	885,916	893,873
<b>TOTAL, GOAL 1</b>	<b>\$1,607,843</b>	<b>\$2,170,385</b>	<b>\$2,026,977</b>	<b>\$2,376,696</b>	<b>\$2,284,031</b>
<b>2</b> Manage the Cost Effective Delivery of IT Commodities & Shared Services					
<b>1</b> Improve Agencies' Acquisition and Use of Information Technology					
<b>1 CONTRACT ADMIN OF IT COMM &amp; SVCS</b>	3,880,371	3,506,416	4,338,328	4,523,701	4,085,566
<b>2</b> Provide Consolidated/Shared IT Services					
<b>1 SHARED TECHNOLOGY SERVICES</b>	270,687,898	294,790,053	290,387,538	328,867,147	324,106,103
<b>3</b> State Electronic Internet Portal					
<b>1 TEXAS.GOV</b>	35,818,741	43,451,914	42,811,352	43,596,230	43,494,073
<b>4</b> Communications Technology Services					

**313 Department of Information Resources**

<b>Goal / Objective / STRATEGY</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b>1 COMMUNICATIONS TECHNOLOGY SERVICES</b>	79,741,247	92,195,174	84,146,314	93,275,416	94,246,869
<b>TOTAL, GOAL 2</b>	<b>\$390,128,257</b>	<b>\$433,943,557</b>	<b>\$421,683,532</b>	<b>\$470,262,494</b>	<b>\$465,932,611</b>
<b>3 Promote Efficient Security</b>					
<b>1 Promote Efficient Security</b>					
<b>1 SECURITY POLICY AND AWARENESS</b>	973,553	975,572	1,127,357	1,169,201	1,169,201
<b>2 SECURITY SERVICES</b>	10,382,162	9,987,188	17,061,988	14,540,009	15,702,409
<b>TOTAL, GOAL 3</b>	<b>\$11,355,715</b>	<b>\$10,962,760</b>	<b>\$18,189,345</b>	<b>\$15,709,210</b>	<b>\$16,871,610</b>
<b>4 Indirect Administration</b>					
<b>1 Indirect Administration</b>					
<b>1 CENTRAL ADMINISTRATION</b>	2,508,209	2,746,489	2,562,927	2,832,825	2,836,623
<b>2 INFORMATION RESOURCES</b>	2,328,973	2,725,300	2,933,606	3,127,433	2,994,683
<b>3 OTHER SUPPORT SERVICES</b>	443,392	241,066	585,089	609,176	609,176

**2.A. Summary of Base Request by Strategy**

10/10/2020 1:11:22AM

87th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

**313 Department of Information Resources**

<b>Goal / Objective / STRATEGY</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b>TOTAL, GOAL                    4</b>	<b>\$5,280,574</b>	<b>\$5,712,855</b>	<b>\$6,081,622</b>	<b>\$6,569,434</b>	<b>\$6,440,482</b>
<b>TOTAL, AGENCY STRATEGY REQUEST</b>	<b>\$408,372,389</b>	<b>\$452,789,557</b>	<b>\$447,981,476</b>	<b>\$494,917,834</b>	<b>\$491,528,734</b>
<b>TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*</b>				<b>\$0</b>	<b>\$0</b>
<b>GRAND TOTAL, AGENCY REQUEST</b>	<b>\$408,372,389</b>	<b>\$452,789,557</b>	<b>\$447,981,476</b>	<b>\$494,917,834</b>	<b>\$491,528,734</b>

2.A. Summary of Base Request by Strategy

87th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

313 Department of Information Resources

Goal / Objective / STRATEGY	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
<u>METHOD OF FINANCING:</u>					
<b>General Revenue Funds:</b>					
1 General Revenue Fund	2,029,738	2,478,528	8,126,464	5,302,497	5,302,496
<b>SUBTOTAL</b>	<b>\$2,029,738</b>	<b>\$2,478,528</b>	<b>\$8,126,464</b>	<b>\$5,302,497</b>	<b>\$5,302,496</b>
<b>Federal Funds:</b>					
555 Federal Funds	172,235	218,081	403,438	404,438	404,438
<b>SUBTOTAL</b>	<b>\$172,235</b>	<b>\$218,081</b>	<b>\$403,438</b>	<b>\$404,438</b>	<b>\$404,438</b>
<b>Other Funds:</b>					
8122 DIR Clearing Fund Account - AR	12,650,414	11,854,085	12,599,233	13,588,024	13,139,004
8123 Telecommunications Revolving - AR	24,848,918	22,424,242	25,531,625	27,990,943	29,132,316
8125 Telecommunications Revolving - IAC	61,799,937	75,978,974	66,129,939	73,016,949	73,840,943
8126 Statewide Technology Account - IAC	271,062,121	294,966,033	290,916,802	329,411,017	324,619,673
8127 State Technology Acct-Appt Receipts	307,037	903,153	900,000	1,000,000	1,000,000
8143 Statewide Network Apps Acct - AR	34,043,337	40,699,858	43,373,975	44,203,966	44,089,864
8144 Statewide Network Apps Acct - IAC	1,458,652	3,266,603	0	0	0
<b>SUBTOTAL</b>	<b>\$406,170,416</b>	<b>\$450,092,948</b>	<b>\$439,451,574</b>	<b>\$489,210,899</b>	<b>\$485,821,800</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$408,372,389</b>	<b>\$452,789,557</b>	<b>\$447,981,476</b>	<b>\$494,917,834</b>	<b>\$491,528,734</b>

\*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance  
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Agency code: **313** Agency name: **Department of Information Resources**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
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**GENERAL REVENUE**

**1** General Revenue Fund

*REGULAR APPROPRIATIONS*

Regular Appropriations from MOF Table (2020-21 GAA)

	\$0	\$7,081,575	\$4,081,575	\$5,302,497	\$5,302,496
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*RIDER APPROPRIATION*

Art IX, Sec 18.44, Contingency for Senate Bill 1910

	\$200,000	\$0	\$0	\$0	\$0
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Art IX, Sec 18.50, Contingency for House Bill 8

	\$1,500,000	\$0	\$0	\$0	\$0
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Art IX, Sec 18.50, Contingency for House Bill 8 Unexpended Budget From 2018

	\$329,738	\$0	\$0	\$0	\$0
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*UNEXPENDED BALANCES AUTHORITY*

DIR Rider 13 (2020-21 GAA) Estimated 2020 Unexpended Balance

	\$0	\$(4,603,047)	\$4,603,047	\$0	\$0
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**Comments:** The UB is predominantly comprised of revenue not yet spent on the Multifactor Authentication initiative.

2.B. Summary of Base Request by Method of Finance

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METHOD OF FINANCING		Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
<b><u>GENERAL REVENUE</u></b>						
<i>BASE ADJUSTMENT</i>						
5% reduction (2020-2021 biennium)						
		\$0	\$0	\$(558,158)	\$0	\$0
<b>TOTAL,</b>	<b>General Revenue Fund</b>	<b>\$2,029,738</b>	<b>\$2,478,528</b>	<b>\$8,126,464</b>	<b>\$5,302,497</b>	<b>\$5,302,496</b>
<b>TOTAL, ALL</b>	<b>GENERAL REVENUE</b>	<b>\$2,029,738</b>	<b>\$2,478,528</b>	<b>\$8,126,464</b>	<b>\$5,302,497</b>	<b>\$5,302,496</b>
<b><u>FEDERAL FUNDS</u></b>						
<b>555</b> Federal Funds						
<i>RIDER APPROPRIATION</i>						
Art IX, Sec. 13.06. Reimbursements from Federal Funds						
		\$172,235	\$218,081	\$403,438	\$404,438	\$404,438
<b>Comments:</b> 097.067.000. - Homeland Security Grant Sub-recipient award through the Office Of the Governor. Eligible expenditures paid from Clearing Fund - AR are reimbursed quarterly. 2021 reflects the anticipated budget, based on our request.						
<b>TOTAL,</b>	<b>Federal Funds</b>	<b>\$172,235</b>	<b>\$218,081</b>	<b>\$403,438</b>	<b>\$404,438</b>	<b>\$404,438</b>
<b>TOTAL, ALL</b>	<b>FEDERAL FUNDS</b>	<b>\$172,235</b>	<b>\$218,081</b>	<b>\$403,438</b>	<b>\$404,438</b>	<b>\$404,438</b>

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<b>METHOD OF FINANCING</b>		<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b><u>OTHER FUNDS</u></b>						
<b><u>8122</u> DIR Clearing Fund Account - AR</b>						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2020-21 GAA)						
		\$0	\$14,009,523	\$12,844,621	\$13,588,024	\$13,139,004
Regular Appropriations from MOF Table (2018-19 GAA)						
		\$12,384,235	\$0	\$0	\$0	\$0
<i>RIDER APPROPRIATION</i>						
DIR Rider 3 (2018-19 GAA) 2018 Unexpended Balance (Authority Only)						
		\$235,959	\$0	\$0	\$0	\$0
<b>Comments:</b> Available spending authority not supported by allowable retained cash revenue.						
DIR Rider 3 (2018-19 GAA) 2018 Unexpended Balance (Actual cash and authority)						
		\$1,080,099	\$0	\$0	\$0	\$0
<b>Comments:</b> Fund Balance at 09/01/18 as compared to \$1,080,339 reported 11/29/19.						
DIR Rider 3 (2018-19 GAA) Actual 2019 Unexpended Balance (Cash and authority).						
		\$(997,772)	\$574,877	\$0	\$0	\$0

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<b>METHOD OF FINANCING</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b><u>OTHER FUNDS</u></b>					
<b>Comments:</b> Transfer out has been updated from \$1,005,032 reported 11/26/19. The 2020 transfer in has been reduced by the UB estimate of \$422,895 included in regular appropriations.					
DIR Rider 3 (2020-21 GAA) Estimated 2020 Unexpended Balance (Cash and authority).					
	\$0	\$(1,766,516)	\$1,766,516	\$0	\$0
DIR Rider 3 (2020-21 GAA) 2020 Unexpended Balance (Authority Only)					
	\$0	\$(963,799)	\$963,799	\$0	\$0
DIR Rider 3 (2020-21 GAA) Estimated 2021 Unexpended Balance (Cash and authority).					
	\$0	\$0	\$(2,563,259)	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2018-19 GAA)					
	\$(52,107)	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2020-21 GAA)					
	\$0	\$0	\$(412,444)	\$0	\$0
<b>TOTAL,</b>	<b>DIR Clearing Fund Account - AR</b>				
	<b>\$12,650,414</b>	<b>\$11,854,085</b>	<b>\$12,599,233</b>	<b>\$13,588,024</b>	<b>\$13,139,004</b>

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<b>METHOD OF FINANCING</b>		<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b><u>OTHER FUNDS</u></b>						
<b><u>8123</u></b>	Telecommunications Revolving Account - AR					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$27,347,016	\$27,608,960	\$27,990,943	\$29,132,316
	Regular Appropriations from MOF Table (2018-19 GAA)	\$29,018,882	\$0	\$0	\$0	\$0
	<i>RIDER APPROPRIATION</i>					
	DIR Rider 8 Telecommunications Revolving Account Revised Receipts	\$(4,169,964)	\$(8,372,774)	\$(2,077,335)	\$0	\$0
	DIR Rider 8 Request-To-Exceed for Increased Cost Of Services	\$0	\$3,450,000	\$0	\$0	\$0
	<b>Comments:</b> Letter sent 7/23/20 and amended, via email, 8/27/20.					
<b>TOTAL,</b>	<b>Telecommunications Revolving Account - AR</b>	<b>\$24,848,918</b>	<b>\$22,424,242</b>	<b>\$25,531,625</b>	<b>\$27,990,943</b>	<b>\$29,132,316</b>
<b><u>8125</u></b>	Telecommunications Revolving Account - IAC					
	<i>REGULAR APPROPRIATIONS</i>					

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<b>METHOD OF FINANCING</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b><u>OTHER FUNDS</u></b>					
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$61,093,264	\$61,158,776	\$73,016,949	\$73,840,943
Regular Appropriations from MOF Table (2018-19 GAA)	\$73,360,031	\$0	\$0	\$0	\$0
<i>RIDER APPROPRIATION</i>					
DIR Rider 8 Telecommunications Revolving Account Revised Receipts	\$4,169,964	\$8,372,774	\$2,077,335	\$0	\$0
DIR Rider 8 (2018-19 GAA) Actual 2018 Unexpended Balance	\$3,159,193	\$0	\$0	\$0	\$0
<b>Comments:</b> Fund Balance at 09/01/18 as compared to \$3,177,660 reported 11/29/19.					
DIR Rider 8 (2018-19 GAA) Updated 2019 Unexpended Balance (Cash and authority)	\$(3,278,627)	\$2,589,367	\$0	\$0	\$0
<b>Comments:</b> As compared to \$3,936,938 from 11/26/19 Rider 11 report. Credits in the amount of \$700,000 were issued to Agencies pursuant to fund balance capped allowance. The UB into 2020 has been reduced by the estimated UB amount of \$689,260 included in Regular Appropriations.					

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<b>METHOD OF FINANCING</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b><u>OTHER FUNDS</u></b>					
DIR Rider 8 (2020-21 GAA) Estimated 2020 Unexpended Balance (Cash and authority).	\$0	\$(4,056,546)	\$4,056,546	\$0	\$0
<b>Comments:</b> Capped fund balance, based on estimated sales of \$101.4m					
DIR Rider 8 Request-To-Exceed for Increased Cost Of Services	\$0	\$10,050,000	\$0	\$0	\$0
<b>Comments:</b> Letter sent 7/23/20 and amended, via email, 8/27/20.					
DIR Rider 8 (2020-21 GAA) 2019 Unexpended Balance (Authority Only)	\$0	\$(2,069,885)	\$2,069,885	\$0	\$0
DIR Rider 8 (2020-21 GAA) Estimated 2021 Unexpended Balance (Cash and authority).	\$0	\$0	\$(3,171,135)	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2018-19 GAA)	\$(15,610,624)	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2020-21 GAA)					

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<b>METHOD OF FINANCING</b>		<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b><u>OTHER FUNDS</u></b>						
		\$0	\$0	\$(61,468)	\$0	\$0
<b>TOTAL,</b>	<b>Telecommunications Revolving Account - IAC</b>	<b>\$61,799,937</b>	<b>\$75,978,974</b>	<b>\$66,129,939</b>	<b>\$73,016,949</b>	<b>\$73,840,943</b>
<b><u>8126</u></b>	Statewide Technology Account - IAC					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$273,458,369	\$289,668,632	\$329,411,017	\$324,619,673
	Regular Appropriations from MOF Table (2018-19 GAA)	\$246,986,277	\$0	\$0	\$0	\$0
	<i>RIDER APPROPRIATION</i>					
	DIR Rider 9 (2018-19 GAA) Request To Exceed	\$37,974,396	\$0	\$0	\$0	\$0
	<b>Comments:</b> DIR's 5/9/19 dated request was approved via email on 7/15/19					
	DIR Rider 9 (2020-21 GAA) Statewide Technology Account Revised Receipts	\$0	\$(494,306)	\$(491,143)	\$0	\$0

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<b>METHOD OF FINANCING</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b><u>OTHER FUNDS</u></b>					
DIR Rider 9 (2018-19 GAA) 2018 Unexpended Balance.	\$2,071,956	\$0	\$0	\$0	\$0
<b>Comments:</b> Fund Balance at 09/01/18 as compared to \$2,071,956 reported 11/29/19.					
DIR Rider 9 (2018-19 GAA) 2019 Updated Unexpended Balance	\$(2,568,433)	\$1,653,476	\$0	\$0	\$0
<b>Comments:</b> As compared to \$2,556,213 from 11/26/19 Rider 11 report. The UB into 2020 has been reduced by the estimated UB amount of \$914,957 included in Regular Appropriations.					
DIR Rider 9 (2018-19 GAA) Revised Receipts	\$1,605,090	\$0	\$0	\$0	\$0
DIR Rider 9 Request-To-Exceed for Increased Cost Of Services	\$0	\$39,750,000	\$0	\$0	\$0
<b>Comments:</b> Letter sent 7/23/20 and amended, via email, 8/27/20.					
DIR Rider 9 (2020-21 GAA) 2020 Estimated Fund Balance (Cash And Authority)	\$0	\$(2,485,230)	\$2,485,230	\$0	\$0

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<b>METHOD OF FINANCING</b>		<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b><u>OTHER FUNDS</u></b>						
DIR Rider 9 (2020-21 GAA) Estimated Unexpended Balance (Authority Only)		\$0	\$(16,916,276)	\$16,916,276	\$0	\$0
DIR Rider 9 (2020-21 GAA) Estimated 2021 Unexpended Fund Balance (Cash And Authority)		\$0	\$0	\$(3,304,292)	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2018-19 GAA)		\$(15,007,165)	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2020-21 GAA)		\$0	\$0	\$(14,357,901)	\$0	\$0
<b>TOTAL,</b>	<b>Statewide Technology Account - IAC</b>	<b>\$271,062,121</b>	<b>\$294,966,033</b>	<b>\$290,916,802</b>	<b>\$329,411,017</b>	<b>\$324,619,673</b>
<b><u>8127</u></b>	Statewide Technology Account - Appropriated Receipts					
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2020-21 GAA)		\$0	\$408,847	\$408,857	\$1,000,000	\$1,000,000

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<b>METHOD OF FINANCING</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>	
<b><u>OTHER FUNDS</u></b>						
Regular Appropriations from MOF Table (2018-19 GAA)	\$1,886,523	\$0	\$0	\$0	\$0	
<i>RIDER APPROPRIATION</i>						
DIR Rider 9 (2018-19 GAA) Request To Exceed	\$25,604	\$0	\$0	\$0	\$0	
<b>Comments:</b> DIR's 5/9/19 dated request was approved via email on 7/15/19						
DIR Rider 9 (2020-21 GAA) Statewide Technology Account Revised Receipts	\$0	\$494,306	\$491,143	\$0	\$0	
DIR Rider 9 (2018-19 GAA) Statewide Technology Account Revised Receipts	\$(1,605,090)	\$0	\$0	\$0	\$0	
<b>Comments:</b> This amount had been updated to reflect actual receipts from local customers.						
<b>TOTAL,</b>						
<b>Statewide Technology Account - Appropriated Receipts</b>	<b>\$307,037</b>	<b>\$903,153</b>	<b>\$900,000</b>	<b>\$1,000,000</b>	<b>\$1,000,000</b>	
<b>8143</b> Statewide Network Applications Account - AR						
<i>REGULAR APPROPRIATIONS</i>						

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<b>METHOD OF FINANCING</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b><u>OTHER FUNDS</u></b>					
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$38,100,945	\$38,579,705	\$44,203,966	\$44,089,864
Regular Appropriations from MOF Table (2018-19 GAA)	\$32,837	\$0	\$0	\$0	\$0
<i>RIDER APPROPRIATION</i>					
DIR Rider 6 (2018-19 GAA) Statewide Network Applications Account Revised Receipts	\$33,707,512	\$5,419,797	\$4,674,506	\$0	\$0
<b>Comments:</b> To the extent possible, DIR is utilizing only appropriated receipts for its appropriated expenditures. The State view of receipts (IAC versus AR) will be evident in our LAR Estimated Revenue Collections Supporting Schedule					
DIR Rider 6 (2018-19 GAA) Request To Exceed	\$623,438	\$0	\$0	\$0	\$0
<b>Comments:</b> DIR Request To Exceed was forwarded 1/29/19 and approved by the LBB 4/2/19.					
DIR Rider 6 (2020-21 GAA) 2020 Unexpended Balance	\$0	\$(3,042,265)	\$3,042,265	\$0	\$0
<b>Comments:</b> 4% of estimated 2020 revenues that would move into 2021.					

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<b><u>OTHER FUNDS</u></b>						
DIR Rider 6 (2020-21 GAA) Adjusted 2019 Unexpended Balance		\$0	\$83,249	\$0	\$0	\$0
<b>Comments:</b> Additional authority due to DIR for replacement of the \$2,943,008 estimate included in regular appropriations with an updated fund balance of \$3,026,257.						
DIR Rider 12 (2020-21 GAA) Additional Appropriations		\$0	\$138,132	\$0	\$0	\$0
<b>Comments:</b> This is additional authority that would be required to match DIR's 4% estimated ending fund balance retainage. See Riders 6 and 12.						
DIR Rider 6 (2020-21 GAA) Estimated 2021 Unexpended Balance		\$0	\$0	\$(2,922,501)	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2018-19 GAA)		\$(320,450)	\$0	\$0	\$0	\$0
<b>TOTAL,</b>	<b>Statewide Network Applications Account - AR</b>	<b>\$34,043,337</b>	<b>\$40,699,858</b>	<b>\$43,373,975</b>	<b>\$44,203,966</b>	<b>\$44,089,864</b>
<b><u>8144</u></b>	Statewide Network Applications Account - IAC					
<i>REGULAR APPROPRIATIONS</i>						

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METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023	
<b><u>OTHER FUNDS</u></b>						
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$5,419,797	\$4,674,506	\$0	\$0	
Regular Appropriations from MOF Table (2018-19 GAA)	\$35,166,164	\$0	\$0	\$0	\$0	
<i>RIDER APPROPRIATION</i>						
DIR Rider 6 (2018-19 GAA) Statewide Network Applications Account Revised Receipts	\$(33,707,512)	\$(5,419,797)	\$(4,674,506)	\$0	\$0	
<b>Comments:</b> To the extent possible, DIR is utilizing only appropriated receipts for its appropriated expenditures. The State view of receipts (IAC versus AR) will be evident in our LAR Estimated Revenue Collections Supporting Schedule						
DIR Rider 6 (2020-21 GAA) Additional Revenue Received From Other Agencies For Enhancements	\$0	\$3,266,603	\$0	\$0	\$0	
<b>Comments:</b> Revenue received from DSHS for COVID360-C19 Tracer and Case Mgmt. Application. Letter of notice originally sent 10/7/20.						
<b>TOTAL,</b>	<b>Statewide Network Applications Account - IAC</b>					
	<b>\$1,458,652</b>	<b>\$3,266,603</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	

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<b>METHOD OF FINANCING</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>
<b>TOTAL, ALL OTHER FUNDS</b>	<b>\$406,170,416</b>	<b>\$450,092,948</b>	<b>\$439,451,574</b>	<b>\$489,210,899</b>	<b>\$485,821,800</b>
<b>GRAND TOTAL</b>	<b>\$408,372,389</b>	<b>\$452,789,557</b>	<b>\$447,981,476</b>	<b>\$494,917,834</b>	<b>\$491,528,734</b>
<b>FULL-TIME-EQUIVALENT POSITIONS</b>					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2020-21 GAA)	0.0	208.0	208.0	208.0	208.0
Regular Appropriations from MOF Table (2018-19 GAA)	198.0	0.0	0.0	0.0	0.0
RIDER APPROPRIATION					
Art IX, Sec 6.10(h), 100% Federrally Funded FTEs (2018-19 GAA)	1.5	0.0	0.0	0.0	0.0
Art IX, Sec 6.10(g), 100% Federrally Funded FTEs (2020-21 GAA)	0.0	1.9	3.0	3.0	3.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Vacant Positions/Staff Turnover	(10.2)	(14.4)	0.0	0.0	0.0
Temporary vacancy holds for roles not directly involved in COVID-19 support.	0.0	0.0	(6.0)	0.0	0.0
<b>TOTAL, ADJUSTED FTES</b>	<b>189.3</b>	<b>195.5</b>	<b>205.0</b>	<b>211.0</b>	<b>211.0</b>

**2.B. Summary of Base Request by Method of Finance**

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Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: <b>313</b>	Agency name: <b>Department of Information Resources</b>					
<b>METHOD OF FINANCING</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>Req 2022</b>	<b>Req 2023</b>	
<b>NUMBER OF 100% FEDERALLY FUNDED FTEs</b>	<b>1.5</b>	<b>1.9</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	

2.C. Summary of Base Request by Object of Expense

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87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**313 Department of Information Resources**

<b>OBJECT OF EXPENSE</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
1001 SALARIES AND WAGES	\$16,287,250	\$17,177,879	\$18,197,997	\$19,002,319	\$19,002,319
1002 OTHER PERSONNEL COSTS	\$532,614	\$638,865	\$340,281	\$346,521	\$346,521
2001 PROFESSIONAL FEES AND SERVICES	\$313,633,623	\$342,085,700	\$344,618,669	\$380,948,608	\$374,788,282
2002 FUELS AND LUBRICANTS	\$3,397	\$4,000	\$4,000	\$5,000	\$5,000
2003 CONSUMABLE SUPPLIES	\$33,769	\$35,779	\$66,500	\$66,500	\$66,500
2004 UTILITIES	\$45,804	\$78,707	\$70,500	\$73,900	\$77,470
2005 TRAVEL	\$127,494	\$55,046	\$50,000	\$114,225	\$114,225
2006 RENT - BUILDING	\$20,932	\$15,716	\$38,800	\$46,300	\$46,300
2007 RENT - MACHINE AND OTHER	\$2,320	\$1,174	\$0	\$0	\$0
2009 OTHER OPERATING EXPENSE	\$77,427,930	\$92,602,672	\$84,564,729	\$94,314,461	\$97,082,117
5000 CAPITAL EXPENDITURES	\$257,256	\$94,019	\$30,000	\$0	\$0
<b>OOE Total (Excluding Riders)</b>	<b>\$408,372,389</b>	<b>\$452,789,557</b>	<b>\$447,981,476</b>	<b>\$494,917,834</b>	<b>\$491,528,734</b>
<b>OOE Total (Riders)</b>					
<b>Grand Total</b>	<b>\$408,372,389</b>	<b>\$452,789,557</b>	<b>\$447,981,476</b>	<b>\$494,917,834</b>	<b>\$491,528,734</b>

2.D. Summary of Base Request Objective Outcomes

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87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

313 Department of Information Resources

Goal/ Objective / Outcome	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
1 Promote Statewide IR Policies & Innovative, Productive, & Eff Info Sys					
1 Enhance Statewide Enterprise Management of Information Resources					
1 Percentage of DIR Recommendations Enacted	75.50%	0.00%	75.00%	0.00%	75.00%
2 Percent of Attendees Favorably Rating Education Events	95.03%	95.50%	90.00%	90.00%	90.00%
3 Percent of IRMs Meeting CE Requirements	97.10%	95.90%	85.00%	85.00%	85.00%
2 Manage the Cost Effective Delivery of IT Commodities & Shared Services					
1 Improve Agencies' Acquisition and Use of Information Technology					
1 Percent of Eligible Texas Local Government Entities Using DIR Services	62.45%	64.74%	50.00%	50.00%	50.00%
2 Provide Consolidated/Shared IT Services					
1 Percent of Monthly Minimum Service Level Targets Achieved	99.20%	99.77%	95.00%	95.00%	95.00%
2 % of Customers Satisfied with Shared Tech Services Contract Management	79.00%	79.00%	85.00%	85.00%	85.00%
3 State Electronic Internet Portal					
1 Percent of Visitors Satisfied with Texas.Gov	90.75%	87.25%	95.00%	95.00%	95.00%
4 Communications Technology Services					
1 Percent of Customers Satisfied with CCTS	76.40%	91.94%	90.00%	90.00%	90.00%
2 % Customers Satisfied with TEX-AN	73.00%	88.29%	90.00%	90.00%	90.00%
3 Promote Efficient Security					
1 Promote Efficient Security					
1 Percentage of Agencies' Critical Security Vulnerabilities Reduced	46.77%	47.69%	50.00%	50.00%	50.00%

**2.E. Summary of Exceptional Items Request**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME : 1:11:23AM

Agency code: 313

Agency name: Department of Information Resources

Priority	Item	2022			2023			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	SOC PILOT	\$8,713,650	\$8,713,650	13.0	\$5,104,672	\$5,104,672	13.0	\$13,818,322	\$13,818,322
2	EDR	\$15,655,650	\$15,655,650	2.0	\$15,655,650	\$15,655,650	2.0	\$31,311,300	\$31,311,300
<b>Total, Exceptional Items Request</b>		<b>\$24,369,300</b>	<b>\$24,369,300</b>	<b>15.0</b>	<b>\$20,760,322</b>	<b>\$20,760,322</b>	<b>15.0</b>	<b>\$45,129,622</b>	<b>\$45,129,622</b>

**Method of Financing**

General Revenue	\$24,369,300	\$24,369,300		\$20,760,322	\$20,760,322		\$45,129,622	\$45,129,622
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	<b>\$24,369,300</b>	<b>\$24,369,300</b>		<b>\$20,760,322</b>	<b>\$20,760,322</b>		<b>\$45,129,622</b>	<b>\$45,129,622</b>

**Full Time Equivalent Positions** **15.0** **15.0**

**Number of 100% Federally Funded FTEs** **0.0** **0.0**

**2.F. Summary of Total Request by Strategy**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/10/2020  
 TIME : 1:11:23AM

Agency code: 313 Agency name: Department of Information Resources

<b>Goal/Objective/STRATEGY</b>	<b>Base 2022</b>	<b>Base 2023</b>	<b>Exceptional 2022</b>	<b>Exceptional 2023</b>	<b>Total Request 2022</b>	<b>Total Request 2023</b>
<b>1 Promote Statewide IR Policies &amp; Innovative, Productive, &amp; Eff Info Sy</b>						
<i>1 Enhance Statewide Enterprise Management of Information Resource</i>						
1 STATEWIDE PLANNING AND RULES	\$1,490,780	\$1,390,158	\$0	\$0	\$1,490,780	\$1,390,158
2 INNOVATION AND MODERNIZATION	885,916	893,873	0	0	885,916	893,873
<b>TOTAL, GOAL 1</b>	<b>\$2,376,696</b>	<b>\$2,284,031</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,376,696</b>	<b>\$2,284,031</b>
<b>2 Manage the Cost Effective Delivery of IT Commodities &amp; Shared Serv</b>						
<i>1 Improve Agencies' Acquisition and Use of Information Technology</i>						
1 CONTRACT ADMIN OF IT COMM & SVCS	4,523,701	4,085,566	0	0	4,523,701	4,085,566
<i>2 Provide Consolidated/Shared IT Services</i>						
1 SHARED TECHNOLOGY SERVICES	328,867,147	324,106,103	0	0	328,867,147	324,106,103
<i>3 State Electronic Internet Portal</i>						
1 TEXAS.GOV	43,596,230	43,494,073	0	0	43,596,230	43,494,073
<i>4 Communications Technology Services</i>						
1 COMMUNICATIONS TECHNOLOGY SERVICES	93,275,416	94,246,869	0	0	93,275,416	94,246,869
<b>TOTAL, GOAL 2</b>	<b>\$470,262,494</b>	<b>\$465,932,611</b>	<b>\$0</b>	<b>\$0</b>	<b>\$470,262,494</b>	<b>\$465,932,611</b>
<b>3 Promote Efficient Security</b>						
<i>1 Promote Efficient Security</i>						
1 SECURITY POLICY AND AWARENESS	1,169,201	1,169,201	0	0	1,169,201	1,169,201
2 SECURITY SERVICES	14,540,009	15,702,409	24,369,300	20,760,322	38,909,309	36,462,731
<b>TOTAL, GOAL 3</b>	<b>\$15,709,210</b>	<b>\$16,871,610</b>	<b>\$24,369,300</b>	<b>\$20,760,322</b>	<b>\$40,078,510</b>	<b>\$37,631,932</b>

**2.F. Summary of Total Request by Strategy**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/10/2020  
 TIME : 1:11:23AM

Agency code: 313 Agency name: Department of Information Resources

<b>Goal/Objective/STRATEGY</b>	<b>Base 2022</b>	<b>Base 2023</b>	<b>Exceptional 2022</b>	<b>Exceptional 2023</b>	<b>Total Request 2022</b>	<b>Total Request 2023</b>
<b>4 Indirect Administration</b>						
<b>1 Indirect Administration</b>						
<b>1 CENTRAL ADMINISTRATION</b>	\$2,832,825	\$2,836,623	\$0	\$0	\$2,832,825	\$2,836,623
<b>2 INFORMATION RESOURCES</b>	3,127,433	2,994,683	0	0	3,127,433	2,994,683
<b>3 OTHER SUPPORT SERVICES</b>	609,176	609,176	0	0	609,176	609,176
<b>TOTAL, GOAL 4</b>	<b>\$6,569,434</b>	<b>\$6,440,482</b>	<b>\$0</b>	<b>\$0</b>	<b>\$6,569,434</b>	<b>\$6,440,482</b>
<b>TOTAL, AGENCY STRATEGY REQUEST</b>	<b>\$494,917,834</b>	<b>\$491,528,734</b>	<b>\$24,369,300</b>	<b>\$20,760,322</b>	<b>\$519,287,134</b>	<b>\$512,289,056</b>
<b>TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST</b>						
<b>GRAND TOTAL, AGENCY REQUEST</b>	<b>\$494,917,834</b>	<b>\$491,528,734</b>	<b>\$24,369,300</b>	<b>\$20,760,322</b>	<b>\$519,287,134</b>	<b>\$512,289,056</b>

**2.F. Summary of Total Request by Strategy**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/10/2020  
 TIME : 1:11:23AM

Agency code: 313 Agency name: Department of Information Resources

Goal/Objective/STRATEGY	Base 2022	Base 2023	Exceptional 2022	Exceptional 2023	Total Request 2022	Total Request 2023
<b>General Revenue Funds:</b>						
1 General Revenue Fund	\$5,302,497	\$5,302,496	\$24,369,300	\$20,760,322	\$29,671,797	\$26,062,818
	<b>\$5,302,497</b>	<b>\$5,302,496</b>	<b>\$24,369,300</b>	<b>\$20,760,322</b>	<b>\$29,671,797</b>	<b>\$26,062,818</b>
<b>Federal Funds:</b>						
555 Federal Funds	404,438	404,438	0	0	404,438	404,438
	<b>\$404,438</b>	<b>\$404,438</b>	<b>\$0</b>	<b>\$0</b>	<b>\$404,438</b>	<b>\$404,438</b>
<b>Other Funds:</b>						
8122 DIR Clearing Fund Account - AR	13,588,024	13,139,004	0	0	13,588,024	13,139,004
8123 Telecommunications Revolving - AR	27,990,943	29,132,316	0	0	27,990,943	29,132,316
8125 Telecommunications Revolving - IAC	73,016,949	73,840,943	0	0	73,016,949	73,840,943
8126 Statewide Technology Account - IAC	329,411,017	324,619,673	0	0	329,411,017	324,619,673
8127 State Technology Acct-Appt Receipts	1,000,000	1,000,000	0	0	1,000,000	1,000,000
8143 Statewide Network Apps Acct - AR	44,203,966	44,089,864	0	0	44,203,966	44,089,864
8144 Statewide Network Apps Acct - IAC	0	0	0	0	0	0
	<b>\$489,210,899</b>	<b>\$485,821,800</b>	<b>\$0</b>	<b>\$0</b>	<b>\$489,210,899</b>	<b>\$485,821,800</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$494,917,834</b>	<b>\$491,528,734</b>	<b>\$24,369,300</b>	<b>\$20,760,322</b>	<b>\$519,287,134</b>	<b>\$512,289,056</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>	<b>211.0</b>	<b>211.0</b>	<b>15.0</b>	<b>15.0</b>	<b>226.0</b>	<b>226.0</b>

**2.G. Summary of Total Request Objective Outcomes**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/10/2020  
 Time: 1:11:24AM

Agency code: 313 Agency name: Department of Information Resources

Goal/ Objective / Outcome

		BL 2022	BL 2023	Excp 2022	Excp 2023	Total Request 2022	Total Request 2023
1	Promote Statewide IR Policies & Innovative, Productive, & Eff Info Sys						
1	<i>Enhance Statewide Enterprise Management of Information Resources</i>						
	<b>1 Percentage of DIR Recommendations Enacted</b>						
		0.00%	75.00%	0.00%	0.00%	0.00%	75.00%
	<b>2 Percent of Attendees Favorably Rating Education Events</b>						
		90.00%	90.00%	0.00%	0.00%	90.00%	90.00%
	<b>3 Percent of IRMs Meeting CE Requirements</b>						
		85.00%	85.00%	0.00%	0.00%	85.00%	85.00%
2	Manage the Cost Effective Delivery of IT Commodities & Shared Services						
1	<i>Improve Agencies' Acquisition and Use of Information Technology</i>						
	<b>1 Percent of Eligible Texas Local Government Entities Using DIR Services</b>						
		50.00%	50.00%	0.00%	0.00%	50.00%	50.00%
2	<i>Provide Consolidated/Shared IT Services</i>						
<b>KEY</b>	<b>1 Percent of Monthly Minimum Service Level Targets Achieved</b>						
		95.00%	95.00%	0.00%	0.00%	95.00%	95.00%
<b>KEY</b>	<b>2 % of Customers Satisfied with Shared Tech Services Contract Management</b>						
		85.00%	85.00%	0.00%	0.00%	85.00%	85.00%
3	<i>State Electronic Internet Portal</i>						
	<b>1 Percent of Visitors Satisfied with Texas.Gov</b>						
		95.00%	95.00%	0.00%	0.00%	95.00%	95.00%
4	<i>Communications Technology Services</i>						

**2.G. Summary of Total Request Objective Outcomes**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/10/2020

Time: 1:11:24AM

Agency code: 313

Agency name: Department of Information Resources

Goal/ Objective / Outcome

		BL 2022	BL 2023	Excp 2022	Excp 2023	Total Request 2022	Total Request 2023
<b>KEY</b>	<b>1 Percent of Customers Satisfied with CCTS</b>	90.00%	90.00%	0.00%	0.00%	90.00%	90.00%
<b>KEY</b>	<b>2 % Customers Satisfied with TEX-AN</b>	90.00%	90.00%	0.00%	0.00%	90.00%	90.00%
3	Promote Efficient Security						
1	Promote Efficient Security						
<b>KEY</b>	<b>1 Percentage of Agencies' Critical Security Vulnerabilities Reduced</b>	50.00%	50.00%	0.00%	0.00%	50.00%	50.00%

**313 Department of Information Resources**

GOAL: 1 Promote Statewide IR Policies & Innovative, Productive, & Eff Info Sys  
 OBJECTIVE: 1 Enhance Statewide Enterprise Management of Information Resources Service Categories:  
 STRATEGY: 1 Statewide Planning and Rule and Guideline Development Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Output Measures:</b>						
1	Number of Statewide IR Recommendations Produced	7.00	0.00	6.00	0.00	6.00
2	Number of Briefings, Workgroups, and Focus Groups Conducted by DIR	115.00	43.75	50.00	50.00	50.00
3	Number of Education Programs Produced	66.00	68.00	50.00	50.00	50.00
4	Number of Rules, Guidelines and Standards Produced	6.00	4.00	10.00	10.00	10.00
5	Number of State Agency Personnel Trained on Framework & Proj Delivery	171.00	97.00	200.00	200.00	200.00
<b>Efficiency Measures:</b>						
1	Average Cost Per Statewide IR Recommendation Produced	2,250.00	2,250.00	2,250.00	2,250.00	2,250.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$776,214	\$878,344	\$1,067,886	\$1,164,180	\$1,164,180
1002	OTHER PERSONNEL COSTS	\$15,752	\$18,386	\$13,872	\$13,992	\$13,992
2001	PROFESSIONAL FEES AND SERVICES	\$42,983	\$406	\$92,200	\$210,200	\$107,700
2003	CONSUMABLE SUPPLIES	\$2,320	\$2,995	\$1,500	\$1,500	\$1,500
2004	UTILITIES	\$521	\$0	\$0	\$0	\$0
2005	TRAVEL	\$12,823	\$5,487	\$5,000	\$12,500	\$12,500
2006	RENT - BUILDING	\$3,986	\$0	\$5,000	\$5,000	\$5,000

**313 Department of Information Resources**

GOAL: 1 Promote Statewide IR Policies & Innovative, Productive, & Eff Info Sys  
 OBJECTIVE: 1 Enhance Statewide Enterprise Management of Information Resources  
 STRATEGY: 1 Statewide Planning and Rule and Guideline Development

Service Categories:  
 Service: 05      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
2007	RENT - MACHINE AND OTHER	\$1,861	\$674	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$142,618	\$0	\$78,899	\$83,408	\$85,286
5000	CAPITAL EXPENDITURES	\$7,190	\$94,019	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$1,006,268</b>	<b>\$1,000,311</b>	<b>\$1,264,357</b>	<b>\$1,490,780</b>	<b>\$1,390,158</b>
<b>Method of Financing:</b>						
8122	DIR Clearing Fund Account - AR	\$1,006,268	\$1,000,311	\$1,264,357	\$1,490,780	\$1,390,158
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$1,006,268</b>	<b>\$1,000,311</b>	<b>\$1,264,357</b>	<b>\$1,490,780</b>	<b>\$1,390,158</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$1,490,780</b>	<b>\$1,390,158</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$1,006,268</b>	<b>\$1,000,311</b>	<b>\$1,264,357</b>	<b>\$1,490,780</b>	<b>\$1,390,158</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>8.8</b>	<b>9.5</b>	<b>10.8</b>	<b>11.3</b>	<b>11.3</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**313 Department of Information Resources**

GOAL: 1 Promote Statewide IR Policies & Innovative, Productive, & Eff Info Sys  
 OBJECTIVE: 1 Enhance Statewide Enterprise Management of Information Resources Service Categories:  
 STRATEGY: 1 Statewide Planning and Rule and Guideline Development Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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Texas Government Code Sections 2054.051a, 2054.052b, 2054.052d, 2054.053a, 2054.053b, 2054.055, 2054.091, 2054.097; 2054.101  
 Enhance the statewide enterprise management of information resources by producing the Biennial Statewide Information Resources Strategic plan and related performance reports and analyses, issue statewide recommendations, and provide technology trends and management practices. DIR continuously engages its stakeholders through venues such as the Customer Advisory Committee, Information Technology Council for Higher Education, and Leadership Training and Technology Education Outreach. These venues engage stakeholders to maximize technology resources, knowledge and expertise that can benefit the government enterprise. Develop rules and guidelines that establish statewide technology standards and best practices for agencies to manage and align their technology with their business environments and to guide effective project delivery. Technology standards and best practices will continue to take on greater responsibility as a result of advancement in technology sources which will change the methodologies used within the state to achieve higher productivity and greater efficiencies.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Externally the agency is impacted by technological advancements which will enhance the need for DIR to provide statewide leadership, planning, and standards to enable agencies to become more agile and develop innovative methods to accomplish and support their core missions. DIR's development and implementation of rules and guidelines is impacted by the emergence of new technologies, industry standards, and changes to state and federal law. As innovative technologies are introduced, policies regarding their application for use in state government must be reviewed and developed to provide guidance to state agencies.

**313 Department of Information Resources**

GOAL: 1 Promote Statewide IR Policies & Innovative, Productive, & Eff Info Sys  
 OBJECTIVE: 1 Enhance Statewide Enterprise Management of Information Resources Service Categories:  
 STRATEGY: 1 Statewide Planning and Rule and Guideline Development Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$2,264,668	\$2,880,938	\$616,270	\$382,132	Salary increase in comparison to completed years due to turnover.
			\$225,294	Professional fees increase for data maturity assessment, continued training sponsored by the Chief Data Office and staff augmentation related to expanded certification training.
			\$14,513	Restoration of travel in the request years in comparison to reduced training due to COVID-19.
			\$5,000	Restoration of conference space budget in the request years after voluntary COVID-19 reductions.
			\$(10,669)	Other Fluctuations
			<b>\$616,270</b>	<b>Total of Explanation of Biennial Change</b>

**313 Department of Information Resources**

GOAL: 1 Promote Statewide IR Policies & Innovative, Productive, & Eff Info Sys  
 OBJECTIVE: 1 Enhance Statewide Enterprise Management of Information Resources  
 STRATEGY: 2 Innovation and Modernization Initiatives

Service Categories:

Service: 05      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Output Measures:</b>						
KEY 1	Number of Technology Solutions and Services Reviewed	59.00	60.00	60.00	60.00	60.00
KEY 2	# Agencies Participating in Pilot Projects for Enterprise Solutions	14.00	17.00	10.00	10.00	10.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$431,949	\$496,417	\$316,790	\$384,375	\$384,375
1002	OTHER PERSONNEL COSTS	\$12,737	\$7,736	\$1,080	\$1,200	\$1,200
2001	PROFESSIONAL FEES AND SERVICES	\$10,656	\$447,996	\$270,000	\$315,000	\$315,000
2003	CONSUMABLE SUPPLIES	\$0	\$0	\$1,500	\$1,500	\$1,500
2004	UTILITIES	\$174	\$0	\$0	\$0	\$0
2005	TRAVEL	\$5,885	\$1,455	\$2,000	\$4,000	\$4,000
2006	RENT - BUILDING	\$1,675	\$0	\$5,000	\$5,000	\$5,000
2009	OTHER OPERATING EXPENSE	\$136,102	\$216,470	\$166,250	\$174,841	\$182,798
5000	CAPITAL EXPENDITURES	\$2,397	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$601,575</b>	<b>\$1,170,074</b>	<b>\$762,620</b>	<b>\$885,916</b>	<b>\$893,873</b>
<b>Method of Financing:</b>						
8122	DIR Clearing Fund Account - AR	\$601,575	\$761,074	\$762,620	\$885,916	\$893,873
8123	Telecommunications Revolving - AR	\$0	\$409,000	\$0	\$0	\$0

**313 Department of Information Resources**

GOAL: 1 Promote Statewide IR Policies & Innovative, Productive, & Eff Info Sys  
 OBJECTIVE: 1 Enhance Statewide Enterprise Management of Information Resources  
 STRATEGY: 2 Innovation and Modernization Initiatives

Service Categories:

Service: 05      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$601,575</b>	<b>\$1,170,074</b>	<b>\$762,620</b>	<b>\$885,916</b>	<b>\$893,873</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$885,916</b>	<b>\$893,873</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$601,575</b>	<b>\$1,170,074</b>	<b>\$762,620</b>	<b>\$885,916</b>	<b>\$893,873</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>3.7</b>	<b>4.1</b>	<b>2.8</b>	<b>3.3</b>	<b>3.3</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Texas Government Code Sections 2054.572, 2054.574, 2054.575

Leverage innovative technology and services to enable or improve agencies' productivity, efficiencies, and citizen services. Provide leadership and guidance to state agencies through direct engagement to promote modernization of Texas agencies' IT infrastructure, software, and applications. Develop shared services for agency use, technology architectures, and best practices for modernization.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**313 Department of Information Resources**

GOAL: 1 Promote Statewide IR Policies & Innovative, Productive, & Eff Info Sys  
 OBJECTIVE: 1 Enhance Statewide Enterprise Management of Information Resources Service Categories:  
 STRATEGY: 2 Innovation and Modernization Initiatives Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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Innovation and modernization will be impacted by technological advancements which will enhance the need for DIR and agencies to develop innovative methods to accomplish and support their core missions. These advancements may be leveraged to enable improved efficiencies or may change citizen expectations or system requirements, all of which increase the need to provide innovative solutions or to modernize legacy systems.

DIR's development and implementation of enterprise solutions is impacted by the emergence of new technologies, agency prioritization of IT solutions, and resource availability.

Externally, the agency is impacted by the actual and perceived viability (i.e. secure, reliable, cost effectiveness) of new technology delivery models and the establishment of agreed to policies regarding their use in state government.

**313 Department of Information Resources**

GOAL: 1 Promote Statewide IR Policies & Innovative, Productive, & Eff Info Sys  
 OBJECTIVE: 1 Enhance Statewide Enterprise Management of Information Resources Service Categories:  
 STRATEGY: 2 Innovation and Modernization Initiatives Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$1,932,694	\$1,779,789	\$(152,905)	\$(44,457)	Reduced salaries due to Agency reorganization and re-prioritization.
			\$(87,996)	Professional fees fluctuation. 2020 had a large TEX-AN-related and funded (8123) research project ahead of procurement activities.
			\$(25,080)	Reduced other operating spend largely due to de-prioritization of innovation efforts as the Agency goes through multiple procurements.
			\$4,628	Other fluctuations
			<b>\$(152,905)</b>	<b>Total of Explanation of Biennial Change</b>

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services

OBJECTIVE: 1 Improve Agencies' Acquisition and Use of Information Technology

Service Categories:

STRATEGY: 1 Manage Procurement Infrastructure for IT Commodities and Services

Service: 05

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Output Measures:</b>						
KEY 1	Total Savings through DIR Cooperative Contracts	216,010,087.00	357,360,342.00	250,000,000.00	250,000,000.00	250,000,000.00
2	Number of State Agencies Participating in Bulk Purchase Agreements	40.00	56.00	40.00	40.00	40.00
<b>Efficiency Measures:</b>						
1	Average Cost Recovery Rate for Cooperative Contracts	0.69	0.68	0.70	0.70	0.70
<b>Explanatory/Input Measures:</b>						
1	Total DIR Gross Sales	2,045,301,810.00	2,588,420,561.00	2,200,000,000.00	2,200,000,000.00	2,200,000,000.00
2	Number of Exemptions Requested for IT Commodities and Services	476.00	523.00	650.00	650.00	650.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$2,458,792	\$2,321,360	\$2,520,723	\$2,520,723	\$2,520,723
1002	OTHER PERSONNEL COSTS	\$101,895	\$100,582	\$58,746	\$58,746	\$58,746
2001	PROFESSIONAL FEES AND SERVICES	\$1,018,248	\$771,375	\$1,281,008	\$1,393,516	\$945,104
2003	CONSUMABLE SUPPLIES	\$248	\$5,515	\$8,500	\$8,500	\$8,500
2004	UTILITIES	\$2,304	\$38	\$0	\$0	\$0
2005	TRAVEL	\$36,714	\$17,807	\$15,000	\$27,000	\$27,000
2006	RENT - BUILDING	\$1,942	\$3,419	\$10,000	\$10,000	\$10,000

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services

OBJECTIVE: 1 Improve Agencies' Acquisition and Use of Information Technology

Service Categories:

STRATEGY: 1 Manage Procurement Infrastructure for IT Commodities and Services

Service: 05

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
2007	RENT - MACHINE AND OTHER	\$0	\$125	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$228,431	\$286,195	\$444,351	\$505,216	\$515,493
5000	CAPITAL EXPENDITURES	\$31,797	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$3,880,371</b>	<b>\$3,506,416</b>	<b>\$4,338,328</b>	<b>\$4,523,701</b>	<b>\$4,085,566</b>
<b>Method of Financing:</b>						
8122	DIR Clearing Fund Account - AR	\$3,880,371	\$3,506,416	\$4,338,328	\$4,523,701	\$4,085,566
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$3,880,371</b>	<b>\$3,506,416</b>	<b>\$4,338,328</b>	<b>\$4,523,701</b>	<b>\$4,085,566</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$4,523,701</b>	<b>\$4,085,566</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$3,880,371</b>	<b>\$3,506,416</b>	<b>\$4,338,328</b>	<b>\$4,523,701</b>	<b>\$4,085,566</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>29.5</b>	<b>30.5</b>	<b>32.4</b>	<b>32.4</b>	<b>32.4</b>

STRATEGY DESCRIPTION AND JUSTIFICATION:

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services  
 OBJECTIVE: 1 Improve Agencies' Acquisition and Use of Information Technology Service Categories:  
 STRATEGY: 1 Manage Procurement Infrastructure for IT Commodities and Services Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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Texas Government Code Sections 2054.051c, 2054.051e, 2054.052c, 2054.056, 2054.0565, 2157.068, 2157.0685

The purpose of this strategy is to generate value for over 4,000 eligible state agency, local government, and public education customers across the state. The Cooperative Contracts Program has continued to advance from transaction-based procurements to a knowledge-driven supply chain. By coupling Texas' volume buying power with knowledge-based sourcing strategies, the Cooperative Contracts Program generates significant cost savings for DIR customers as it continues to maximize the volume buying power of the state of Texas.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

DIR will continue to evaluate new contracting opportunities to meet customer demand. Technology advancements will require new contracts to provide DIR customers with new information and communication technology products and services. DIR will continue to focus on the use of business intelligence and analytics to improve the quality of contracts available to customers.

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services  
 OBJECTIVE: 1 Improve Agencies' Acquisition and Use of Information Technology Service Categories:  
 STRATEGY: 1 Manage Procurement Infrastructure for IT Commodities and Services Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$7,844,744	\$8,609,267	\$764,523	\$199,361	Salary increase due to full budgeted positions in comparison to turnover-impacted biennium.
			\$(41,836)	Other personnel costs decrease. The Agency does not budget for the impact of lump sum payouts related to retirements. Those costs are offset by historical turnover.
			\$286,238	Increased professional fees across multiple initiatives, including Data Center capital budget, procurements and data warehouse support.
			\$21,193	Restored travel budget, following voluntary COVID-19-related reductions.
			\$290,163	Restored other operating expenses, particularly training for the Procurement department, and facilities maintenance.
			\$9,404	Other fluctuations

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**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services

OBJECTIVE: 1 Improve Agencies' Acquisition and Use of Information Technology

Service Categories:

STRATEGY: 1 Manage Procurement Infrastructure for IT Commodities and Services

Service: 05

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**\$764,523 Total of Explanation of Biennial Change**

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services

OBJECTIVE: 2 Provide Consolidated/Shared IT Services

Service Categories:

STRATEGY: 1 Shared Technology Services

Service: 05

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$2,820,520	\$3,076,286	\$3,336,700	\$3,510,806	\$3,510,806
1002	OTHER PERSONNEL COSTS	\$54,408	\$57,395	\$53,631	\$53,967	\$53,967
2001	PROFESSIONAL FEES AND SERVICES	\$267,613,571	\$291,451,190	\$286,723,916	\$324,938,834	\$320,169,378
2003	CONSUMABLE SUPPLIES	\$0	\$3,896	\$0	\$0	\$0
2004	UTILITIES	\$1,528	\$38	\$0	\$0	\$0
2005	TRAVEL	\$23,969	\$2,636	\$0	\$9,375	\$9,375
2006	RENT - BUILDING	\$0	\$394	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$0	\$125	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$152,811	\$198,093	\$273,291	\$354,165	\$362,577
5000	CAPITAL EXPENDITURES	\$21,091	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$270,687,898</b>	<b>\$294,790,053</b>	<b>\$290,387,538</b>	<b>\$328,867,147</b>	<b>\$324,106,103</b>
<b>Method of Financing:</b>						
8122	DIR Clearing Fund Account - AR	\$395,166	\$252,842	\$0	\$0	\$0
8126	Statewide Technology Account - IAC	\$269,985,695	\$293,634,058	\$289,487,538	\$327,867,147	\$323,106,103
8127	State Technology Acct-Appt Receipts	\$307,037	\$903,153	\$900,000	\$1,000,000	\$1,000,000
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$270,687,898</b>	<b>\$294,790,053</b>	<b>\$290,387,538</b>	<b>\$328,867,147</b>	<b>\$324,106,103</b>

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services

OBJECTIVE: 2 Provide Consolidated/Shared IT Services

Service Categories:

STRATEGY: 1 Shared Technology Services

Service: 05

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$328,867,147</b>	<b>\$324,106,103</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$270,687,898</b>	<b>\$294,790,053</b>	<b>\$290,387,538</b>	<b>\$328,867,147</b>	<b>\$324,106,103</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>30.7</b>	<b>31.6</b>	<b>33.7</b>	<b>35.1</b>	<b>35.1</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Texas Government Code Chapter 2054, Subchapter L

The Shared Technology Services (STS) program (formerly referred to as the Data Center Services program) was created to reduce overall taxpayer costs by consolidating and standardizing IT infrastructure, products, and services across agencies with large IT investments. The program serves 90 customers, including both 25 designated customers (state agencies that are legislatively mandated to use the STS services) and 65 discretionary customers (state agency and other governmental entities who have opted to use the STS services).

The state's consolidated data centers offer compute and storage in a private, community cloud. Additionally, customers can leverage various public clouds through the program. Within the program, government entities' data and applications reside within a closed secure network on shared infrastructure. Each agency's data is isolated within the network, allowing each agency to access only its data. Managed Security Services was added in 2018 and provides uniform and consistent management of state data security services including security monitoring and device management, incident response, and risk and compliance. In 2020, DIR awarded multiple solicitations for the Next Generation of Data Center services incorporating them into the STS model. Next Generation services commencing in September 2020 include Texas Private Cloud, Public Cloud Manager, Mainframe, Security Operations Services, Technology Solution Services, and Print, Mail & Digitization.

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services  
 OBJECTIVE: 2 Provide Consolidated/Shared IT Services Service Categories:  
 STRATEGY: 1 Shared Technology Services Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The STS programs and service delivery are affected by the needs of its customers. There is ongoing growth in the STS program services due to service population growth, legislative mandates, new service requirements and changing technology. DIR works closely with STS agencies and service provider staff to understand the needs of the agencies and the ability to deliver the services required.

**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$585,177,591	\$652,973,250	\$67,795,659	\$68,410,803	Cost of services increase due to increased customer demand for services.
			\$608,625	Salary increases due to full FTE budgeting in request years in comparison to actual expenses impacted by turnover and COVID-19 related voluntary reductions.
			\$(1,477,697)	Reduced professional fees related to completed procurements.
			\$253,928	Other fluctuations
			<b>\$67,795,659</b>	<b>Total of Explanation of Biennial Change</b>

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services  
 OBJECTIVE: 3 State Electronic Internet Portal  
 STRATEGY: 1 Texas.Gov

Service Categories:

Service: 05      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Output Measures:</b>						
	1 Number of Services Available through the Portal	2,542.00	2,542.00	1,000.00	1,000.00	1,000.00
KEY	2 Number of Transactions Conducted through the Portal	20,921,347.00	26,091,045.00	40,000,000.00	40,000,000.00	40,000,000.00
<b>Explanatory/Input Measures:</b>						
	1 Texas.Gov Collections Deposited into the General Revenue Fund	37,038,683.00	32,074,154.00	32,246,494.00	31,874,688.00	32,250,968.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$1,144,050	\$1,195,752	\$997,923	\$1,025,152	\$1,025,152
1002	OTHER PERSONNEL COSTS	\$34,898	\$20,380	\$16,757	\$16,805	\$16,805
2001	PROFESSIONAL FEES AND SERVICES	\$34,425,762	\$42,173,617	\$41,706,483	\$42,444,088	\$42,338,664
2003	CONSUMABLE SUPPLIES	\$0	\$1,533	\$0	\$0	\$0
2004	UTILITIES	\$232	\$38	\$0	\$0	\$0
2005	TRAVEL	\$2,995	\$70	\$0	\$1,850	\$1,850
2006	RENT - BUILDING	\$0	\$394	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$0	\$125	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$207,608	\$60,005	\$90,189	\$108,335	\$111,602
5000	CAPITAL EXPENDITURES	\$3,196	\$0	\$0	\$0	\$0

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services  
 OBJECTIVE: 3 State Electronic Internet Portal  
 STRATEGY: 1 Texas.Gov

Service Categories:

Service: 05      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$35,818,741</b>	<b>\$43,451,914</b>	<b>\$42,811,352</b>	<b>\$43,596,230</b>	<b>\$43,494,073</b>
<b>Method of Financing:</b>						
8122	DIR Clearing Fund Account - AR	\$150,000	\$9,679	\$0	\$0	\$0
8123	Telecommunications Revolving - AR	\$166,752	\$0	\$0	\$0	\$0
8143	Statewide Network Apps Acct - AR	\$34,043,337	\$40,175,632	\$42,811,352	\$43,596,230	\$43,494,073
8144	Statewide Network Apps Acct - IAC	\$1,458,652	\$3,266,603	\$0	\$0	\$0
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$35,818,741</b>	<b>\$43,451,914</b>	<b>\$42,811,352</b>	<b>\$43,596,230</b>	<b>\$43,494,073</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$43,596,230</b>	<b>\$43,494,073</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$35,818,741</b>	<b>\$43,451,914</b>	<b>\$42,811,352</b>	<b>\$43,596,230</b>	<b>\$43,494,073</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>11.6</b>	<b>12.0</b>	<b>9.7</b>	<b>9.9</b>	<b>9.9</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services

OBJECTIVE: 3 State Electronic Internet Portal

Service Categories:

STRATEGY: 1 Texas.Gov

Service: 05

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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Texas Government Code Sections 2054.111, 2054.1115, 2054.113, 2054.116, 2054.125, 2054.128, 2054.131, Subchapter I and Subchapter K.

The ongoing mission of Texas.gov is to provide portal and payment services for Texas state agencies and other governmental customers to effectively conduct business with their customers. To accomplish this mission, Texas.gov offers a common infrastructure, development framework, project management practices, governance, payment processing, and communications that allow agencies to provide a convenient, constituent-focused interface to agency business.

The Texas.gov program will continue to fulfill its mission and improve its core capabilities in the following areas:

- Contributions to General Revenue
- Security and privacy for all eCommerce transactions
- Web Applications that are mobile-ready
- Products that allow increased speed to market for eGovernment Services
- Accessible web sites for all citizens
- New Services for agencies and local government customers
- Transparent Governance including customer agency involvement
- National Recognition of Excellence

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

One of the primary goals of Texas.gov is to drive electronic government transformation. New technology, greater use of the internet by the public, and new service offerings will increase the use of the portal which will increase the number of transactions processed.

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services

OBJECTIVE: 3 State Electronic Internet Portal

Service Categories:

STRATEGY: 1 Texas.Gov

Service: 05

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL CHANGE	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)		\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$86,263,266	\$87,090,303	\$827,037	\$(620,106)	Reduced cost of services due current biennium known customer agency-funded application development projects. The request years will be increased, as necessary, in accordance with Rider 6.
			\$1,522,757	Professional fees increase due to anticipated procurement activities.
			\$(75,614)	Other fluctuations
			<u>\$827,037</u>	<b>Total of Explanation of Biennial Change</b>

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services

OBJECTIVE: 4 Communications Technology Services

Service Categories:

STRATEGY: 1 Deliver Telecommunications and Network Services

Service: 05

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Efficiency Measures:</b>						
1	% of CCTS Complaints/Problems Resolved in 8 Working Hours or Less	96.40 %	87.90 %	97.00 %	97.00 %	97.00 %
2	CCTS Trouble Tickets As % of Lines in Service	1.00 %	1.80 %	2.00 %	2.00 %	2.00 %
3	Average Price Per Intrastate Minute on TEX-AN	0.02	0.02	0.02	0.02	0.02
4	Average Price Per Interstate Minute on TEX-AN	0.02	0.02	0.02	0.02	0.02
5	Average Price Per Toll-Free Minute on TEX-AN	0.02	0.02	0.02	0.02	0.02
6	TEX-AN Trouble Tickets As % of Circuits	9.80 %	8.40 %	7.00 %	7.00 %	7.00 %
7	Average Price of Data Services	829.30	828.17	820.00	820.00	820.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$3,975,331	\$4,169,065	\$4,365,485	\$4,516,664	\$4,516,664
1002	OTHER PERSONNEL COSTS	\$151,138	\$145,279	\$91,441	\$91,777	\$91,777
2001	PROFESSIONAL FEES AND SERVICES	\$1,031,274	\$1,122,719	\$2,750,724	\$2,258,983	\$1,603,143
2002	FUELS AND LUBRICANTS	\$3,397	\$4,000	\$4,000	\$5,000	\$5,000
2003	CONSUMABLE SUPPLIES	\$1,095	\$5,231	\$3,000	\$3,000	\$3,000
2004	UTILITIES	\$4,775	\$9,038	\$2,500	\$2,500	\$2,500
2005	TRAVEL	\$15,104	\$1,485	\$4,500	\$9,000	\$9,000

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services

OBJECTIVE: 4 Communications Technology Services

Service Categories:

STRATEGY: 1 Deliver Telecommunications and Network Services

Service: 05

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
2006	RENT - BUILDING	\$0	\$5,594	\$2,500	\$10,000	\$10,000
2007	RENT - MACHINE AND OTHER	\$0	\$125	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$74,411,488	\$86,732,638	\$76,892,164	\$86,378,492	\$88,005,785
5000	CAPITAL EXPENDITURES	\$147,645	\$0	\$30,000	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$79,741,247</b>	<b>\$92,195,174</b>	<b>\$84,146,314</b>	<b>\$93,275,416</b>	<b>\$94,246,869</b>
<b>Method of Financing:</b>						
8122	DIR Clearing Fund Account - AR	\$150,000	\$0	\$0	\$0	\$0
8123	Telecommunications Revolving - AR	\$18,450,419	\$16,969,169	\$18,822,330	\$21,129,043	\$21,259,418
8125	Telecommunications Revolving - IAC	\$61,140,828	\$75,226,005	\$65,323,984	\$72,146,373	\$72,987,451
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$79,741,247</b>	<b>\$92,195,174</b>	<b>\$84,146,314</b>	<b>\$93,275,416</b>	<b>\$94,246,869</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$93,275,416</b>	<b>\$94,246,869</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$79,741,247</b>	<b>\$92,195,174</b>	<b>\$84,146,314</b>	<b>\$93,275,416</b>	<b>\$94,246,869</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>54.3</b>	<b>55.1</b>	<b>57.4</b>	<b>58.8</b>	<b>58.8</b>

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services  
 OBJECTIVE: 4 Communications Technology Services Service Categories:  
 STRATEGY: 1 Deliver Telecommunications and Network Services Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Texas Government Code Section 2170

DIR’s Communications Technology Services (CTS) helps publicly funded organizations procure voice, data, wireless, and Internet services. All CTS contracts meet the state’s competitive solicitation requirements, which helps customers save time and resources by streamlining the lengthy procurement process. By purchasing telecommunications services through DIR, customers have access to highly competitive prices through the purchasing power of the State.

CTS services include TEX-AN which provides local and long-distance voice services, data services, Internet services, and telecommunications infrastructure for state agencies, local governments, and institutions of higher education. The Capitol Complex Telephone System (CCTS) is also a service provided by CTS that is a centrally managed telephone service for approximately 90 Austin area state agencies, including the State Legislature. Finally, contracts with vendors provide other telecommunication services such as wireless, teleconferencing, and managed services.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Communications Technology Services are affected by the needs of current and future customers. Demand for capacity and bandwidth also continues to increase as more constituent services transition to online, including mobile, delivery.

**313 Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services  
 OBJECTIVE: 4 Communications Technology Services Service Categories:  
 STRATEGY: 1 Deliver Telecommunications and Network Services Service: 05    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$176,341,488	\$187,522,285	\$11,180,797	\$10,274,839	Increased cost of services due to customer demand and infrastructure costs.
			\$498,776	Increased salaries for full FTE budgeting in comparison to current biennium actual expenses.
			\$484,635	Increase in other operating expenses across the Agency.
			\$(77,453)	Other fluctuations
			<b>\$11,180,797</b>	<b>Total of Explanation of Biennial Change</b>

**313 Department of Information Resources**

GOAL: 3 Promote Efficient Security  
 OBJECTIVE: 1 Promote Efficient Security  
 STRATEGY: 1 Provide Security Policy, Assurance, Education and Awareness

Service Categories:  
 Service: 05      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Output Measures:</b>						
KEY 1	State Agency Participation in DIR Provided Security Training Offerings	66.50 %	75.50 %	65.00 %	65.00 %	65.00 %
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$399,683	\$365,700	\$471,709	\$508,008	\$508,008
1002	OTHER PERSONNEL COSTS	\$16,023	\$45,774	\$17,004	\$17,004	\$17,004
2001	PROFESSIONAL FEES AND SERVICES	\$532,885	\$547,400	\$620,000	\$620,000	\$620,000
2003	CONSUMABLE SUPPLIES	\$0	\$482	\$0	\$0	\$0
2004	UTILITIES	\$232	\$0	\$0	\$0	\$0
2005	TRAVEL	\$10,990	\$6,619	\$5,000	\$10,000	\$10,000
2009	OTHER OPERATING EXPENSE	\$10,544	\$9,597	\$13,644	\$14,189	\$14,189
5000	CAPITAL EXPENDITURES	\$3,196	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$973,553</b>	<b>\$975,572</b>	<b>\$1,127,357</b>	<b>\$1,169,201</b>	<b>\$1,169,201</b>
<b>Method of Financing:</b>						
8122	DIR Clearing Fund Account - AR	\$973,553	\$975,572	\$1,127,357	\$1,169,201	\$1,169,201
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$973,553</b>	<b>\$975,572</b>	<b>\$1,127,357</b>	<b>\$1,169,201</b>	<b>\$1,169,201</b>

**313 Department of Information Resources**

GOAL: 3 Promote Efficient Security  
 OBJECTIVE: 1 Promote Efficient Security Service Categories:  
 STRATEGY: 1 Provide Security Policy, Assurance, Education and Awareness Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$1,169,201</b>	<b>\$1,169,201</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$973,553</b>	<b>\$975,572</b>	<b>\$1,127,357</b>	<b>\$1,169,201</b>	<b>\$1,169,201</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>3.6</b>	<b>3.0</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Texas Government Code Chapter 2054, Subchapter N-1

DIR is responsible for the statewide security plan, which provides a comprehensive course of action to ensure the security of the state’s data and information. The Chief Information Security Office (CISO) also provides an array of security training, support, information, guidelines, and oversight to state agencies and institutions of higher education. The CISO keeps these entities informed of security issues that may impact their information resources through emergency alerts and tailored assessments. In coordination with other federal and state counterparts, the CISO provides security policy templates, maintains an emergency alert system, provides external vulnerability assessments, and develops best practices, rules, and policies.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Technological advances in information resource assets are followed closely by security threats against those assets and the information they contain. It is incumbent upon DIR to maintain state of the art knowledge and equipment to protect the overall security of all state IR assets. DIR must be able to develop and promote activities and best practices to improve the State’s capabilities to identify and reduce cyber security risks.

**313 Department of Information Resources**

GOAL: 3 Promote Efficient Security  
 OBJECTIVE: 1 Promote Efficient Security  
 STRATEGY: 1 Provide Security Policy, Assurance, Education and Awareness

Service Categories:  
 Service: 05      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$2,102,929	\$2,338,402	\$235,473	\$178,608	Salaries increase for full budgeted FTEs in comparison to actual expenses.
			\$72,600	Professional fees increase for cybersecurity training services.
			\$(15,735)	Other fluctuations
			<b>\$235,473</b>	<b>Total of Explanation of Biennial Change</b>

**313 Department of Information Resources**

GOAL: 3 Promote Efficient Security  
 OBJECTIVE: 1 Promote Efficient Security  
 STRATEGY: 2 Assist State Entities in Identifying Security Vulnerabilities

Service Categories:  
 Service: 05      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Output Measures:</b>						
KEY 1	Number of State Agency Security Assessments Performed	39.00	41.00	40.00	40.00	40.00
2	Number of Security Controlled Penetration Tests	55.00	55.00	50.00	50.00	50.00
<b>Efficiency Measures:</b>						
1	Average Cost of Security Controlled Penetration Tests	13,268.00	11,934.00	21,768.00	21,768.00	21,768.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$823,629	\$1,027,444	\$1,222,265	\$1,226,597	\$1,226,597
1002	OTHER PERSONNEL COSTS	\$8,082	\$28,512	\$13,740	\$13,740	\$13,740
2001	PROFESSIONAL FEES AND SERVICES	\$8,489,522	\$5,082,652	\$10,303,188	\$7,731,812	\$7,806,813
2003	CONSUMABLE SUPPLIES	\$0	\$0	\$500	\$500	\$500
2004	UTILITIES	\$232	\$0	\$0	\$0	\$0
2005	TRAVEL	\$6,700	\$4,836	\$6,000	\$12,000	\$12,000
2006	RENT - BUILDING	\$0	\$150	\$300	\$300	\$300
2009	OTHER OPERATING EXPENSE	\$1,050,801	\$3,843,594	\$5,515,995	\$5,555,060	\$6,642,459
5000	CAPITAL EXPENDITURES	\$3,196	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$10,382,162</b>	<b>\$9,987,188</b>	<b>\$17,061,988</b>	<b>\$14,540,009</b>	<b>\$15,702,409</b>

**Method of Financing:**

**313 Department of Information Resources**

GOAL: 3 Promote Efficient Security  
 OBJECTIVE: 1 Promote Efficient Security  
 STRATEGY: 2 Assist State Entities in Identifying Security Vulnerabilities

Service Categories:  
 Service: 05      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
1	General Revenue Fund	\$2,029,738	\$2,478,528	\$8,126,464	\$5,302,497	\$5,302,496
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$2,029,738</b>	<b>\$2,478,528</b>	<b>\$8,126,464</b>	<b>\$5,302,497</b>	<b>\$5,302,496</b>
<b>Method of Financing:</b>						
555	Federal Funds					
	97.067.000 Homeland Security Grant	\$172,235	\$218,081	\$403,438	\$404,438	\$404,438
CFDA Subtotal, Fund	555	\$172,235	\$218,081	\$403,438	\$404,438	\$404,438
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$172,235</b>	<b>\$218,081</b>	<b>\$403,438</b>	<b>\$404,438</b>	<b>\$404,438</b>
<b>Method of Financing:</b>						
8122	DIR Clearing Fund Account - AR	\$3,844,636	\$3,957,421	\$3,662,086	\$3,958,074	\$4,070,475
8123	Telecommunications Revolving - AR	\$4,335,553	\$3,333,158	\$4,870,000	\$4,875,000	\$5,925,000
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$8,180,189</b>	<b>\$7,290,579</b>	<b>\$8,532,086</b>	<b>\$8,833,074</b>	<b>\$9,995,475</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$14,540,009</b>	<b>\$15,702,409</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$10,382,162</b>	<b>\$9,987,188</b>	<b>\$17,061,988</b>	<b>\$14,540,009</b>	<b>\$15,702,409</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>8.0</b>	<b>10.5</b>	<b>11.9</b>	<b>11.9</b>	<b>11.9</b>

**313 Department of Information Resources**

GOAL: 3 Promote Efficient Security  
 OBJECTIVE: 1 Promote Efficient Security Service Categories:  
 STRATEGY: 2 Assist State Entities in Identifying Security Vulnerabilities Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Texas Government Code Sections 2054.059, 2054.0591, 2054.0594, and 2054.112  
 Texas Government Code Sections 2059.051, 2059.052, 2054.056, 2059.058, 2059.101, 2059.102, 2059.104, and 2059.105  
 DIR provides an Enterprise Governance, Risk and Compliance (eGRC) platform serving as the state’s clearinghouse to support agencies in the management of information security program functions, managing and tracking cybersecurity incidents, risk assessment and mitigation, as well as policy compliance related to the Texas Cybersecurity Framework.  
 DIR has created an education program to prepare agency personnel to perform the functions required of the Information Security Officer in providing a comprehensive information security program, including the development of the agency’s security plan within the Texas Cybersecurity Framework. Additionally, DIR provides end user training and awareness materials that can be used to educate agency personnel on information security issues. Services also include network monitoring and testing, security assessments, multi-factor authentication (MFA), and 24x7 alert system.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

DIR continues to evaluate potential new security offerings and relies on state agencies to consume these services.

**313 Department of Information Resources**

GOAL: 3 Promote Efficient Security  
 OBJECTIVE: 1 Promote Efficient Security  
 STRATEGY: 2 Assist State Entities in Identifying Security Vulnerabilities

Service Categories:  
 Service: 05      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$27,049,176	\$30,242,418	\$3,193,242	\$2,597,088	Cost of services increase for enhanced network security services.
			\$203,485	Salaries increase for one additional grant-funded cybersecurity FTE and full budgeted FTEs.
			\$152,785	Increased professional fees for full budgeted assessments and pen tests.
			\$239,884	Other fluctuations
			<b>\$3,193,242</b>	<b>Total of Explanation of Biennial Change</b>

**313 Department of Information Resources**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 1 Central Administration

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$2,025,239	\$2,378,552	\$2,292,294	\$2,520,786	\$2,520,786
1002	OTHER PERSONNEL COSTS	\$109,352	\$131,097	\$45,216	\$50,496	\$50,496
2001	PROFESSIONAL FEES AND SERVICES	\$37,600	\$38,812	\$60,002	\$70,838	\$71,381
2003	CONSUMABLE SUPPLIES	\$200	\$0	\$0	\$0	\$0
2004	UTILITIES	\$1,540	\$0	\$0	\$0	\$0
2005	TRAVEL	\$9,680	\$14,314	\$10,000	\$18,500	\$18,500
2009	OTHER OPERATING EXPENSE	\$303,347	\$183,714	\$155,415	\$172,205	\$175,460
5000	CAPITAL EXPENDITURES	\$21,251	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$2,508,209</b>	<b>\$2,746,489</b>	<b>\$2,562,927</b>	<b>\$2,832,825</b>	<b>\$2,836,623</b>
<b>Method of Financing:</b>						
8122	DIR Clearing Fund Account - AR	\$778,476	\$686,230	\$608,809	\$672,909	\$673,815
8123	Telecommunications Revolving - AR	\$903,480	\$815,538	\$774,933	\$856,575	\$857,730
8125	Telecommunications Revolving - IAC	\$313,931	\$359,834	\$339,714	\$375,475	\$375,981
8126	Statewide Technology Account - IAC	\$512,322	\$634,915	\$602,342	\$665,767	\$666,664
8143	Statewide Network Apps Acct - AR	\$0	\$249,972	\$237,129	\$262,099	\$262,433
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$2,508,209</b>	<b>\$2,746,489</b>	<b>\$2,562,927</b>	<b>\$2,832,825</b>	<b>\$2,836,623</b>

**313 Department of Information Resources**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 1 Central Administration

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$2,832,825</b>	<b>\$2,836,623</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$2,508,209</b>	<b>\$2,746,489</b>	<b>\$2,562,927</b>	<b>\$2,832,825</b>	<b>\$2,836,623</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>22.0</b>	<b>25.2</b>	<b>24.8</b>	<b>26.8</b>	<b>26.8</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Texas Government Code Sections 2054.0285 and 2054.029

DIR is responsible for delivering a shared technology infrastructure to more effectively plan and manage the state’s investment in information and communications technology. DIR is responsible for delivering managed services to other state agencies and local government entities. These services include shared technology services for state agencies and local government entities, communications technology services for state agencies and local government entities through CCTS and TEX-AN, an IT commodities purchase program that provides cost savings to agencies and other governmental entities through DIR negotiated contracts, network security services for IT and telecommunications networks and the Texas.gov web portal. While central administration supports the agency, the agency is a provider of services to all agencies and local governmental units in the State and the ability to deliver these services requires administrative resources that support the service delivery operations.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

External factors impacting this strategy include the increased demand for the services provided by DIR and a challenge for the agency is the ability to hire and retain highly qualified staff.

**313 Department of Information Resources**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 1 Central Administration

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$5,309,416	\$5,669,448	\$360,032	\$360,032	Goal D expenses are increasing for full-budgeted FTEs and increased consumption of STS services.
			<b>\$360,032</b>	<b>Total of Explanation of Biennial Change</b>

**313 Department of Information Resources**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 2 Information Resources

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$1,158,074	\$1,131,598	\$1,218,676	\$1,218,677	\$1,218,677
1002	OTHER PERSONNEL COSTS	\$24,649	\$54,760	\$23,754	\$23,754	\$23,754
2001	PROFESSIONAL FEES AND SERVICES	\$431,122	\$449,533	\$811,148	\$965,337	\$811,099
2003	CONSUMABLE SUPPLIES	\$406	\$16,127	\$15,000	\$15,000	\$15,000
2004	UTILITIES	\$33,785	\$69,555	\$68,000	\$71,400	\$74,970
2005	TRAVEL	\$1,722	\$227	\$0	\$2,500	\$2,500
2009	OTHER OPERATING EXPENSE	\$668,510	\$1,003,500	\$797,028	\$830,765	\$848,683
5000	CAPITAL EXPENDITURES	\$10,705	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$2,328,973</b>	<b>\$2,725,300</b>	<b>\$2,933,606</b>	<b>\$3,127,433</b>	<b>\$2,994,683</b>
<b>Method of Financing:</b>						
8122	DIR Clearing Fund Account - AR	\$722,700	\$648,309	\$696,717	\$742,764	\$711,237
8123	Telecommunications Revolving - AR	\$835,417	\$825,951	\$887,373	\$946,049	\$905,892
8125	Telecommunications Revolving - IAC	\$296,296	\$361,798	\$388,717	\$414,385	\$396,795
8126	Statewide Technology Account - IAC	\$474,560	\$641,427	\$689,426	\$734,947	\$703,750
8143	Statewide Network Apps Acct - AR	\$0	\$247,815	\$271,373	\$289,288	\$277,009
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$2,328,973</b>	<b>\$2,725,300</b>	<b>\$2,933,606</b>	<b>\$3,127,433</b>	<b>\$2,994,683</b>

**313 Department of Information Resources**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 2 Information Resources

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023	
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>						<b>\$3,127,433</b>	<b>\$2,994,683</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$2,328,973</b>	<b>\$2,725,300</b>	<b>\$2,933,606</b>	<b>\$3,127,433</b>	<b>\$2,994,683</b>	
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>13.4</b>	<b>12.6</b>	<b>13.5</b>	<b>13.5</b>	<b>13.5</b>	

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Texas Government Code Sections 2054.0285 and 2054.029  
 DIR is responsible for delivering a shared technology infrastructure to more effectively plan and manage the state’s investment in information and communications technology. DIR is responsible for delivering managed services for state agencies and local government entities. These services include share technology services for state agencies and local government entities, communications technology services to state agencies and local government entities through CCTS and TEX-AN, an IT commodities purchase program that provides cost savings to agencies and other governmental entities through DIR negotiated contracts, network security services for IT and telecommunications networks and the Texas.gov web portal. While central administration supports the agency, the agency is a provider of services to all agencies and local governmental units in the State and the ability to deliver these services requires administrative resources that support the service delivery operations.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

External factors impacting this strategy include the increased demand for the services provided by DIR and the challenge for the agency is the ability to maintain an underlying technology infrastructure that supports the overall service delivery strategies. Hiring and retaining qualified IT staff also continues to be a challenge.

**313 Department of Information Resources**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 2 Information Resources

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$5,658,906	\$6,122,116	\$463,210	\$463,210	Goal D expenses are increasing for full-budgeted FTEs and increased consumption of STS services.
			<u>\$463,210</u>	<b>Total of Explanation of Biennial Change</b>

**313 Department of Information Resources**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 3 Other Support Services

Service Categories:

Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$273,769	\$137,361	\$387,546	\$406,351	\$406,351
1002	OTHER PERSONNEL COSTS	\$3,680	\$28,964	\$5,040	\$5,040	\$5,040
2003	CONSUMABLE SUPPLIES	\$29,500	\$0	\$36,500	\$36,500	\$36,500
2004	UTILITIES	\$481	\$0	\$0	\$0	\$0
2005	TRAVEL	\$912	\$110	\$2,500	\$7,500	\$7,500
2006	RENT - BUILDING	\$13,329	\$5,765	\$16,000	\$16,000	\$16,000
2007	RENT - MACHINE AND OTHER	\$459	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$115,670	\$68,866	\$137,503	\$137,785	\$137,785
5000	CAPITAL EXPENDITURES	\$5,592	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$443,392</b>	<b>\$241,066</b>	<b>\$585,089</b>	<b>\$609,176</b>	<b>\$609,176</b>
<b>Method of Financing:</b>						
8122	DIR Clearing Fund Account - AR	\$147,669	\$56,231	\$138,959	\$144,679	\$144,679
8123	Telecommunications Revolving - AR	\$157,297	\$71,426	\$176,989	\$184,276	\$184,276
8125	Telecommunications Revolving - IAC	\$48,882	\$31,337	\$77,524	\$80,716	\$80,716
8126	Statewide Technology Account - IAC	\$89,544	\$55,633	\$137,496	\$143,156	\$143,156
8143	Statewide Network Apps Acct - AR	\$0	\$26,439	\$54,121	\$56,349	\$56,349

**313 Department of Information Resources**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 3 Other Support Services

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$443,392</b>	<b>\$241,066</b>	<b>\$585,089</b>	<b>\$609,176</b>	<b>\$609,176</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$609,176</b>	<b>\$609,176</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$443,392</b>	<b>\$241,066</b>	<b>\$585,089</b>	<b>\$609,176</b>	<b>\$609,176</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>3.7</b>	<b>1.4</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Texas Government Code Sections 2054.0285 and 2054.029  
 DIR is responsible for delivering a shared technology infrastructure to more effectively plan and manage the state’s investment in information and communications technology. DIR is responsible for delivering managed services for state agencies and local government entities. These services include shared technology services for state agencies and local government entities, communications technology services to state agencies and local government entities through CCTS and TEX-AN, an IT commodities purchase program that provides cost savings to agencies and other governmental entities through DIR negotiated contracts, network security services for IT and telecommunications networks and the Texas.gov web portal. While central administration supports the agency, the agency is a provider of services to all agencies and local governmental units in the entire state and the ability to deliver these services requires administrative resources that support the service delivery operations.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

External factors impacting this strategy include the increased demand for the services provided by DIR. Hiring and retaining qualified staff also continues to be a challenge.

**313 Department of Information Resources**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 3 Other Support Services

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$826,155	\$1,218,352	\$392,197	\$392,197	Goal D expenses are increasing for full-budgeted FTEs and increased consumption of STS services.
			<b>\$392,197</b>	<b>Total of Explanation of Biennial Change</b>

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**SUMMARY TOTALS:**

<b>OBJECTS OF EXPENSE:</b>	<b>\$408,372,389</b>	<b>\$452,789,557</b>	<b>\$447,981,476</b>	<b>\$494,917,834</b>	<b>\$491,528,734</b>
<b>METHODS OF FINANCE (INCLUDING RIDERS):</b>				<b>\$494,917,834</b>	<b>\$491,528,734</b>
<b>METHODS OF FINANCE (EXCLUDING RIDERS):</b>	<b>\$408,372,389</b>	<b>\$452,789,557</b>	<b>\$447,981,476</b>	<b>\$494,917,834</b>	<b>\$491,528,734</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>189.3</b>	<b>195.5</b>	<b>205.0</b>	<b>211.0</b>	<b>211.0</b>

**3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE**  
87th Regular Session, Agency Submission, Version 1

Agency Code: 313		Agency: Department of Information Resources			Prepared By: Erik Freymuth					
Date: 10/9/2020		Program Priority	Program Name	Legal Authority	2020-21 Base	Requested 2022	Requested 2023	Biennial Total 2022-23	Biennial Difference	
Strategy	Strategy Name								\$	%
A-1-1	STATEWIDE PLANNING AND RULES	11	Technology Planning and Policy	Texas Government Code Sections 2054.051a, 2054.052b, 2054.052d, 2054.053a, 2054.053b, 2054.055, 2054.091, 2054.097; 2054.101	\$2,264,668	\$1,490,780	\$1,390,158	\$2,880,938	\$616,270	27.2%
A-1-2	INNOVATION AND MODERNIZATION	9	Innovation and Modernization Initiatives	Texas Government Code Sections 2054.572, 2054.574, 2054.575	\$1,932,694	\$885,916	\$893,873	\$1,779,789	(\$152,905)	-7.9%
B-1-1	CONTRACT ADMIN OF IT COMM & SVCS	4	Contract and Vendor Management / Enterprise Contract Management	Texas Government Code Ann. Sections 2054.051c, 2054.051e, 2054.052c, 2054.056, 2054.0565, 2157.068, 2157.0685	\$7,844,744	\$4,523,701	\$4,085,566	\$8,609,267	\$764,523	9.7%
B-2-1	SHARED TECHNOLOGY SERVICES	3	Statewide Technology Center (Shared Technology Services/DCS)	Texas Government Code Chapter 2054, Subchapter L	\$585,177,591	\$328,867,147	\$324,106,103	\$652,973,250	\$67,795,659	11.6%
B-3-1	TEXAS.GOV	5	Texas.gov	Texas Government Code Sections 2054.111, 2054.1115, 2054.113, 2054.116, 2054.125, 2054.128, 2054.131, Subchapter I and Subchapter K.	\$86,263,266	\$43,596,230	\$43,494,073	\$87,090,303	\$827,037	1.0%
B-4-1	COMMUNICATIONS TECHNOLOGY SERVICES	6	Texas Agency Network (TEX-AN)	Texas Government Code Section 2170	\$162,443,394	\$85,798,554	\$87,189,468	\$172,988,022	\$10,544,628	6.5%
B-4-1	COMMUNICATIONS TECHNOLOGY SERVICES	8	Capitol Complex Telephone Service	Texas Government Code Section 2170	\$13,898,094	\$7,476,862	\$7,057,401	\$14,534,263	\$636,169	4.6%
C-1-1	PROMOTE EFFICIENT SECURITY	7	Statewide Information Technology (IT) Security Policies and Guidelines	Texas Government Code Chapter 2054, Subchapter N-1	\$2,102,929	\$1,169,201	\$1,169,201	\$2,338,402	\$235,473	11.2%
C-1-2	SECURITY SERVICES	1	Network and Telecommunications Security Services	Texas Government Code Sections 2054.059, 2054.0591, 2054.0594, and 2054.112 Texas Government Code Sections 2059.051, 2059.052, 2054.056, 2059.058, 2059.101, 2059.102, 2059.104, and 2059.105	\$13,343,121	\$6,354,133	\$7,441,533	\$13,795,666	\$452,545	3.4%
C-1-2	SECURITY SERVICES	2	Cybersecurity Services and Awareness	Texas Government Code Sections 2054.059, 2054.0591, 2054.0594, and 2054.112 Texas Government Code Sections 2059.051, 2059.052, 2054.056, 2059.058, 2059.101, 2059.102, 2059.104, and 2059.105	\$13,706,055	\$32,555,176	\$29,021,198	\$61,576,374	\$47,870,319	349.3%
D-1-1	CENTRAL ADMINISTRATION	12	Central Administration	Texas Government Code Sections 2054.0285 and 2054.029	\$5,309,416	\$2,832,825	\$2,836,623	\$5,669,448	\$360,032	6.8%
D-1-2	INFORMATION RESOURCES	10	Information Resources	Texas Government Code Sections 2054.0285 and 2054.029	\$5,658,906	\$3,127,433	\$2,994,683	\$6,122,116	\$463,210	8.2%
D-1-3	OTHER SUPPORT SERVICES	13	Other Support Services	Texas Government Code Sections 2054.0285 and 2054.029	\$826,155	\$609,176	\$609,176	\$1,218,352	\$392,197	47.5%
					\$900,771,033	\$519,287,134	\$512,289,056	\$1,031,576,190	\$130,805,157	14.5%

**Program Prioritization:** Indicate the methodology or approach taken by the agency, court, or institution to determine the ranking of each program by priority.

Securing the State's data network, enhancing Texas' cybersecurity posture, and delivering reliable and cost competitive technology services to customers are the Department's highest priorities. These programs all have a direct impact on the constituent facing services delivered by DIR customers.

### 3.B. Rider Revisions and Additions Request

<b>Agency Code:</b> 313	<b>Agency Name:</b> Department of Information Resources	<b>Prepared By:</b> Brady Vaughn	<b>Date:</b> 10/9/2020	<b>Request Level:</b> Base
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Current Rider Number	Page Number in 2020-21 GAA	Proposed Rider Language																																																									
2	I-72	<p><b>Capital Budget.</b> None of the funds appropriated above may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="width: 20%; text-align: right;"><u>20202022</u></th> <th style="width: 20%; text-align: right;"><u>20212023</u></th> </tr> </thead> <tbody> <tr> <td>a. Acquisition of Information Resource Technologies</td> <td></td> <td></td> </tr> <tr> <td>    (1) Daily Operations</td> <td style="text-align: right;">\$ 152,000</td> <td style="text-align: right;">\$ 152,000</td> </tr> <tr> <td>    (2) Personal Computer Purchases</td> <td style="text-align: right;"><del>420,000</del> 100,000</td> <td style="text-align: right;"><del>0</del> 100,000</td> </tr> <tr> <td>    Total, Acquisition of Information Resource Technologies</td> <td style="text-align: right;">\$ <del>572,000</del> 252,000</td> <td style="text-align: right;">\$ <del>152,000</del> 252,000</td> </tr> <tr> <td>b. Data Center Consolidation</td> <td></td> <td></td> </tr> <tr> <td>    (1) Data Center Consolidation</td> <td style="text-align: right;">\$ <del>1,377,949</del> 2,581,354</td> <td style="text-align: right;">\$ <del>1,428,843</del> 1,964,400</td> </tr> <tr> <td><u>c. Data Modernization</u></td> <td></td> <td></td> </tr> <tr> <td>    <u>(1) Data Optimization</u></td> <td style="text-align: right;">\$ 810,250</td> <td style="text-align: right;">\$ 115,762</td> </tr> <tr> <td>    Total, Capital Budget</td> <td style="text-align: right;">\$ <del>1,949,949</del> 3,643,604</td> <td style="text-align: right;">\$ <del>1,580,843</del> 2,332,162</td> </tr> <tr> <td colspan="3">Method of Financing (Capital Budget):</td> </tr> <tr> <td colspan="3"><u>Other Funds</u></td> </tr> <tr> <td>DIR Clearing Fund Account - AR</td> <td style="text-align: right;">\$ <del>438,138</del> 1,002,319</td> <td style="text-align: right;">\$ <del>349,553</del> 518,284</td> </tr> <tr> <td>Telecommunications Revolving Account - AR</td> <td style="text-align: right;"><del>869,756</del> 1,764,778</td> <td style="text-align: right;"><del>768,439</del> 1,104,499</td> </tr> <tr> <td>Telecommunications Revolving Account - IAC</td> <td style="text-align: right;"><del>121,434</del> 133,505</td> <td style="text-align: right;"><del>67,470</del> 113,798</td> </tr> <tr> <td>Statewide Technology Account - IAC</td> <td style="text-align: right;"><del>435,846</del> 649,800</td> <td style="text-align: right;"><del>348,279</del> 516,136</td> </tr> <tr> <td>Statewide Network Applications Account - IAC</td> <td style="text-align: right;"><del>84,775</del> 93,202</td> <td style="text-align: right;"><del>47,102</del> 79,445</td> </tr> <tr> <td>Subtotal, Other Funds</td> <td style="text-align: right;">\$ <del>1,949,949</del> 3,643,604</td> <td style="text-align: right;">\$ <del>1,580,843</del> 2,332,162</td> </tr> <tr> <td>Total, Method of Financing</td> <td style="text-align: right;">\$ <del>1,949,949</del> 3,643,604</td> <td style="text-align: right;">\$ <del>1,580,843</del> 2,332,162</td> </tr> </tbody> </table>		<u>20202022</u>	<u>20212023</u>	a. Acquisition of Information Resource Technologies			(1) Daily Operations	\$ 152,000	\$ 152,000	(2) Personal Computer Purchases	<del>420,000</del> 100,000	<del>0</del> 100,000	Total, Acquisition of Information Resource Technologies	\$ <del>572,000</del> 252,000	\$ <del>152,000</del> 252,000	b. Data Center Consolidation			(1) Data Center Consolidation	\$ <del>1,377,949</del> 2,581,354	\$ <del>1,428,843</del> 1,964,400	<u>c. Data Modernization</u>			<u>(1) Data Optimization</u>	\$ 810,250	\$ 115,762	Total, Capital Budget	\$ <del>1,949,949</del> 3,643,604	\$ <del>1,580,843</del> 2,332,162	Method of Financing (Capital Budget):			<u>Other Funds</u>			DIR Clearing Fund Account - AR	\$ <del>438,138</del> 1,002,319	\$ <del>349,553</del> 518,284	Telecommunications Revolving Account - AR	<del>869,756</del> 1,764,778	<del>768,439</del> 1,104,499	Telecommunications Revolving Account - IAC	<del>121,434</del> 133,505	<del>67,470</del> 113,798	Statewide Technology Account - IAC	<del>435,846</del> 649,800	<del>348,279</del> 516,136	Statewide Network Applications Account - IAC	<del>84,775</del> 93,202	<del>47,102</del> 79,445	Subtotal, Other Funds	\$ <del>1,949,949</del> 3,643,604	\$ <del>1,580,843</del> 2,332,162	Total, Method of Financing	\$ <del>1,949,949</del> 3,643,604	\$ <del>1,580,843</del> 2,332,162
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3	I-73	<p><b>DIR Clearing Fund Account.</b> The Comptroller shall establish in the state treasury the Department of Information Resources Clearing Fund Account for the administration of cost recovery activities pursuant to authority granted under Chapters 771, 791, and 2157, Government Code. The account shall be used:</p> <p style="margin-left: 40px;">a. As a depository for funds received as payments from state agencies, units of local government, and/or vendors for goods and services provided;</p>																																																									

### 3.B. Rider Revisions and Additions Request (continued)

		<p>b. As a source of funds for the department to purchase, lease, or acquire in any other manner services, supplies, software products, and equipment necessary for carrying out the department's duties relating to services provided to state agencies and units of local government for which the department receives payment from state agencies and local governmental units; and</p> <p>c. To pay salaries, wages, and other costs directly attributable to the services provided to state agencies and units of local government for which the department receives payment from those agencies and governmental units. However, the maximum amount for all administrative costs to be applied to state agency receipts and local government receipts shall not exceed 2.0 percent per receipt.</p> <p>Included in the amounts appropriated above in Strategies A.1.1, Statewide Planning and Rules; A.1.2, Innovation and Modernization; B.1.1, Contract Administration of IT Commodities and Services; B.5.1, Network Services; C.1.1, Security Policy and Awareness; C.1.2, Security Services; D.1.1, Central Administration; D.1.2, Information Resources; and D.1.3, Other Support Services, are all balances not previously encumbered as of August 31, <del>2019</del>2021 (estimated to be \$<del>422,895</del>2,563,259), and revenues accruing during the <del>2020-21</del>2022-2023 biennium estimated to be \$<del>13,586,628</del>14,723,387 in fiscal year <del>2020</del>2022 and \$<del>12,844,621</del>14,723,387 in fiscal year <del>2021</del>2023 in revenue collected on or after September 1, <del>2019</del>2021, appropriated from the sale of information technology commodity items out of Appropriated Receipts to the Department of Information Resources Clearing Fund Account.</p> <p>Any unexpended and unobligated balances as of August 31, <del>2020</del>2022, out of appropriations made herein are appropriated for the same purposes to the Department of Information Resources for the fiscal year beginning September 1, <del>2020</del>2022. <u>The agency is also appropriated at the beginning of each fiscal year the 15% in fund balance authorized in Rider 11 of this bill pattern. The Department shall notify the Legislative Budget Board, the Comptroller, and the Governor per the reporting requirements in Rider 11, if the agency expends any fund balance amounts appropriated by this rider. All unexpended authority appropriated for fund balance in the Clearing Fund Account shall lapse at the end of each fiscal year.</u></p> <p>The Department of Information Resources may not expend funds appropriated to the Department that exceed the total in Appropriated Receipts identified above for each fiscal year of the <del>2020-21</del>2022-23 biennium without prior written approval from the Legislative Budget Board. The Department requesting the approval of the Legislative Budget Board shall submit in a timely manner the request along with adequate information for evaluating the request. Any additional information requested by the Legislative Budget Board must be submitted promptly and in a manner prescribed by the Legislative Budget Board. The request shall be considered to be approved unless the Legislative Budget Board issues a written disapproval within 30 business days after the date the Legislative Budget Board staff concludes its review of the request and forwards the review to the Chair of the House Appropriations Committee, Chair of the Senate Finance Committee, Speaker of the House, and Lieutenant Governor. Any requests for additional information made by the Legislative Budget Board interrupt the counting of the 30 business days.</p> <p><del>As part of its Annual Financial Report showing the use of appropriated funds, the Department of Information Resources</del></p>
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### 3.B. Rider Revisions and Additions Request (continued)

		<p><del>shall include information showing the costs avoided and/or savings obtained through its cooperative activities and a list of the agencies or units of local government for which the Clearing Fund Account was used.</del></p>
4	I-74	<p><b>Capital Purchases on Behalf of Other Government Entities.</b> Any capital items related to information resources and telecommunications technologies purchased by the Department of Information Resources for use by other state agencies and governmental entities <del>for which the department directly bills state agencies and governmental entities and is reimbursed</del> do not apply to the department for the purpose of the capital budget rider limitations specified in Article IX, Transfers - Capital Budget, of the General Provisions of this Act.</p> <p>Capital purchases made by the department for the department's <u>sole</u> internal use are subject to capital budget rider limitations in Article IX, Transfers - Capital Budget, of the General Provisions of this Act.</p>
6	I-74	<p><b>Texas.gov Project and the Statewide Network Applications Account.</b> The Comptroller shall establish in the state treasury the Department of Information Resources Statewide Network Applications Account for the administration of cost recovery activities pursuant to authority granted under Chapter 2054, Government Code.</p> <p>Included in the amounts appropriated above in Strategies B.3.1, Texas.gov; D.1.1, Central Administration; D.1.2, Information Resources; and D.1.3, Other Support Services, are all balances not previously encumbered as of August 31, <del>2019-2021</del> (estimated to be <del>\$2,943,0082,922,501</del>), and revenues accruing during the <del>2020-21</del><u>2022-23</u> biennium estimated to be <del>\$40,577,734</del> <u>44,324,777</u> in fiscal year <del>2020-2022</del> and <del>\$43,254,211</del> <u>44,100,616</u> in fiscal year <del>2021-2023</del> in revenue collected on or after September 1, <del>2019</del><u>2021</u>, appropriated from the operation and management of the Texas.gov State Electronic Internet Portal Project as provided by Government Code, Chapter 2054, Subchapter I, out of Appropriated Receipts and Interagency Contracts to the Department of Information Resources Statewide Network Applications Account.</p> <p>Any unexpended and unobligated balances remaining as of August 31, <del>2020</del><u>2022</u>, in the appropriation made herein are appropriated for the fiscal year beginning September 1, <del>2020</del><u>2022</u>, for the same purposes. <u>The agency is also appropriated at the beginning of each fiscal year the 6% in fund balance authorized in Rider 11 of this bill pattern. The Department shall notify the Legislative Budget Board, the Comptroller, and the Governor per the reporting requirements in Rider 11, if the agency expends any fund balance amounts appropriated by this rider. All unexpended authority appropriated for fund balance in the Statewide Network Applications Account shall lapse at the end of each fiscal year.</u></p> <p>The Department of Information Resources may not expend funds appropriated to the Department that exceed the total in Appropriated Receipts and Interagency Contracts identified above for each fiscal year of the <del>2020-21</del><u>2022-23</u> biennium without prior written approval from the Legislative Budget Board. The Department requesting the approval of the Legislative Budget Board shall submit in a timely manner the request along with adequate information for evaluating the request. Any additional information requested by the Legislative Budget Board must be submitted promptly and in a manner prescribed by the Legislative Budget Board. The request shall be considered to be approved</p>

### 3.B. Rider Revisions and Additions Request (continued)

		<p>unless the Legislative Budget Board issues a written disapproval within 30 business days after the date the Legislative Budget Board staff concludes its review of the request and forwards the review to the Chair of the House Appropriations Committee, Chair of the Senate Finance Committee, Speaker of the House, and Lieutenant Governor. Any requests for additional information made by the Legislative Budget Board interrupt the counting of the 30 business days.</p> <p>Any funds received by the Department from other agencies or governmental entities for the purpose of adding or enhancing applications to or functionality of the Texas.gov project are appropriated to the Department and are exempted from the requirements of this rider for prior written approval from the Legislative Budget Board to expend such funds. The Department shall provide notification to the Legislative Budget Board within <del>40-30 business</del> days of receipt of such funds from other agencies or governmental entities which identifies the total amount estimated to be received and describes the application or functionality to be added or enhanced.</p> <p>The Department of Information Resources shall provide the Legislative Budget Board monthly financial reports and expenditures on the Texas.gov project within 60 days of the close of each month.</p>
7	I-75	<p><b>Telecommunications, Statewide Technology Centers, and Texas.gov Capital Budget Purchases.</b> Notwithstanding Article IX, §14.03, Transfers - Capital Budget, of this Act, the Department of Information Resources is hereby authorized to expend funds out of the Telecommunications Revolving Account, Statewide Technology Account, and Statewide Network Applications Account to acquire equipment, software, and maintenance that may be necessary to facilitate cost savings or technical advancements associated with the Capitol Complex Telephone System (CCTS), TEX-AN Statewide Telecommunications System, Statewide Technology Centers, or the Texas.gov State Electronic Internet Portal. The Department of Information Resources shall notify the Legislative Budget Board and the Governor <del>30 days</del> prior to such acquisition.</p>
8	I-75	<p><b>Telecommunications Revolving Account.</b> Included in amounts appropriated above in Strategies B.4.1, Capitol Complex Telephone; B.5.1, Network Services; C.1.2, Security Services; D.1.1, Central Administration; D.1.2, Information Resources; and D.1.3, Other Support Services, are all balances not previously encumbered as of August 31, <del>2019</del>2021, (estimated to be \$<del>689,260</del> 3,171,135) and revenues accruing during the <del>2020-21</del>2022-23 biennium estimated to be \$<del>87,751,020</del> 99,689,823 in fiscal year <del>2020-2022</del> and \$<del>88,767,736</del> 103,158,887 in fiscal year <del>2021-2023</del> in revenue collected on or after September 1, <del>2019</del>2021, appropriated from telecommunications services as provided by Government Code, Chapter 2170 out of Appropriated Receipts and Interagency Contracts to the Telecommunications Revolving Account.</p> <p>Any unexpended and unobligated balances remaining as of August 31, <del>2020</del>2022, in the appropriation made herein are appropriated for the fiscal year beginning September 1, <del>2020</del>2022, for the same purposes. <u>The agency is also appropriated at the beginning of each fiscal year the 6% in fund balance authorized in Rider 11 of this bill pattern. The Department shall notify the Legislative Budget Board, the Comptroller, and the Governor per the reporting</u></p>

### 3.B. Rider Revisions and Additions Request (continued)

		<p><u>requirements in Rider 11, if the agency expends any fund balance amounts appropriated by this rider. All unexpended authority appropriated for fund balance in the Telecommunications Revolving Account shall lapse at the end of each fiscal year.</u></p> <p><u>Included in amounts</u> <del>The Department of Information Resources may not expend funds</del> <u>appropriated above is \$10,671,028 in fiscal year 2022 and \$9,995,651 in fiscal year 2023 to the Department that exceed the total in Appropriated Receipts and Interagency Contracts to the Telecommunications Revolving Account for the purpose of providing operating and indirect administrative costs, excluding payments to service providers for identified above for each fiscal year of the Telecommunications Revolving Account. The Department may not expend funds in excess of amounts identified in this rider for operating and indirect administrative costs <del>2020-21 biennium</del> without prior written approval from the Legislative Budget Board. The Department requesting the approval of the Legislative Budget Board shall submit in a timely manner the request along with adequate information for evaluating the request. Any additional information requested by the Legislative Budget Board must be submitted promptly and in a manner prescribed by the Legislative Budget Board. The request shall be considered to be approved unless the Legislative Budget Board issues a written disapproval within 30 business days after the date the Legislative Budget Board staff concludes its review of the request and forwards the review to the Chair of the House Appropriations Committee, Chair of the Senate Finance Committee, Speaker of the House, and Lieutenant Governor. Any requests for additional information made by the Legislative Budget Board interrupt the counting of the 30 business days.</u></p>
9	I-75	<p><b>Statewide Technology Account.</b> In accordance with Government Code, §403.011, the Comptroller of Public Accounts shall establish within the state treasury an operational account, called the Statewide Technology Account for all transactions relating to the operation and management of statewide technology centers.</p> <p>Included in amounts appropriated above in Strategies B.2.1, Data Center Services; D.1.1, Central Administration; D.1.2, Information Resources; and D.1.3, Other Support Services, are all balances not previously encumbered as of August 31, <del>2019-2021</del> (estimated to be <del>\$914,957 3,304,292</del>), and revenues accruing during the <del>2020-21</del> <u>2022-23</u> biennium estimated to be <del>\$272,952,259 330,785,621</del> in fiscal year <del>2020-2022</del> and <del>\$290,077,489 326,234,466</del> in fiscal year <del>2021-2023</del> in revenue collected on or after September 1, <del>2019-2023</del> appropriated from the operation and management of Statewide Technology Centers as provided by Government Code, Chapter 2054, Subchapter L out of Interagency Contracts and Appropriated Receipts to the Statewide Technology Account.</p> <p>Any unexpended and unobligated balances remaining as of August 31, <del>2020</del> <u>2022</u>, in the appropriation made herein are appropriated for the fiscal year beginning September 1, <del>2020</del> <u>2022</u>, for the same purposes. <u>The agency is also appropriated at the beginning of each fiscal year the 2% in fund balance authorized in Rider 11 of this bill pattern. The Department shall notify the Legislative Budget Board, the Comptroller and the Governor per the reporting requirements in Rider 11, if the agency expends any fund balance amounts appropriated by this rider.</u> <u>All unexpended authority appropriated for fund balance in the Statewide Technology Account shall lapse at the end of each fiscal year.</u></p>

### 3.B. Rider Revisions and Additions Request (continued)

		<p><del>Included in amounts The Department of Information Resources may not expend funds appropriated above is \$7,070,200 in fiscal year 2022 and \$7,199,600 in fiscal year 2023 to the Department that exceed the total in Appropriated Receipts and Interagency Contracts to the Statewide Technology Account for the purpose of providing operating and indirect administrative costs, excluding payments to service providers for identified above for each fiscal year of the Statewide Technology Account. The Department may not expend funds in excess of amounts identified in this rider for operating and indirect administrative costs 2020-21 biennium</del> without prior written approval from the Legislative Budget Board. The Department requesting the approval of the Legislative Budget Board shall submit in a timely manner the request along with adequate information for evaluating the request. Any additional information requested by the Legislative Budget Board must be submitted promptly and in a manner prescribed by the Legislative Budget Board. The request shall be considered to be approved unless the Legislative Budget Board issues a written disapproval within 30 business days after the date the Legislative Budget Board staff concludes its review of the request and forwards the review to the Chair of the House Appropriations Committee, Chair of the Senate Finance Committee, Speaker of the House, and Lieutenant Governor. Any requests for additional information made by the Legislative Budget Board interrupt the counting of the 30 business days.</p> <p>The Department of Information Resources shall report all administrative costs collected and the administrative cost percentage <u>in accordance with Government Code §2054.0346. DIR shall update this information outside of normal reporting at the request of the LBB or Governors Office.</u> charged to each state agency and other users of statewide technology centers as defined in Government Code, §2054.380 to the Governor and Legislative Budget Board no later than April 1 for the first six month period of the fiscal year and by October 1 for the second six month period of the fiscal year. By the same deadlines, the Department of Information Resources shall submit the proposed administrative costs collected and the proposed administrative cost percentage for the next six month period. The Legislative Budget Board and Governor's Office shall consider the incremental change to administrative percentages submitted <del>Without the written approval of the Governor and the Legislative Budget Board, the Department of Information Resources may not expend funds appropriated to the Department if those appropriated funds are associated with an increase to the administrative cost percentage charged to users of the statewide technology centers and deposited to the Statewide Technology Account.</del> In addition, by September 15 of each even-numbered year the Department shall submit a report to the Legislative Budget Board detailing expended, budgeted and projected costs for data center services by participating agency. The report shall be in a format prescribed by the Legislative Budget Board.</p>
10	I-76	<p><del><b>Data Center Efficiencies.</b> It is the intent of the Legislature that out of funds appropriated above for Strategy B.2.1, Data Center Services, the Department of Information Resources shall utilize energy efficient multi-core servers wherever possible.</del></p>
11	I-76	<p><b>Reporting Requirements for Cost Recovery Activities.</b> Out of funds appropriated above, the Department of Information Resources (DIR) shall submit a report detailing all revenues and expenditures out of the DIR Clearing Fund Account, Telecommunications Revolving Account, Statewide Network Applications Account, and the Statewide Technology Account, respectively; estimated unexpended and unobligated balances remaining at the end of each fiscal</p>

### 3.B. Rider Revisions and Additions Request (continued)

		<p>year out of these accounts; and any expenditures that would exceed the amounts appropriated in DIR's bill pattern out of these accounts. The report shall include the fee rates charged for each service provided by DIR, the total fees charged to each state agency and other users of DIR's cooperative contracts, telecommunications, state electronic internet portal, and data center services, and the methodology DIR used to evaluate and set the respective fees.</p> <p>The report shall be submitted to the Governor, Comptroller, and the Legislative Budget Board no later than <del>December</del> <u>March</u> 1 each fiscal year.</p>
12	I-76	<p><b>Fund Balance Limitations.</b></p> <ol style="list-style-type: none"> <li>a. Before <del>December-March</del> 1 of each fiscal year, the Department of Information Resources (DIR) shall prepare a report which reflects the amount of unexpended and unobligated balances carried forward in the DIR Clearing Fund, Telecommunications Revolving, Statewide Technology, and Statewide Network Applications accounts, respectively from the previous fiscal year and submit the report to the Governor, Legislative Budget Board, and the Comptroller.</li> <li>b. For purposes of this section (Rider 12, Fund Balance Limitations), "agency" includes a state agency, institution of higher education, or local governmental entity that uses DIR information technology commodity contracts, telecommunications or data center services, or is appropriated funds in this Act.</li> <li>c. For purposes of this subsection, "total revenue" means the total amount of administrative fees collected from users of DIR's information technology commodity contracts authorized by Government Code, Chapter 2157. In the event that unexpended and unobligated balances in the DIR Clearing Fund Account at the end of any fiscal year exceed <del>150</del> percent of total revenue, as defined in this subsection, processed through the account in that ending fiscal year, the portion of the excess over <del>150</del> percent from all funding sources shall be <u>used to supplant General Revenue appropriated to DIR for that fiscal year for allowed expenditures as authorized by Government Code, Chapter 2157. Any General Revenue that is supplanted by the excess balance may not be expended without prior written approval from the Legislative Budget Board. A written request submitted to the Legislative Budget Board shall be considered approved unless the Legislative Budget Board issues written disapproval within 30 business days of the date on which the Legislative Budget Board receives the request. Any unexpended balances remaining on August 31, 2022 are appropriated for the same purpose for the fiscal year beginning September 1, 2022. Any remaining balance above the 15 percent of total revenue as of August 31, 2023, shall be</u> returned to agencies, no later than May 1 of the following fiscal year. The excess returned to the agencies by DIR is appropriated to the agencies for expenditures consistent with the original funding source. <u>DIR shall update the LBB quarterly on the use of General Revenue and revenues collected that may be used for the return of General Revenue.</u></li> <li>d. For purposes of this subsection, "total revenue" means the total amount of gross revenue collected related to Telecommunications Services provided by DIR under Government Code, Chapter 2170. In the event that unexpended and unobligated balances in the Telecommunications Revolving Account</li> </ol>

### 3.B. Rider Revisions and Additions Request (continued)

		<p>at the end of any fiscal year exceed <del>four-six</del> percent of total revenue, as defined in this subsection, processed through the account in that ending fiscal year, the portion of the excess over the <del>four-six</del> percent funded from all funding sources shall be returned to agencies, no later than May 1 of the following fiscal year. The excess returned to the agencies by DIR is appropriated to the agencies for expenditures consistent with the original funding source.</p> <p>e. For purposes of this subsection, "total revenue" means the total amount of gross revenue collected related to Data Center Services provided by DIR under Government Code, Chapter 2054, Subchapter L. In the event that unexpended and unobligated balances in the Statewide Technology Account at the end of any fiscal year exceed <del>one-two</del> percent of total revenue, as defined in this subsection, processed through the account in that ending fiscal year, the portion of the excess over the <del>one-two</del> percent funded from all funding sources shall be returned to agencies, no later than May 1 of the following fiscal year. The excess returned to the agencies by DIR is appropriated to the agencies for expenditures consistent with the original funding source.</p> <p>f. For purposes of this subsection, "operating revenue" means the total amount of gross revenue collected related to the state electronic internet portal, Texas.gov, provided by DIR under Government Code, Chapter 2054, Subchapter I, less the cost for payment processing services. In the event that unexpended and unobligated balances in the Statewide Network Applications Account at the end of any fiscal year exceed <del>four-six</del> percent of operating revenue, as defined in this subsection, processed through the account in that ending fiscal year, the portion of the excess over the <del>four-six</del> percent funded from all funding sources shall be transferred to the General Revenue Fund.</p> <p>g. The Comptroller may prescribe accounting procedures and regulations to implement this section.</p> <p>h. The reimbursement requirements established by this section may be waived or delayed, either in whole or in part, by the Legislative Budget Board.</p> <p>i. DIR shall coordinate with the Legislative Budget Board on development of a methodology to implement this section <del>and a methodology to determine the source of funds used for agencies' payments which are directly remitted to vendors for information technology and telecommunications products and services.</del></p>
13	I-77	<p><b>Security Services to State Agencies and Institutions of Higher Education.</b> Included in amounts appropriated above in Strategy C.1.2, Security Services, is <del>estimated to be \$1,600,000</del> <u>2,954,559</u> in General Revenue in each fiscal year of the biennium for the purpose of providing security vulnerability and penetration testing services and information security assessments to state agencies and institutions of higher education. Also included in amounts appropriated above in Strategy C.1.2, Security Services, is <del>estimated to be \$5,481,575</del> <u>2,347,938</u> in fiscal year <del>2020</del><u>2022</u> and <del>\$2,481,575</del> <u>2,347,938</u> in fiscal year <del>2021</del><u>2023</u> in General Revenue for the purpose of providing cybersecurity services to state agencies and institutions of higher education. <u>The Department may only expend General Revenue for the purposes listed in this rider and may not expend General Revenue for any other purpose.</u> Any unexpended and</p>

### 3.B. Rider Revisions and Additions Request (continued)

		unobligated balances of these funds remaining as of August 31, <del>2020</del> 2022, are appropriated to the Department of Information Resources for the fiscal year beginning September 1, <del>2020</del> 2022, for the same purposes
15	I-78	<del>House Bill 4390 Contingency: Reimbursement of Advisory Council Members.</del> <del>Contingent on enactment of HB 4390, or similar legislation relating to the Texas Privacy Protection Advisory Council, by the 86th Legislature, Regular Session,</del> The Department of Information Resources shall, out of funds appropriated above, provide reimbursement of expenses for members of the Texas Privacy Protection Advisory Council.
		<u>New. Capital Budget Expenditures from Federal Sources: DIR is exempted from the limitations of capital budget rider provisions contained in Article IX of this act when federal funds are received in excess of the amounts identified in the agency's capital budget rider and such funds are designated by the state or federal agency solely for IT projects or purchase specific capital items.</u>  <u>Amounts expended from these funding sources shall not count towards the limitations imposed by capital budget provisions elsewhere in this Act. DIR shall annually report to the LBB, the Governor, and the Comptroller of Public Accounts the amount received from these sources and the items to be purchased.</u>
Article IX Sec. 9.04	IX-47	<b>Information Technology Replacement.</b> a) Agencies and institutions of higher education receiving appropriated funds for the acquisition of information technology shall perform a cost-benefit analysis of leasing versus purchasing information technology and develop and maintain a personal computer replacement schedule. Agencies and institutions of higher education shall use the Department of Information Resources' (DIR) Guidelines for Lease versus Purchase of Information Technologies to evaluate costs and DIR's PC Life Cycles: Guidelines for Establishing Life Cycles for Personal Computers to prepare a replacement schedule. b) Agencies and institutions of higher education shall adhere to the following principles, when appropriate: <ol style="list-style-type: none"> <li>1. Compliance with the Department of Information Resources data center services requirements; and</li> <li>2. Participation in hardware and software bulk purchasing facilitated by the Department of Information Resources.</li> </ol> c) In accordance with Sections 2157.006 and 2157.068, Government Code, the Department of Information Resources may require any state agency with plans to purchase or replace certain information technology equipment, hardware, software, and services, including cyber security, telecommunications and network equipment, out of funds appropriated elsewhere in this Act, to coordinate such purchases with the department to achieve additional cost savings through a coordinated bulk purchasing effort. Any state agency selected by the department for participation in the department's bulk purchasing effort shall cooperate with the department's requirements. Institutions of higher education receiving an appropriation by this Act for information technology initiatives, may also coordinate with the department through a coordinated bulk

### 3.B. Rider Revisions and Additions Request (continued)

		<p>purchasing effort. <u>In accordance with Section 2157.068, Government Code,</u> <del>the</del> department shall coordinate bulk purchase efforts for the <u>purchase of information technology commodity items, following:</u></p> <ol style="list-style-type: none"><li><del>1. personal computers, laptops, and tablets;</del></li><li><del>2. productivity software; and</del></li><li><del>3. seat management services.</del></li></ol> <p>d) By <del>October 1, 2020</del><u>December 1, 2020</u><del>2022</del>, the Department of Information Resources shall report to the Legislative Budget Board, the cost savings realized through a coordinated bulk purchasing effort described in Subsection (c) above. The report shall include the participating agencies and the information technology purchased.</p>
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**4.A. Exceptional Item Request Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME: 1:11:35AM

Agency code: 313

Agency name: Department of Information Resources

CODE	DESCRIPTION	Excp 2022	Excp 2023
	<b>Item Name:</b> Security Operations Centers Pilot <b>Item Priority:</b> 1 <b>IT Component:</b> Yes <b>Anticipated Out-year Costs:</b> Yes <b>Involve Contracts &gt; \$50,000:</b> Yes <b>Includes Funding for the Following Strategy or Strategies:</b> 03-01-02 Assist State Entities in Identifying Security Vulnerabilities		
<b>OBJECTS OF EXPENSE:</b>			
1001	SALARIES AND WAGES	1,650,000	1,704,000
2001	PROFESSIONAL FEES AND SERVICES	2,257,450	2,407,800
2005	TRAVEL	38,000	39,900
2009	OTHER OPERATING EXPENSE	115,700	110,347
5000	CAPITAL EXPENDITURES	4,652,500	842,625
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$8,713,650</b>	<b>\$5,104,672</b>

**METHOD OF FINANCING:**

1	General Revenue Fund	8,713,650	5,104,672
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$8,713,650</b>	<b>\$5,104,672</b>

<b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>	13.00	13.00
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**DESCRIPTION / JUSTIFICATION:**

TBD

**EXTERNAL/INTERNAL FACTORS:**

TBD

**PCLS TRACKING KEY:**

PCLS #: PCLS\_87R\_313\_60642

**DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:**

Since the SOC pilot project exceptional item is to help localities better secure their systems, the majority of costs included are IT project costs. Total IT project costs are approximately \$6.67 million and would be funded with General Revenue. The biennial cost of approximately \$10.1 million is almost entirely made up of the following pieces: approximately \$1.71 million for network intrusion prevention hardware/software, approximately \$1.71 million for firewall hardware/software, approximately \$1.71 million for security event monitoring services, and approximately \$4.61 million for Security Incident and Event Monitoring hardware/software.

**4.A. Exceptional Item Request Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME: 1:11:35AM

Agency code: 313

Agency name:  
**Department of Information Resources**

CODE	DESCRIPTION	Excp 2022	Excp 2023
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**IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?**

NEW

**STATUS:**

This project has not been started and would only begin after approval of the exceptional item.

**OUTCOMES:**

Outcomes include more mature security at local governments and school districts, a decrease in successful attacks, improved incident response capabilities, and improved visibility into local government cyber capability. Establishing a regional SOC will help address common weaknesses and best practice deficiencies that have led to prior ransomware incidents. This item will help agencies prevent the shutdown of services from an incident and reduce the cost spent in the recovery efforts from cyber incidents.

**OUTPUTS:**

Implementing a SOC will help the localities within the region to harden their cybersecurity protections. This will include offering services to organizations in the region, collecting and analyzing threat information, cost analysis for avoidances and attacks, and training students on-site.

**TYPE OF PROJECT**

Cyber Security

**ALTERNATIVE ANALYSIS**

The original position of DIR, prior to COVID, was to request twelve separate SOCs at universities in each of the Comptroller of Public Accounts twelve economic regions across Texas. Since the original request has already been scaled to only one SOC, it would be difficult to scale down further because reducing the cybersecurity tools and equipment would significantly diminish the SOCs ability to monitor and prevent attacks on local governmental entities in that region.

Without the pilot SOC, local entities would be more susceptible to cyber attacks and intrusions in the future.

**ESTIMATED IT COST**

2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project
\$0	\$0	\$6,860,650	\$3,205,598	\$3,365,877	\$3,534,171	\$3,710,880	\$20,677,176

**SCALABILITY**

2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project
\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

**4.A. Exceptional Item Request Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME: 1:11:35AM

Agency code: 313

Agency name:  
**Department of Information Resources**

CODE	DESCRIPTION						Excp 2022	Excp 2023
<b>FTE</b>								
		<b>2020</b>	<b>2021</b>	<b>2022</b>	<b>2023</b>	<b>2024</b>	<b>2025</b>	<b>2026</b>
		0.0	0.0	13.0	13.0	13.0	13.0	13.0

**DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :**

Out-Year-Costs include ongoing operations of the SOC.

**ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:**

	<b>2024</b>	<b>2025</b>	<b>2026</b>
	\$5,818,444	\$6,109,366	\$6,414,834

**APPROXIMATE PERCENTAGE OF EXCEPTIONAL ITEM :** 42.00%

**CONTRACT DESCRIPTION :**

Anticipated contracting includes equipment maintenance and cybersecurity services.

**4.A. Exceptional Item Request Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME: 1:11:35AM

Agency code: 313

Agency name: Department of Information Resources

CODE	DESCRIPTION	Excp 2022	Excp 2023
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**Item Name:** Endpoint Detections & Response  
**Item Priority:** 2  
**IT Component:** Yes  
**Anticipated Out-year Costs:** Yes  
**Involve Contracts > \$50,000:** Yes  
**Includes Funding for the Following Strategy or Strategies:** 03-01-02 Assist State Entities in Identifying Security Vulnerabilities

**OBJECTS OF EXPENSE:**

1001	SALARIES AND WAGES	200,000	200,000
2001	PROFESSIONAL FEES AND SERVICES	15,437,650	15,437,650
2003	CONSUMABLE SUPPLIES	2,000	2,000
2005	TRAVEL	3,000	3,000
2009	OTHER OPERATING EXPENSE	13,000	13,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$15,655,650</b>	<b>\$15,655,650</b>

**METHOD OF FINANCING:**

1	General Revenue Fund	15,655,650	15,655,650
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$15,655,650</b>	<b>\$15,655,650</b>

**FULL-TIME EQUIVALENT POSITIONS (FTE):**

2.00	2.00
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**DESCRIPTION / JUSTIFICATION:**

TBD

**EXTERNAL/INTERNAL FACTORS:**

TBD

**PCLS TRACKING KEY:**

PCLS #: PCLS\_87R\_313\_60642

**DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:**

Since the EDR exceptional item is technology to protect endpoints, the majority of costs included are IT project costs. Total biennial amounts for IT project costs are approximately \$30.87 million and would be funded with General Revenue. The IT costs are entirely made up of EDR technology costs and FTEs to manage the program. According to the Information Resources Deployment Review, there are 225,000 "endpoints" at state agencies that have been reported to DIR and the annual cost for a fully managed EDR under the DIR cooperative contracts at this quantity is estimated at approximately \$5.72 a month per endpoint.

**4.A. Exceptional Item Request Schedule**  
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Agency name:  
**Department of Information Resources**

CODE	DESCRIPTION	Excp 2022	Excp 2023
------	-------------	-----------	-----------

**IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?**

NEW

**STATUS:**

This project has not been started and would only begin after approval of the exceptional item.

**OUTCOMES:**

Outcomes include a decrease in successful attacks (e.g. ransomware) on state government entities that utilize the EDR offering. This project would also enable the state to use its volume buying power to substantially reduce the costs of these service. It would also enable smaller agencies with limited staffing and resources to utilize the security of an EDR solution.

**OUTPUTS:**

Implementing a fully managed EDR on all state agency endpoints will help reveal potential cyber threats and better protect agencies from attacks prior to them fully infecting an agency's entire system. There were over 9,000 incidents at state agencies, institutions of higher education, and community colleges over the last five years, costing the State of Texas millions of dollars and in some instances releasing citizens private data.

**TYPE OF PROJECT**

Cyber Security

**ALTERNATIVE ANALYSIS**

DIR believes that a fully managed EDR system is one of the most secure ways to help strengthen the state's cyber security posture. To scale this exceptional item down, would require limiting the number of endpoints that are being protected or only buy the software from a DIR cooperative contract and monitor the system with numerous new DIR FTEs.

**ESTIMATED IT COST**

2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project
\$0	\$0	\$15,434,550	\$15,434,550	\$15,434,550	\$15,434,550	\$15,434,550	77,172,750

**SCALABILITY**

2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project
\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

**4.A. Exceptional Item Request Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/10/2020**  
 TIME: **1:11:35AM**

Agency code: **313**

Agency name:  
**Department of Information Resources**

<b>CODE</b>	<b>DESCRIPTION</b>						<b>Excp 2022</b>	<b>Excp 2023</b>
<b>FTE</b>								
		<b>2020</b>	<b>2021</b>	<b>2022</b>	<b>2023</b>	<b>2024</b>	<b>2025</b>	<b>2026</b>
		0.0	0.0	2.0	2.0	2.0	2.0	2.0

**DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :**

Out-year-costs are continuation of this security initiative.

**ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:**

	<b>2024</b>	<b>2025</b>	<b>2026</b>
	\$15,716,650	\$15,716,650	\$15,716,650

**APPROXIMATE PERCENTAGE OF EXCEPTIONAL ITEM :** 98.00%

**CONTRACT DESCRIPTION :**

Contracting will be for professional services. The two requested FTEs will be State employees.

4.B. Exceptional Items Strategy Allocation Schedule  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME: 1:11:35AM

Agency code: 313 Agency name: Department of Information Resources

Code	Description	Excp 2022	Excp 2023
<b>Item Name:</b> Security Operations Centers Pilot			
<b>Allocation to Strategy:</b> 3-1-2 Assist State Entities in Identifying Security Vulnerabilities			
<b>OBJECTS OF EXPENSE:</b>			
1001	SALARIES AND WAGES	1,650,000	1,704,000
2001	PROFESSIONAL FEES AND SERVICES	2,257,450	2,407,800
2005	TRAVEL	38,000	39,900
2009	OTHER OPERATING EXPENSE	115,700	110,347
5000	CAPITAL EXPENDITURES	4,652,500	842,625
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$8,713,650</b>	<b>\$5,104,672</b>
<b>METHOD OF FINANCING:</b>			
1 General Revenue Fund		8,713,650	5,104,672
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$8,713,650</b>	<b>\$5,104,672</b>
<b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>		13.0	13.0

Agency code: 313 Agency name: Department of Information Resources

Code	Description	Excp 2022	Excp 2023
<b>Item Name:</b> Endpoint Detections & Response			
<b>Allocation to Strategy:</b> 3-1-2 Assist State Entities in Identifying Security Vulnerabilities			
<b>OBJECTS OF EXPENSE:</b>			
1001	SALARIES AND WAGES	200,000	200,000
2001	PROFESSIONAL FEES AND SERVICES	15,437,650	15,437,650
2003	CONSUMABLE SUPPLIES	2,000	2,000
2005	TRAVEL	3,000	3,000
2009	OTHER OPERATING EXPENSE	13,000	13,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$15,655,650</b>	<b>\$15,655,650</b>
<b>METHOD OF FINANCING:</b>			
1 General Revenue Fund		15,655,650	15,655,650
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$15,655,650</b>	<b>\$15,655,650</b>
<b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>		2.0	2.0

**4.C. Exceptional Items Strategy Request**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/10/2020  
**TIME:** 1:11:35AM

Agency Code: **313** Agency name: **Department of Information Resources**

GOAL: 2 Manage the Cost Effective Delivery of IT Commodities & Shared Services

OBJECTIVE: 4 Communications Technology Services

Service Categories:

STRATEGY: 1 Deliver Telecommunications and Network Services

Service: 05 Income: A.2 Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2022</b>	<b>Exp 2023</b>
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**EFFICIENCY MEASURES:**

<u>6</u>	TEX-AN Trouble Tickets As % of Circuits	7.00 %	7.00 %
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**4.C. Exceptional Items Strategy Request**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/10/2020  
**TIME:** 1:11:35AM

Agency Code: **313** Agency name: **Department of Information Resources**

GOAL: 3 Promote Efficient Security

OBJECTIVE: 1 Promote Efficient Security

STRATEGY: 2 Assist State Entities in Identifying Security Vulnerabilities

Service Categories:

Service: 05 Income: A.2 Age: B.3

<b>CODE DESCRIPTION</b>	<b>Exp 2022</b>	<b>Exp 2023</b>
-------------------------	-----------------	-----------------

**OBJECTS OF EXPENSE:**

1001 SALARIES AND WAGES	1,850,000	1,904,000
2001 PROFESSIONAL FEES AND SERVICES	17,695,100	17,845,450
2003 CONSUMABLE SUPPLIES	2,000	2,000
2005 TRAVEL	41,000	42,900
2009 OTHER OPERATING EXPENSE	128,700	123,347
5000 CAPITAL EXPENDITURES	4,652,500	842,625
<b>Total, Objects of Expense</b>	<b>\$24,369,300</b>	<b>\$20,760,322</b>

**METHOD OF FINANCING:**

1 General Revenue Fund	24,369,300	20,760,322
<b>Total, Method of Finance</b>	<b>\$24,369,300</b>	<b>\$20,760,322</b>

**FULL-TIME EQUIVALENT POSITIONS (FTE):** 15.0 15.0

**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Security Operations Centers Pilot

Endpoint Detections & Response

**5.A. Capital Budget Project Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/10/2020**  
 TIME : **1:11:36AM**

Agency code: **313**

Agency name: **Department of Information Resources**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

**OOE / TOF / MOF CODE**

**Est 2020**

**Bud 2021**

**BL 2022**

**BL 2023**

**5005 Acquisition of Information Resource Technologies**

*1/1 Daily Operations*

**OBJECTS OF EXPENSE**

Capital

General	2001	PROFESSIONAL FEES AND SERVICES	\$51,695	\$145,937	\$100,000	\$100,000	
General	2003	CONSUMABLE SUPPLIES	\$16,127	\$0	\$0	\$0	
General	2009	OTHER OPERATING EXPENSE	\$37,264	\$52,000	\$52,000	\$52,000	
Capital Subtotal OOE, Project			1	\$105,086	\$197,937	\$152,000	\$152,000
Subtotal OOE, Project			1	<b>\$105,086</b>	<b>\$197,937</b>	<b>\$152,000</b>	<b>\$152,000</b>

**TYPE OF FINANCING**

Capital

General	CA	8122	DIR Clearing Fund Account - AR	\$24,958	\$47,010	\$36,100	\$36,100
General	CA	8123	Telecommunications Revolving - AR	\$31,789	\$59,876	\$45,980	\$45,980
General	CA	8125	Telecommunications Revolving - IAC	\$13,924	\$26,227	\$20,140	\$20,140
General	CA	8126	Statewide Technology Account - IAC	\$24,695	\$46,515	\$35,720	\$35,720
General	CA	8143	Statewide Network Apps Acct - AR	\$9,720	\$18,309	\$14,060	\$14,060
Capital Subtotal TOF, Project			1	\$105,086	\$197,937	\$152,000	\$152,000
Subtotal TOF, Project			1	<b>\$105,086</b>	<b>\$197,937</b>	<b>\$152,000</b>	<b>\$152,000</b>

*2/2 Personal Computer Purchases*

**OBJECTS OF EXPENSE**

Capital

General	2009	OTHER OPERATING EXPENSE	\$309,869	\$110,131	\$100,000	\$100,000	
Capital Subtotal OOE, Project			2	\$309,869	\$110,131	\$100,000	\$100,000

**5.A. Capital Budget Project Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME : 1:11:36AM

Agency code: 313

Agency name: Department of Information Resources

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

OOE / TOF / MOF CODE		Est 2020	Bud 2021	BL 2022	BL 2023
Subtotal OOE, Project 2		<b>\$309,869</b>	<b>\$110,131</b>	<b>\$100,000</b>	<b>\$100,000</b>
<b>TYPE OF FINANCING</b>					
<u>Capital</u>					
General	CA 8122 DIR Clearing Fund Account - AR	\$73,594	\$26,156	\$23,750	\$23,750
General	CA 8123 Telecommunications Revolving - AR	\$93,735	\$33,315	\$30,250	\$30,250
General	CA 8125 Telecommunications Revolving - IAC	\$41,058	\$14,592	\$13,250	\$13,250
General	CA 8126 Statewide Technology Account - IAC	\$72,819	\$25,881	\$23,500	\$23,500
General	CA 8143 Statewide Network Apps Acct - AR	\$28,663	\$10,187	\$9,250	\$9,250
Capital Subtotal TOF, Project 2		\$309,869	\$110,131	\$100,000	\$100,000
Subtotal TOF, Project 2		<b>\$309,869</b>	<b>\$110,131</b>	<b>\$100,000</b>	<b>\$100,000</b>
<i>3/3 Website Upgrade</i>					
<b>OBJECTS OF EXPENSE</b>					
<u>Capital</u>					
General	2001 PROFESSIONAL FEES AND SERVICES	\$55,928	\$47,572	\$0	\$0
General	2009 OTHER OPERATING EXPENSE	\$39,500	\$0	\$0	\$0
Capital Subtotal OOE, Project 3		\$95,428	\$47,572	\$0	\$0
Subtotal OOE, Project 3		<b>\$95,428</b>	<b>\$47,572</b>	<b>\$0</b>	<b>\$0</b>
<b>TYPE OF FINANCING</b>					
<u>Capital</u>					
General	CA 8122 DIR Clearing Fund Account - AR	\$31,886	\$15,781	\$0	\$0
General	CA 8123 Telecommunications Revolving - AR	\$32,051	\$15,616	\$0	\$0
General	CA 8126 Statewide Technology Account - IAC	\$31,491	\$16,175	\$0	\$0

**5.A. Capital Budget Project Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME : 1:11:36AM

Agency code: **313**

Agency name: **Department of Information Resources**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

**OOE / TOF / MOF CODE**

**Est 2020**

**Bud 2021**

**BL 2022**

**BL 2023**

Capital Subtotal TOF, Project	3	\$95,428	\$47,572	\$0	\$0
Subtotal TOF, Project	3	<b>\$95,428</b>	<b>\$47,572</b>	<b>\$0</b>	<b>\$0</b>
Capital Subtotal, Category	5005	\$510,383	\$355,640	\$252,000	\$252,000
Informational Subtotal, Category	5005				
<b>Total, Category</b>	<b>5005</b>	<b>\$510,383</b>	<b>\$355,640</b>	<b>\$252,000</b>	<b>\$252,000</b>

**7000 Data Center Consolidation**

*4/4 Data Center Consolidation*

**OBJECTS OF EXPENSE**

Capital

General	2001	PROFESSIONAL FEES AND SERVICES	\$1,331,373	\$2,000,772	\$2,581,354	\$1,964,400
Capital Subtotal OOE, Project	4		\$1,331,373	\$2,000,772	\$2,581,354	\$1,964,400
Subtotal OOE, Project	4		<b>\$1,331,373</b>	<b>\$2,000,772</b>	<b>\$2,581,354</b>	<b>\$1,964,400</b>

**TYPE OF FINANCING**

Capital

General	CA	8122	DIR Clearing Fund Account - AR	\$292,094	\$465,106	\$566,285	\$430,941
General	CA	8123	Telecommunications Revolving - AR	\$673,247	\$971,986	\$1,305,197	\$993,250
General	CA	8125	Telecommunications Revolving - IAC	\$44,078	\$58,673	\$85,507	\$65,070
General	CA	8126	Statewide Technology Account - IAC	\$291,190	\$464,042	\$564,671	\$429,712
General	CA	8143	Statewide Network Apps Acct - AR	\$30,764	\$40,965	\$59,694	\$45,427
Capital Subtotal TOF, Project	4		\$1,331,373	\$2,000,772	\$2,581,354	\$1,964,400	
Subtotal TOF, Project	4		<b>\$1,331,373</b>	<b>\$2,000,772</b>	<b>\$2,581,354</b>	<b>\$1,964,400</b>	

**5.A. Capital Budget Project Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/10/2020**  
 TIME : **1:11:36AM**

Agency code: **313**

Agency name: **Department of Information Resources**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

**OOE / TOF / MOF CODE**

**Est 2020**

**Bud 2021**

**BL 2022**

**BL 2023**

Capital Subtotal, Category 7000

\$1,331,373

\$2,000,772

\$2,581,354

\$1,964,400

Informational Subtotal, Category 7000

**Total, Category 7000**

**\$1,331,373**

**\$2,000,772**

**\$2,581,354**

**\$1,964,400**

**9500 Legacy Modernization**

*5/5 Data Optimization*

**OBJECTS OF EXPENSE**

Capital

General 2001 PROFESSIONAL FEES AND SERVICES

\$0

\$350,000

\$700,000

\$0

General 2009 OTHER OPERATING EXPENSE

\$0

\$0

\$110,250

\$115,762

Capital Subtotal OOE, Project 5

\$0

\$350,000

\$810,250

\$115,762

Subtotal OOE, Project 5

**\$0**

**\$350,000**

**\$810,250**

**\$115,762**

**TYPE OF FINANCING**

Capital

General CA 8122 DIR Clearing Fund Account - AR

\$0

\$175,000

\$376,184

\$27,493

General CA 8123 Telecommunications Revolving - AR

\$0

\$175,000

\$383,351

\$35,019

General CA 8125 Telecommunications Revolving - IAC

\$0

\$0

\$14,608

\$15,338

General CA 8126 Statewide Technology Account - IAC

\$0

\$0

\$25,909

\$27,204

General CA 8143 Statewide Network Apps Acct - AR

\$0

\$0

\$10,198

\$10,708

Capital Subtotal TOF, Project 5

\$0

\$350,000

\$810,250

\$115,762

Subtotal TOF, Project 5

**\$0**

**\$350,000**

**\$810,250**

**\$115,762**

**5.A. Capital Budget Project Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/10/2020**  
 TIME : **1:11:36AM**

Agency code: **313**

Agency name: **Department of Information Resources**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

**OOE / TOF / MOF CODE**

**Est 2020**

**Bud 2021**

**BL 2022**

**BL 2023**

Capital Subtotal, Category 9500  
 Informational Subtotal, Category 9500

**Total, Category 9500**

\$0	\$350,000	\$810,250	\$115,762
<b>\$0</b>	<b>\$350,000</b>	<b>\$810,250</b>	<b>\$115,762</b>

**AGENCY TOTAL -CAPITAL**

**AGENCY TOTAL -INFORMATIONAL**

**AGENCY TOTAL**

<b>\$1,841,756</b>	<b>\$2,706,412</b>	<b>\$3,643,604</b>	<b>\$2,332,162</b>
<b>\$1,841,756</b>	<b>\$2,706,412</b>	<b>\$3,643,604</b>	<b>\$2,332,162</b>

**METHOD OF FINANCING:**

Capital

General 8122 DIR Clearing Fund Account - AR  
 General 8123 Telecommunications Revolving - AR  
 General 8125 Telecommunications Revolving - IAC  
 General 8126 Statewide Technology Account - IAC  
 General 8143 Statewide Network Apps Acct - AR

\$422,532	\$729,053	\$1,002,319	\$518,284
\$830,822	\$1,255,793	\$1,764,778	\$1,104,499
\$99,060	\$99,492	\$133,505	\$113,798
\$420,195	\$552,613	\$649,800	\$516,136
\$69,147	\$69,461	\$93,202	\$79,445

Total, Method of Financing-Capital

**Total, Method of Financing**

\$1,841,756	\$2,706,412	\$3,643,604	\$2,332,162
<b>\$1,841,756</b>	<b>\$2,706,412</b>	<b>\$3,643,604</b>	<b>\$2,332,162</b>

**TYPE OF FINANCING:**

Capital

General CA CURRENT APPROPRIATIONS

Total, Type of Financing-Capital

**Total, Type of Financing**

\$1,841,756	\$2,706,412	\$3,643,604	\$2,332,162
\$1,841,756	\$2,706,412	\$3,643,604	\$2,332,162
<b>\$1,841,756</b>	<b>\$2,706,412</b>	<b>\$3,643,604</b>	<b>\$2,332,162</b>

**5.B. Capital Budget Project Information**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME: 1:11:36AM

Agency Code:	<b>313</b>	Agency name:	<b>Department of Information Resources</b>
Category Number:	<b>5005</b>	Category Name:	<b>ACQUISITN INFO RES TECH.</b>
Project number:	<b>1</b>	Project Name:	<b>Daily Operations - Capital</b>

**PROJECT DESCRIPTION**

**General Information**

This project supports all information resource functions necessary for the continued operation of current level services. The Daily Operations project includes costs for the following: staff and equipment to operate and support DIR's technical environment including hardware and software maintenance and tools.

Applicable servers are set on a 3 to 4 year refresh cycle depending on the type of technology, the current age of the server, the load of the server, and finally a balancing of the capital costs across the budgeting cycle.

**PLCS Tracking Key**

**Number of Units / Average Unit Cost** \$152,000/year  
**Estimated Completion Date** 08/31/2023

**Additional Capital Expenditure Amounts Required**

<b>2024</b>	<b>2025</b>
152,000	152,000

**Type of Financing** CA CURRENT APPROPRIATIONS  
**Projected Useful Life** FY2022-23  
**Estimated/Actual Project Cost** \$304,000  
**Length of Financing/ Lease Period** N/A

**ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS**

				<b>Total over</b>
<b>2022</b>	<b>2023</b>	<b>2024</b>	<b>2025</b>	<b>project life</b>
0	0	0	0	0

<b><u>REVENUE GENERATION / COST SAVINGS</u></b>		
<b><u>REVENUE COST FLAG</u></b>	<b><u>MOF CODE</u></b>	<b><u>AVERAGE AMOUNT</u></b>

**Explanation:** This project allows DIR to provide a stable IR infrastructure that meets business needs.

**Project Location:** Austin, Texas

**Beneficiaries:** This project allows DIR Information Resources to support over 220 agency staff and contractors with a reliable, responsive network and computer applications.

**Frequency of Use and External Factors Affecting Use:**

This is an ongoing project part of DIR's operational planning

**5.B. Capital Budget Project Information**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME: 1:11:36AM

Agency Code:	<b>313</b>	Agency name:	<b>Department of Information Resources</b>
Category Number:	<b>5005</b>	Category Name:	<b>ACQUISITN INFO RES TECH.</b>
Project number:	<b>2</b>	Project Name:	<b>PC Purchase</b>

**PROJECT DESCRIPTION**

**General Information**

This project is a continuation of the 5-year refresh cycle begun in the FY2020-21 biennium. Equipment to be refreshed includes laptops, desktops, and printers. Purchases will include 5-year warranties to align with the intended refresh cycle.

**PLCS Tracking Key**

**Number of Units / Average Unit Cost** \$100,000/year  
**Estimated Completion Date** 08/31/2023

**Additional Capital Expenditure Amounts Required**

<b>2024</b>	<b>2025</b>
100,000	100,000

**Type of Financing** CA CURRENT APPROPRIATIONS  
**Projected Useful Life** 5 years  
**Estimated/Actual Project Cost** \$200,000  
**Length of Financing/ Lease Period** N/A

**ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS**

				<b>Total over</b>
<b>2022</b>	<b>2023</b>	<b>2024</b>	<b>2025</b>	<b>project life</b>
0	0	0	0	0

<b><u>REVENUE GENERATION / COST SAVINGS</u></b>		
<b><u>REVENUE COST FLAG</u></b>	<b><u>MOF CODE</u></b>	<b><u>AVERAGE AMOUNT</u></b>

**Explanation:** This is a continuation of the 5-year equipment refresh cycle begun in FY2020-21 biennium.

**Project Location:** Austin, Texas

**Beneficiaries:** DIR customers internal and external

**Frequency of Use and External Factors Affecting Use:**

Part of Daily Operations

**5.B. Capital Budget Project Information**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME: 1:11:36AM

Agency Code:	<b>313</b>	Agency name:	<b>Department of Information Resources</b>
Category Number:	<b>5005</b>	Category Name:	<b>ACQUISITN INFO RES TECH.</b>
Project number:	<b>3</b>	Project Name:	<b>Website Upgrade</b>

**PROJECT DESCRIPTION**

**General Information**

This project was begun in FY 2019 to improve the website through IT upgrades on the foundation. DIR's core website has been migrated to a modern platform, a transformation that has included re-branding with new graphical content and improved functionality.

**PLCS Tracking Key**

**Number of Units / Average Unit Cost** NA  
**Estimated Completion Date** 08/31/2021

<b>Additional Capital Expenditure Amounts Required</b>		<b>2024</b>	<b>2025</b>
		0	0
<b>Type of Financing</b>	CA	CURRENT APPROPRIATIONS	
<b>Projected Useful Life</b>	Ongoing		
<b>Estimated/Actual Project Cost</b>	\$0		
<b>Length of Financing/ Lease Period</b>	NA		

**ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS**

	<b>2022</b>	<b>2023</b>	<b>2024</b>	<b>2025</b>	<b>Total over project life</b>
	0	0	0	0	0

<b><u>REVENUE GENERATION / COST SAVINGS</u></b>		
<b><u>REVENUE COST FLAG</u></b>	<b><u>MOF CODE</u></b>	<b><u>AVERAGE AMOUNT</u></b>

**Explanation:** The Agency website previously used older and inconsistent foundational IT, resulting in maintenance that was not sustainable. The project goal has been to create a website that reflects a more technologically advanced presence, as an Agency core mission is to provide technology leadership.

**Project Location:** Texas

**Beneficiaries:** Internal and external DIR website users. Future internal and external users, as the upgrade will allow the introduction of newer Web 2.0 type functionality.

**Frequency of Use and External Factors Affecting Use:**

After the migration activity related to deploying replacement functionality is complete in FY 2021, the Agency will look toward hosting the DIR website within the Texas.gov environment. To the extent additional costs are needed in FY2022-23, DIR would use regular appropriations within existing transferability limitations.

**5.B. Capital Budget Project Information**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME: 1:11:36AM

Agency Code:	<b>313</b>	Agency name:	<b>Department of Information Resources</b>
Category Number:	<b>7000</b>	Category Name:	<b>Data Center Consolidation</b>
Project number:	<b>4</b>	Project Name:	<b>Data Center Consolidation</b>

**PROJECT DESCRIPTION**

**General Information**

The Data Center Services (DCS) program, overseen by the Texas Department of Information Resource (DIR):

Enables Texas state agencies to share costly data center infrastructure, reduce focus on IT operations, and therefore concentrate on their core business

Provides mainframe, server, network, data center, and print/mail services

Delivers services in legacy agency data centers while consolidating operations to the two regionally diverse state data centers

Supports most of the largest state agencies, while providing the flexibility to deliver services to smaller customers as well.

How DCS Works

**PLCS Tracking Key**

**Number of Units / Average Unit Cost** \$2,000,000/year

**Estimated Completion Date** Ongoing

**Additional Capital Expenditure Amounts Required**

	<b>2024</b>	<b>2025</b>
	2,000,000	2,000,000

**Type of Financing** CA CURRENT APPROPRIATIONS

**Projected Useful Life** Ongoing

**Estimated/Actual Project Cost** \$2,000,000

**Length of Financing/ Lease Period** NA

**ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS**

2022	2023	2024	2025	Total over project life
0	0	0	0	0

**REVENUE GENERATION / COST SAVINGS**

<u>REVENUE COST FLAG</u>	<u>MOF CODE</u>	<u>AVERAGE AMOUNT</u>

**Explanation:** Texas Government Code §2054.375, Subchapter L. Statewide Technology Centers requires DIR to manage a statewide data center consolidation and identify agencies for participation.

**Project Location:** Texas

**Beneficiaries:** Statewide Technology Services customer

**Frequency of Use and External Factors Affecting Use:**

DCS services are available for all Texas state agencies, universities, and local entities.

**5.B. Capital Budget Project Information**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/10/2020  
 TIME: 1:11:36AM

Agency Code:	<b>313</b>	Agency name:	<b>Department of Information Resources</b>
Category Number:	<b>9500</b>	Category Name:	<b>Legacy Modernization</b>
Project number:	<b>5</b>	Project Name:	<b>Data Optimization</b>

**PROJECT DESCRIPTION**

**General Information**

This project involves replacing the Agency's current internal data structure with an analytics system built upon an architecture that is scalable and based on an industry-standard design.

<b>PLCS Tracking Key</b>	PCLS_87R_313_613344
<b>Number of Units / Average Unit Cost</b>	\$350,000/year
<b>Estimated Completion Date</b>	08/31/2023

<b>Additional Capital Expenditure Amounts Required</b>	<b>2024</b>	<b>2025</b>
	350,000	350,000

<b>Type of Financing</b>	CA	CURRENT APPROPRIATIONS
<b>Projected Useful Life</b>	Ongoing	
<b>Estimated/Actual Project Cost</b>	\$350,000	
<b>Length of Financing/ Lease Period</b>	N/A	

**ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS**

	<b>2022</b>	<b>2023</b>	<b>2024</b>	<b>2025</b>	<b>Total over project life</b>
	0	0	0	0	0

**REVENUE GENERATION / COST SAVINGS**

<b><u>REVENUE COST FLAG</u></b>	<b><u>MOF CODE</u></b>	<b><u>AVERAGE AMOUNT</u></b>
---------------------------------	------------------------	------------------------------

**Explanation:** The DIR portfolio of services has grown significantly in both scope and complexity over the past 10 years. Legislative mandates and business management reporting needs continue to evolve, as the needs of Texas change. DIR's current Business Intelligence and Data Warehouse solutions no longer meet these evolving requirements, due to an antiquated architecture that has not been able to keep pace with the new and changing systems, programs, and business needs.

**Project Location:** Austin, Texas

**Beneficiaries:** Internal Agency users.

- \*Reduced staff hours required to fulfill monthly close and reporting requirements.
- \*Reduced data complexity and improved accuracy as each interaction is completed.

**Frequency of Use and External Factors Affecting Use:**

Various data warehouse methodologies technologies are being researched in order to determine what is the right mix of people, process and technology.

Agency code: 313 Agency name: Department of Information Resources

Category Code/Name

*Project Sequence/Project Id/Name*

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
<b>5005 Acquisition of Information Resource Technologies</b>					
<i>1/1 Daily Operations - Capital</i>					
<b><u>GENERAL BUDGET</u></b>					
Capital	4-1-2 INFORMATION RESOURCES	105,086	197,937	\$152,000	\$152,000
	TOTAL, PROJECT	\$105,086	\$197,937	\$152,000	\$152,000
<i>2/2 PC Purchase</i>					
<b><u>GENERAL BUDGET</u></b>					
Capital	4-1-2 INFORMATION RESOURCES	309,869	110,131	100,000	100,000
	TOTAL, PROJECT	\$309,869	\$110,131	\$100,000	\$100,000
<i>3/3 Website Upgrade</i>					
<b><u>GENERAL BUDGET</u></b>					
Capital	2-1-1 CONTRACT ADMIN OF IT COMM & SVCS	31,886	15,781	0	0
	2-2-1 SHARED TECHNOLOGY SERVICES	31,491	16,175	0	0
	2-4-1 COMMUNICATIONS TECHNOLOGY SERVICES	32,051	15,616	0	0
	TOTAL, PROJECT	\$95,428	\$47,572	\$0	\$0
<b>7000 Data Center Consolidation</b>					
<i>4/4 Data Center Consolidation</i>					
<b><u>GENERAL BUDGET</u></b>					
Capital	4-1-2 INFORMATION RESOURCES	332,843	442,711	645,337	491,099
	2-1-1 CONTRACT ADMIN OF IT COMM & SVCS	213,020	359,977	413,017	314,304

**5.C. Capital Budget Allocation to Strategies (Baseline)**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/10/2020**  
 TIME: **1:11:36AM**

Agency code: **313**                      Agency name: **Department of Information Resources**

**Category Code/Name**

*Project Sequence/Project Id/Name*

	<b>Goal/Obj/Str</b>	<b>Strategy Name</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
Capital	2-2-1	SHARED TECHNOLOGY SERVICES	213,020	359,976	\$413,017	\$314,304
	2-4-1	COMMUNICATIONS TECHNOLOGY SERVICES	572,490	838,108	1,109,983	844,693
		TOTAL, PROJECT	<u>\$1,331,373</u>	<u>\$2,000,772</u>	<u>\$2,581,354</u>	<u>\$1,964,400</u>

**9500 Legacy Modernization**

*5/5 Data Optimization*

**GENERAL BUDGET**

Capital	4-1-2	INFORMATION RESOURCES	0	0	110,250	115,762
	2-1-1	CONTRACT ADMIN OF IT COMM & SVCS	0	175,000	350,000	0
	2-4-1	COMMUNICATIONS TECHNOLOGY SERVICES	0	175,000	350,000	0
		TOTAL, PROJECT	<u>\$0</u>	<u>\$350,000</u>	<u>\$810,250</u>	<u>\$115,762</u>
		<b>TOTAL CAPITAL, ALL PROJECTS</b>	<b>\$1,841,756</b>	<b>\$2,706,412</b>	<b>\$3,643,604</b>	<b>\$2,332,162</b>
		<b>TOTAL INFORMATIONAL, ALL PROJECTS</b>				
		<b>TOTAL, ALL PROJECTS</b>	<u><b>\$1,841,756</b></u>	<u><b>\$2,706,412</b></u>	<u><b>\$3,643,604</b></u>	<u><b>\$2,332,162</b></u>

**313 Department of Information Resources**

**Category Code/Name**

*Project Sequence/Name*

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
<b>5005 Acquisition of Information Resource Technologies</b>					
<b>1 Daily Operations - Capital</b>					
<b>OOE</b>					
<b>Capital</b>					
<b>4-1-2 INFORMATION RESOURCES</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	51,695	145,937	100,000	100,000
2003	CONSUMABLE SUPPLIES	16,127	0	0	0
2009	OTHER OPERATING EXPENSE	37,264	52,000	52,000	52,000
<b>TOTAL, OOE's</b>		<b>\$105,086</b>	<b>\$197,937</b>	<b>152,000</b>	<b>152,000</b>
<b>MOF</b>					
<b>OTHER FUNDS</b>					
<b>Capital</b>					
<b>4-1-2 INFORMATION RESOURCES</b>					
<b><u>General Budget</u></b>					
8122	DIR Clearing Fund Account - AR	24,958	47,010	36,100	36,100
8123	Telecommunications Revolving - AR	31,789	59,876	45,980	45,980
8125	Telecommunications Revolving - IAC	13,924	26,227	20,140	20,140
8126	Statewide Technology Account - IAC	24,695	46,515	35,720	35,720
8143	Statewide Network Apps Acct - AR	9,720	18,309	14,060	14,060
<b>TOTAL, OTHER FUNDS</b>		<b>\$105,086</b>	<b>\$197,937</b>	<b>152,000</b>	<b>152,000</b>
<b>TOTAL, MOF's</b>		<b>\$105,086</b>	<b>\$197,937</b>	<b>152,000</b>	<b>152,000</b>

313 Department of Information Resources

Category Code/Name		Est 2020	Bud 2021	BL 2022	BL 2023
Project Sequence/Name					
Goal/Obj/Str	Strategy Name				
<b>2 PC Purchase</b>					
<b>OOE</b>					
<b>Capital</b>					
<b>4-1-2 INFORMATION RESOURCES</b>					
<b><u>General Budget</u></b>					
2009	OTHER OPERATING EXPENSE	309,869	110,131	100,000	100,000
<b>TOTAL, OOE's</b>		<b>\$309,869</b>	<b>\$110,131</b>	<b>100,000</b>	<b>100,000</b>
<b>MOF</b>					
<b>OTHER FUNDS</b>					
<b>Capital</b>					
<b>4-1-2 INFORMATION RESOURCES</b>					
<b><u>General Budget</u></b>					
8122	DIR Clearing Fund Account - AR	73,594	26,156	23,750	23,750
8123	Telecommunications Revolving - AR	93,735	33,315	30,250	30,250
8125	Telecommunications Revolving - IAC	41,058	14,592	13,250	13,250
8126	Statewide Technology Account - IAC	72,819	25,881	23,500	23,500
8143	Statewide Network Apps Acct - AR	28,663	10,187	9,250	9,250
<b>TOTAL, OTHER FUNDS</b>		<b>\$309,869</b>	<b>\$110,131</b>	<b>100,000</b>	<b>100,000</b>
<b>TOTAL, MOF's</b>		<b>\$309,869</b>	<b>\$110,131</b>	<b>100,000</b>	<b>100,000</b>

**313 Department of Information Resources**

Category Code/Name		Est 2020	Bud 2021	BL 2022	BL 2023
<i>Project Sequence/Name</i>					
Goal/Obj/Str	Strategy Name				
<b>3 Website Upgrade</b>					
<b>OOE</b>					
<b>Capital</b>					
<b>2-1-1 CONTRACT ADMIN OF IT COMM &amp; SVCS</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	18,456	15,781	0	0
2009	OTHER OPERATING EXPENSE	13,430	0	0	0
<b>2-2-1 SHARED TECHNOLOGY SERVICES</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	18,456	16,175	0	0
2009	OTHER OPERATING EXPENSE	13,035	0	0	0
<b>2-4-1 COMMUNICATIONS TECHNOLOGY SERVICES</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	19,016	15,616	0	0
2009	OTHER OPERATING EXPENSE	13,035	0	0	0
<b>TOTAL, OOE's</b>		<b>\$95,428</b>	<b>\$47,572</b>	<b>0</b>	<b>0</b>
<b>MOF</b>					
<b>OTHER FUNDS</b>					
<b>Capital</b>					
<b>2-1-1 CONTRACT ADMIN OF IT COMM &amp; SVCS</b>					
<b><u>General Budget</u></b>					
8122	DIR Clearing Fund Account - AR	31,886	15,781	0	0
<b>2-2-1 SHARED TECHNOLOGY SERVICES</b>					

**313 Department of Information Resources**

**Category Code/Name**

*Project Sequence/Name*

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
<b>3 Website Upgrade</b>					
<b><u>General Budget</u></b>					
8126	Statewide Technology Account - IAC	31,491	16,175	0	0
<b>2-4-1 COMMUNICATIONS TECHNOLOGY SERVICES</b>					
<b><u>General Budget</u></b>					
8123	Telecommunications Revolving - AR	32,051	15,616	0	0
<b>TOTAL, OTHER FUNDS</b>		<b>\$95,428</b>	<b>\$47,572</b>	<b>0</b>	<b>0</b>
<b>TOTAL, MOFs</b>		<b>\$95,428</b>	<b>\$47,572</b>	<b>0</b>	<b>0</b>

**7000 Data Center Consolidation**

**313 Department of Information Resources**

Category Code/Name		Est 2020	Bud 2021	BL 2022	BL 2023
Project Sequence/Name					
Goal/Obj/Str	Strategy Name				
<b>4 Data Center Consolidation</b>					
<b>OOE</b>					
<b>Capital</b>					
<b>2-1-1 CONTRACT ADMIN OF IT COMM &amp; SVCS</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	213,020	359,977	413,017	314,304
<b>2-2-1 SHARED TECHNOLOGY SERVICES</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	213,020	359,976	413,017	314,304
<b>2-4-1 COMMUNICATIONS TECHNOLOGY SERVICES</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	572,490	838,108	1,109,983	844,693
<b>4-1-2 INFORMATION RESOURCES</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	332,843	442,711	645,337	491,099
<b>TOTAL, OOE's</b>		<b>\$1,331,373</b>	<b>\$2,000,772</b>	<b>2,581,354</b>	<b>1,964,400</b>
<b>MOF</b>					
<b>OTHER FUNDS</b>					
<b>Capital</b>					
<b>2-1-1 CONTRACT ADMIN OF IT COMM &amp; SVCS</b>					
<b><u>General Budget</u></b>					
8122	DIR Clearing Fund Account - AR	213,020	359,977	413,017	314,304
<b>2-2-1 SHARED TECHNOLOGY SERVICES</b>					

**313 Department of Information Resources**

**Category Code/Name**

*Project Sequence/Name*

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
<b>4 Data Center Consolidation</b>					
<b><u>General Budget</u></b>					
8126	Statewide Technology Account - IAC	213,020	359,976	413,017	314,304
<b>2-4-1 COMMUNICATIONS TECHNOLOGY SERVICES</b>					
<b><u>General Budget</u></b>					
8123	Telecommunications Revolving - AR	572,490	838,108	1,109,983	844,693
<b>4-1-2 INFORMATION RESOURCES</b>					
<b><u>General Budget</u></b>					
8122	DIR Clearing Fund Account - AR	79,074	105,129	153,268	116,637
8123	Telecommunications Revolving - AR	100,757	133,878	195,214	148,557
8125	Telecommunications Revolving - IAC	44,078	58,673	85,507	65,070
8126	Statewide Technology Account - IAC	78,170	104,066	151,654	115,408
8143	Statewide Network Apps Acct - AR	30,764	40,965	59,694	45,427
<b>TOTAL, OTHER FUNDS</b>		<b>\$1,331,373</b>	<b>\$2,000,772</b>	<b>2,581,354</b>	<b>1,964,400</b>
<b>TOTAL, MOFs</b>		<b>\$1,331,373</b>	<b>\$2,000,772</b>	<b>2,581,354</b>	<b>1,964,400</b>

**9500 Legacy Modernization**

**313 Department of Information Resources**

Category Code/Name		Est 2020	Bud 2021	BL 2022	BL 2023
Project Sequence/Name					
Goal/Obj/Str	Strategy Name				
<b>5 Data Optimization</b>					
<b>OOE</b>					
<b>Capital</b>					
<b>2-1-1 CONTRACT ADMIN OF IT COMM &amp; SVCS</b>					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	0	175,000	350,000	0
<b>2-4-1 COMMUNICATIONS TECHNOLOGY SERVICES</b>					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	0	175,000	350,000	0
<b>4-1-2 INFORMATION RESOURCES</b>					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	0	0	110,250	115,762
<b>TOTAL, OOE's</b>		<b>\$0</b>	<b>\$350,000</b>	<b>810,250</b>	<b>115,762</b>
<b>MOF</b>					
<b>OTHER FUNDS</b>					
<b>Capital</b>					
<b>2-1-1 CONTRACT ADMIN OF IT COMM &amp; SVCS</b>					
<u>General Budget</u>					
8122	DIR Clearing Fund Account - AR	0	175,000	350,000	0
<b>2-4-1 COMMUNICATIONS TECHNOLOGY SERVICES</b>					
<u>General Budget</u>					
8123	Telecommunications Revolving - AR	0	175,000	350,000	0
<b>4-1-2 INFORMATION RESOURCES</b>					

**313 Department of Information Resources**

**Category Code/Name**

*Project Sequence/Name*

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
<b>5 Data Optimization</b>					
<b>General Budget</b>					
8122	DIR Clearing Fund Account - AR	0	0	26,184	27,493
8123	Telecommunications Revolving - AR	0	0	33,351	35,019
8125	Telecommunications Revolving - IAC	0	0	14,608	15,338
8126	Statewide Technology Account - IAC	0	0	25,909	27,204
8143	Statewide Network Apps Acct - AR	0	0	10,198	10,708
	<b>TOTAL, OTHER FUNDS</b>	<b>\$0</b>	<b>\$350,000</b>	<b>810,250</b>	<b>115,762</b>
	<b>TOTAL, MOFs</b>	<b>\$0</b>	<b>\$350,000</b>	<b>810,250</b>	<b>115,762</b>

**313 Department of Information Resources**

		<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
<b>CAPITAL</b>					
<b><u>General Budget</u></b>					
<b>OTHER FUNDS</b>					
<b>TOTAL, GENERAL BUDGET</b>		\$1,841,756	\$2,706,412	3,643,604	2,332,162
		1,841,756	2,706,412	3,643,604	2,332,162
<b>TOTAL, ALL PROJECTS</b>		<b>\$1,841,756</b>	<b>\$2,706,412</b>	<b>3,643,604</b>	<b>2,332,162</b>

**6.A. Historically Underutilized Business Supporting Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/10/2020**  
 Time: **1:11:37AM**

Agency Code: **313** Agency: **Department of Information Resources**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

**A. Fiscal Year - HUB Expenditure Information**

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2018			Total Expenditures FY 2018		HUB Expenditures FY 2019			Total Expenditures FY 2019	
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	FY 2019		
32.9%	Special Trade	32.9 %	0.0%	-32.9%	\$0	\$0	32.9 %	0.0%	-32.9%	\$0	\$0	
23.7%	Professional Services	23.7 %	0.0%	-23.7%	\$0	\$188,720	23.7 %	0.0%	-23.7%	\$0	\$10,000	
26.0%	Other Services	26.0 %	33.1%	7.1%	\$4,262,391	\$12,877,772	26.0 %	33.1%	7.1%	\$5,709,610	\$17,272,039	
21.1%	Commodities	21.1 %	26.7%	5.6%	\$642,234	\$2,408,594	21.1 %	26.4%	5.3%	\$1,030,895	\$3,905,662	
	<b>Total Expenditures</b>		<b>31.7%</b>		<b>\$4,904,625</b>	<b>\$15,475,086</b>		<b>31.8%</b>		<b>\$6,740,505</b>	<b>\$21,187,701</b>	

**B. Assessment of Fiscal Year - Efforts to Meet HUB Procurement Goals**

**Attainment:**

In FY 2018, the agency attained or exceeded the 26.0% Other Services, and 21.1% Commodities category HUB goals.  
 In FY 2019, the agency attained or exceeded the 26.0% Other Services, and 21.1% Commodities category HUB goals.

**Applicability:**

The “Heavy Construction” and “Building Construction” categories were not applicable to agency operation. DIR’s offices are in state-owned buildings managed by the Texas Facility Commission. In addition, DIR typically does not purchase in the “Special Trade” category.

**Factors Affecting Attainment:**

Professional Services: The agency procured outside financial and accounting services in the professional category, due to the specialize nature of the procurement there were a limited number of HUB vendors responding to the solicitation.

**"Good-Faith" Efforts:**

DIR's HUB Program has the dual role of increasing HUB participation, through DIR internal procurement and the Chief Procurement Office (CPO) Contracts Program (external contracts).

DIR supported (2) Mentor-Protégé Agreements (MPA) for FY 2018 and FY 2019.

DIR supported seventy-five (72) events throughout the state which included: sponsored, co-sponsored, provided training, and other events for FY 2018 (38 events) and FY 2019 (34 events). DIR has increased the number of "Outreach and Education" seminars attended or supported.

DIR procurement department utilizes the Comptroller's Centralized Master Bidders List (CMBL) for all request for offers. DIR posts all opportunities that exceed \$25,000 on the Electronic State Business Daily (ESBD). In most cases, the posting exceeds state requirements.

**6.C. Federal Funds Supporting Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

10/10/2020 1:11:37AM

		<b>313 Department of Information Resources</b>				
<b>CFDA NUMBER/ STRATEGY</b>		<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
<b>97.067.000</b>	Homeland Security Grant					
3 - 1 - 2	SECURITY SERVICES	172,235	218,081	403,438	404,438	404,438
<b>TOTAL, ALL STRATEGIES</b>		<b>\$172,235</b>	<b>\$218,081</b>	<b>\$403,438</b>	<b>\$404,438</b>	<b>\$404,438</b>
<b>ADDL FED FNDS FOR EMPL BENEFITS</b>		46,503	58,824	88,562	88,562	88,562
<b>TOTAL, FEDERAL FUNDS</b>		<b>\$218,738</b>	<b>\$276,905</b>	<b>\$492,000</b>	<b>\$493,000</b>	<b>\$493,000</b>
<b>ADDL GR FOR EMPL BENEFITS</b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

<b>313 Department of Information Resources</b>		<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
<b>CFDA NUMBER/ STRATEGY</b>						
 <b><u>SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS</u></b>						
97.067.000	Homeland Security Grant	172,235	218,081	403,438	404,438	404,438
<b>TOTAL, ALL STRATEGIES</b>		\$172,235	\$218,081	\$403,438	\$404,438	\$404,438
<b>TOTAL, ADDL FED FUNDS FOR EMPL BENEFITS</b>		46,503	58,824	88,562	88,562	88,562
<b>TOTAL, FEDERAL FUNDS</b>		<b>\$218,738</b>	<b>\$276,905</b>	<b>\$492,000</b>	<b>\$493,000</b>	<b>\$493,000</b>
<b>TOTAL, ADDL GR FOR EMPL BENEFITS</b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**SUMMARY OF SPECIAL CONCERNS/ISSUES**

**Assumptions and Methodology:**

DIR is a sub-recipient grantee on a Cybersecurity grant through the Office of the Governor.

**Potential Loss:**

**6.E. Estimated Revenue Collections Supporting Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **313** Agency name: **Department of Information Resources**

<b>FUND/ACCOUNT</b>	<b>Act 2019</b>	<b>Exp 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>
<b><u>1</u> General Revenue Fund</b>					
Beginning Balance (Unencumbered):	\$2,029,738	\$7,081,575	\$8,126,464	\$5,302,497	\$5,302,496
Estimated Revenue:					
<b>DEDUCTIONS:</b>					
Operating Expenses	(2,029,738)	(2,478,528)	(8,126,464)	(5,302,497)	(5,302,496)
<b>Total, Deductions</b>	<b>\$(2,029,738)</b>	<b>\$(2,478,528)</b>	<b>\$(8,126,464)</b>	<b>\$(5,302,497)</b>	<b>\$(5,302,496)</b>
<b>Ending Fund/Account Balance</b>	<b>\$0</b>	<b>\$4,603,047</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**REVENUE ASSUMPTIONS:**

The General Appropriations Act for the 2020-21 Biennium provided DIR with General Revenue to support various information security initiatives. These initiatives include, but are not limited to, the following: 1) Provide vulnerability and penetration testing services to state agencies and institutions of higher education; 2) Provide a Statewide

Risk Based Multifactor Authentication service to create an additional layer of security to prevent unauthorized users from accessing critical systems ; 3) Provide additional security related training to agency programmers; and 4) multi-factor authentication support and services.

The Agency is requesting continued revenue to support these initiatives ongoing.

**CONTACT PERSON:**

Erik Freymuth

**6.E. Estimated Revenue Collections Supporting Schedule**  
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 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **313** Agency name: **Department of Information Resources**

<b>FUND/ACCOUNT</b>	<b>Act 2019</b>	<b>Exp 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>
<b>8122 DIR Clearing Fund Account - AR</b>					
Beginning Balance (Unencumbered):	\$1,080,099	\$997,772	\$1,766,516	\$2,563,258	\$2,371,209
Estimated Revenue:					
3766 Supplies/Equip/Servs-Local Funds	14,017,059	13,950,241	14,723,387	14,723,387	14,723,387
Subtotal: Actual/Estimated Revenue	14,017,059	13,950,241	14,723,387	14,723,387	14,723,387
<b>Total Available</b>	<b>\$15,097,158</b>	<b>\$14,948,013</b>	<b>\$16,489,903</b>	<b>\$17,286,645</b>	<b>\$17,094,596</b>
<b>DEDUCTIONS:</b>					
Operating Expenditures	(12,650,414)	(11,854,085)	(12,599,233)	(13,588,024)	(13,139,004)
Transfer For Employee Benefits	(1,448,972)	(1,327,412)	(1,327,412)	(1,327,412)	(1,327,412)
<b>Total, Deductions</b>	<b>\$(14,099,386)</b>	<b>\$(13,181,497)</b>	<b>\$(13,926,645)</b>	<b>\$(14,915,436)</b>	<b>\$(14,466,416)</b>
<b>Ending Fund/Account Balance</b>	<b>\$997,772</b>	<b>\$1,766,516</b>	<b>\$2,563,258</b>	<b>\$2,371,209</b>	<b>\$2,628,180</b>

**REVENUE ASSUMPTIONS:**

The Cooperative Contracts program is designed to generate savings for government entities using taxpayers funds by efficiently leveraging volume buying power to lower costs and increase the quality of the state's investment in technology commodities. The goal of the project is to lower statewide costs through economies of scale.

**CONTACT PERSON:**

Erik Freymuth

**6.E. Estimated Revenue Collections Supporting Schedule**  
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 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **313** Agency name: **Department of Information Resources**

<b>FUND/ACCOUNT</b>	<b>Act 2019</b>	<b>Exp 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>
<b>8123 Telecommunications Revolving - AR</b>					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3001 Fed Receipts Matched-Transport Pgm	24,975,918	22,551,242	25,658,625	28,117,943	29,259,316
Subtotal: Actual/Estimated Revenue	24,975,918	22,551,242	25,658,625	28,117,943	29,259,316
<b>Total Available</b>	<b>\$24,975,918</b>	<b>\$22,551,242</b>	<b>\$25,658,625</b>	<b>\$28,117,943</b>	<b>\$29,259,316</b>
<b>DEDUCTIONS:</b>					
Expenditures	(24,848,918)	(22,424,242)	(25,531,625)	(27,990,943)	(29,132,316)
Transfer For Employee Benefits	(127,000)	(127,000)	(127,000)	(127,000)	(127,000)
<b>Total, Deductions</b>	<b>\$(24,975,918)</b>	<b>\$(22,551,242)</b>	<b>\$(25,658,625)</b>	<b>\$(28,117,943)</b>	<b>\$(29,259,316)</b>
<b>Ending Fund/Account Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**REVENUE ASSUMPTIONS:**

The Communications Technology Services program supports statewide voice, video, and data services through the state's communication system. The program also manages the Capitol Complex Telephone System which delivers voice and data communications support within the Capitol Complex.

**CONTACT PERSON:**

Erik Freymuth

**6.E. Estimated Revenue Collections Supporting Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **313** Agency name: **Department of Information Resources**

<b>FUND/ACCOUNT</b>	<b>Act 2019</b>	<b>Exp 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>
<b>8125 Telecommunications Revolving - IAC</b>					
Beginning Balance (Unencumbered):	\$3,159,193	\$3,278,627	\$4,056,546	\$3,171,135	\$1,676,066
Estimated Revenue:					
3961 STS Transfers to GR Fund 1	57,275,515	71,403,754	59,899,528	65,899,880	69,044,301
3962 Capitol Complex Tfers to Fund 1	4,684,703	5,408,056	5,395,000	5,672,000	4,855,270
Subtotal: Actual/Estimated Revenue	61,960,218	76,811,810	65,294,528	71,571,880	73,899,571
<b>Total Available</b>	<b>\$65,119,411</b>	<b>\$80,090,437</b>	<b>\$69,351,074</b>	<b>\$74,743,015</b>	<b>\$75,575,637</b>
<b>DEDUCTIONS:</b>					
Expenditures	(61,799,937)	(75,978,974)	(66,129,939)	(73,016,949)	(73,840,943)
Transfer For Employee Benefits	(40,847)	(54,917)	(50,000)	(50,000)	(50,000)
<b>Total, Deductions</b>	<b>\$(61,840,784)</b>	<b>\$(76,033,891)</b>	<b>\$(66,179,939)</b>	<b>\$(73,066,949)</b>	<b>\$(73,890,943)</b>
<b>Ending Fund/Account Balance</b>	<b>\$3,278,627</b>	<b>\$4,056,546</b>	<b>\$3,171,135</b>	<b>\$1,676,066</b>	<b>\$1,684,694</b>

**REVENUE ASSUMPTIONS:**

The Communications Technology Services program supports statewide voice, video, and data services through the state's communication system. The program also manages the Capitol Complex Telephone System which delivers voice and data communications support within the Capitol Complex.

**CONTACT PERSON:**

Erik Freymuth

**6.E. Estimated Revenue Collections Supporting Schedule**  
87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **313** Agency name: **Department of Information Resources**

<b>FUND/ACCOUNT</b>	<b>Act 2019</b>	<b>Exp 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>
<b>8126 Statewide Technology Account - IAC</b>					
Beginning Balance (Unencumbered):	\$2,071,956	\$2,568,433	\$2,485,230	\$3,304,292	\$3,198,896
Estimated Revenue:					
3727 Fees - Administrative Services	272,056,923	295,362,830	292,215,864	329,785,621	325,234,466
Subtotal: Actual/Estimated Revenue	272,056,923	295,362,830	292,215,864	329,785,621	325,234,466
<b>Total Available</b>	<b>\$274,128,879</b>	<b>\$297,931,263</b>	<b>\$294,701,094</b>	<b>\$333,089,913</b>	<b>\$328,433,362</b>
<b>DEDUCTIONS:</b>					
Expenditures	(271,062,121)	(294,966,033)	(290,916,802)	(329,411,017)	(324,619,673)
Transfer Out For Benefits	(498,325)	(480,000)	(480,000)	(480,000)	(480,000)
<b>Total, Deductions</b>	<b>\$(271,560,446)</b>	<b>\$(295,446,033)</b>	<b>\$(291,396,802)</b>	<b>\$(329,891,017)</b>	<b>\$(325,099,673)</b>
<b>Ending Fund/Account Balance</b>	<b>\$2,568,433</b>	<b>\$2,485,230</b>	<b>\$3,304,292</b>	<b>\$3,198,896</b>	<b>\$3,333,689</b>

**REVENUE ASSUMPTIONS:**

The Shared Technology Services – Data Center Services program strives to continue providing its customers uninterrupted accessibility to data , while securing data citizens have entrusted to our customers. DCS has enabled its customers to leverage data center infrastructure and reduce State personnel allocated to IT operations so the Customers can focus on accomplishing their core missions and business functions. In addition to enhanced security of the state’s IT infrastructure, DCS offers mainframe, server, network, data center operations, managed application services, and bulk print/mail technology and services through a hybrid cloud model that leverages customers’ on-premise facilities, two regionally diverse state data centers, and various cloud providers. DIR collects an administrative fee of 2.95% to recover its operating expenses.

**CONTACT PERSON:**

Erik Freymuth

**6.E. Estimated Revenue Collections Supporting Schedule**  
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Agency Code: **313** Agency name: **Department of Information Resources**

<b>FUND/ACCOUNT</b>	<b>Act 2019</b>	<b>Exp 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>
<b>8127 State Technology Acct-Appt Receipts</b>					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3727 Fees - Administrative Services	307,037	903,153	900,000	1,000,000	1,000,000
Subtotal: Actual/Estimated Revenue	307,037	903,153	900,000	1,000,000	1,000,000
<b>Total Available</b>	<b>\$307,037</b>	<b>\$903,153</b>	<b>\$900,000</b>	<b>\$1,000,000</b>	<b>\$1,000,000</b>
<b>DEDUCTIONS:</b>					
Expenditures	(307,037)	(903,153)	(900,000)	(1,000,000)	(1,000,000)
<b>Total, Deductions</b>	<b>\$(307,037)</b>	<b>\$(903,153)</b>	<b>\$(900,000)</b>	<b>\$(1,000,000)</b>	<b>\$(1,000,000)</b>
<b>Ending Fund/Account Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**REVENUE ASSUMPTIONS:**

The Shared Technology Services – Data Center Services program strives to continue providing its customers uninterrupted accessibility to data , while securing data citizens have entrusted to our customers. DCS has enabled its customers to leverage data center infrastructure and reduce State personnel allocated to IT operations so the Customers can focus on accomplishing their core missions and business functions. In addition to enhanced security of the state’s IT infrastructure, DCS offers mainframe, server, network, data center operations, managed application services, and bulk print/mail technology and services through a hybrid cloud model that leverages customers’ on-premise facilities, two regionally diverse state data centers, and various cloud providers. DIR collects an administrative fee of 2.95% to recover its operating expenses.

**CONTACT PERSON:**

Erik Freymuth

**6.E. Estimated Revenue Collections Supporting Schedule**  
 87th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **313** Agency name: **Department of Information Resources**

<b>FUND/ACCOUNT</b>	<b>Act 2019</b>	<b>Exp 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>
<b>8143 Statewide Network Apps Acct - AR</b>					
Beginning Balance (Unencumbered):	\$0	\$3,026,257	\$3,042,265	\$2,922,501	\$3,043,312
Estimated Revenue:					
3765 Supplies/Equipment/Services	74,108,277	72,790,020	75,500,705	76,199,465	76,351,584
Subtotal: Actual/Estimated Revenue	74,108,277	72,790,020	75,500,705	76,199,465	76,351,584
<b>Total Available</b>	<b>\$74,108,277</b>	<b>\$75,816,277</b>	<b>\$78,542,970</b>	<b>\$79,121,966</b>	<b>\$79,394,896</b>
<b>DEDUCTIONS:</b>					
Expenditures	(34,043,337)	(40,699,858)	(43,373,975)	(44,203,966)	(44,089,864)
Transfer To General Revenue	(37,038,683)	(32,074,154)	(32,246,494)	(31,874,688)	(32,250,968)
<b>Total, Deductions</b>	<b>\$(71,082,020)</b>	<b>\$(72,774,012)</b>	<b>\$(75,620,469)</b>	<b>\$(76,078,654)</b>	<b>\$(76,340,832)</b>
<b>Ending Fund/Account Balance</b>	<b>\$3,026,257</b>	<b>\$3,042,265</b>	<b>\$2,922,501</b>	<b>\$3,043,312</b>	<b>\$3,054,064</b>

**REVENUE ASSUMPTIONS:**

Texas.gov, the official website of the State of Texas, provides portal and payment services for Texas state agencies and eligible local government organizations, enabling them to cost-effectively conduct online business with their customers. The program leverages enterprise-wide services and infrastructure components to provide solutions that meet or exceed state mandated requirements regarding accessibility, security, privacy, and integration with the Texas Comptroller of Public Accounts. Texas.gov provides services for more than 300 public-funded customers. These services include licenses and permits; utility, fee or fine payments; vital records; driver license renewals, driver records, vehicle registration renewals and specialty license plates; and the Texas Veterans Portal. Once DIR's operating expenses are recovered and allowable working capital balance is collected, any remaining balances are transferred to the State's general revenue fund.

**CONTACT PERSON:**

Erik Freymuth

**6.E. Estimated Revenue Collections Supporting Schedule**  
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 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **313** Agency name: **Department of Information Resources**

<b>FUND/ACCOUNT</b>	<b>Act 2019</b>	<b>Exp 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>
<b>8144 Statewide Network Apps Acct - IAC</b>					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3765 Supplies/Equipment/Services	1,458,652	3,266,603	0	0	0
Subtotal: Actual/Estimated Revenue	1,458,652	3,266,603	0	0	0
<b>Total Available</b>	<b>\$1,458,652</b>	<b>\$3,266,603</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>DEDUCTIONS:</b>					
Expenditures	(1,458,652)	(3,266,603)	0	0	0
<b>Total, Deductions</b>	<b>\$(1,458,652)</b>	<b>\$(3,266,603)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Ending Fund/Account Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**REVENUE ASSUMPTIONS:**

Rider 6 allows the Agency to increase authority and expend in support of website enhancements when they are funded directly by our agency partners. The intent of DIR is to expend IAC receipts only on agency-funded projects.

**CONTACT PERSON:**

Erik Freymuth

**6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART A - TERRORISM**

DATE: 10/10/2020

TIME: 1:11:38AM

87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **313**      Agency name: **Department of Information Resources**

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
<b>OBJECTS OF EXPENSE</b>						
1001	SALARIES AND WAGES	\$1,223,312	\$1,393,094	\$1,693,973	\$1,734,606	\$1,734,606
1002	OTHER PERSONNEL COSTS	\$24,105	\$74,286	\$30,744	\$30,744	\$30,744
2001	PROFESSIONAL FEES AND SERVICES	\$11,820,545	\$5,630,052	\$10,923,189	\$8,351,813	\$8,426,813
2003	CONSUMABLE SUPPLIES	\$0	\$482	\$500	\$500	\$500
2004	UTILITIES	\$463	\$0	\$0	\$0	\$0
2005	TRAVEL	\$17,689	\$11,455	\$11,000	\$22,000	\$22,000
2006	RENT - BUILDING	\$0	\$150	\$300	\$300	\$300
2009	OTHER OPERATING EXPENSE	\$1,061,346	\$3,853,191	\$5,529,639	\$5,569,248	\$6,656,648
5000	CAPITAL EXPENDITURES	\$6,391	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECTS OF EXPENSE</b>		<b>\$14,153,851</b>	<b>\$10,962,710</b>	<b>\$18,189,345</b>	<b>\$15,709,211</b>	<b>\$16,871,611</b>
<b>METHOD OF FINANCING</b>						
1	General Revenue Fund	\$2,029,738	\$2,478,528	\$7,170,143	\$5,302,497	\$5,302,496
	Subtotal, MOF (General Revenue Funds)	\$2,029,738	\$2,478,528	\$7,170,143	\$5,302,497	\$5,302,496
8122	DIR Clearing Fund Account - AR	\$5,318,188	\$4,932,993	\$5,745,764	\$5,127,276	\$5,239,677
8123	Telecommunications Revolving - AR	\$6,633,690	\$3,333,158	\$4,870,000	\$4,875,000	\$5,925,000
	Subtotal, MOF (Other Funds)	\$11,951,878	\$8,266,151	\$10,615,764	\$10,002,276	\$11,164,677
555	Federal Funds					
	CFDA 97.067.000, Homeland Security Grant	\$172,235	\$218,031	\$403,438	\$404,438	\$404,438
	Subtotal, MOF (Federal Funds)	\$172,235	\$218,031	\$403,438	\$404,438	\$404,438
<b>TOTAL, METHOD OF FINANCE</b>		<b>\$14,153,851</b>	<b>\$10,962,710</b>	<b>\$18,189,345</b>	<b>\$15,709,211</b>	<b>\$16,871,611</b>
<b>FULL-TIME-EQUIVALENT POSITIONS</b>		<b>11.6</b>	<b>13.5</b>	<b>15.9</b>	<b>15.9</b>	<b>15.9</b>

**6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART A - TERRORISM**

DATE: 10/10/2020  
TIME: 1:11:38AM

87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **313**      Agency name: **Department of Information Resources**

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<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
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**NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES**

**NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION**

**USE OF HOMELAND SECURITY FUNDS**

The Office of the Chief Information Security Officer (OCISO) provides statewide information security program guidance to state agencies, institutions of higher education, and other governmental entities. Led by the State of Texas Chief Information Security Officer, the team works to set state information security policies and standards, publish guidance on best practices, improve incident response preparedness, monitor and analyze incidents, coordinate security services, and promote information sharing throughout the public sector cybersecurity community. Additionally, the OCISO provides multifactor authentication services to state agencies and institutions of higher education.

Expenditures are funded from Goal 3 and include sub-recipient federal funds from the Office Of the Governor.

**6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART A - TERRORISM**

DATE: 10/10/2020

**Funds Passed through to Local Entities**

TIME: 1:11:38AM

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Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **313**      Agency name: **Department of Information Resources**

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<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
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**6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART A - TERRORISM**

DATE: 10/10/2020

**Funds Passed through to State Agencies**

TIME: 1:11:38AM

87th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **313**      Agency name: **Department of Information Resources**

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<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
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**6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART C - COVID-19 RELATED EXPENDITURES**

DATE: 10/10/2020  
TIME: 1:11:38AM

87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **313**      Agency name: **Department of Information Resources**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
<b>OBJECTS OF EXPENSE</b>						
1001	SALARIES AND WAGES	\$0	\$79,769	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$0	\$4,250	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$0	\$468,341	\$224,836	\$224,836	\$224,836
<b>TOTAL, OBJECTS OF EXPENSE</b>		<b>\$0</b>	<b>\$552,360</b>	<b>\$224,836</b>	<b>\$224,836</b>	<b>\$224,836</b>
<b>METHOD OF FINANCING</b>						
8122	DIR Clearing Fund Account - AR	\$0	\$34,539	\$0	\$0	\$0
8123	Telecommunications Revolving - AR	\$0	\$276,354	\$0	\$0	\$0
8125	Telecommunications Revolving - IAC	\$0	\$211,505	\$224,836	\$224,836	\$224,836
8126	Statewide Technology Account - IAC	\$0	\$24,418	\$0	\$0	\$0
8143	Statewide Network Apps Acct - AR	\$0	\$5,494	\$0	\$0	\$0
	Subtotal, MOF (Other Funds)	\$0	\$552,310	\$224,836	\$224,836	\$224,836
555	Federal Funds					
	CFDA 97.067.000, Homeland Security Grant	\$0	\$50	\$0	\$0	\$0
	Subtotal, MOF (Federal Funds)	\$0	\$50	\$0	\$0	\$0
<b>TOTAL, METHOD OF FINANCE</b>		<b>\$0</b>	<b>\$552,360</b>	<b>\$224,836</b>	<b>\$224,836</b>	<b>\$224,836</b>

**FULL-TIME-EQUIVALENT POSITIONS**

**NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES**

**NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION**

**USE OF HOMELAND SECURITY FUNDS**

TBD

**6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART C - COVID-19 RELATED EXPENDITURES**

DATE: 10/10/2020

**Funds Passed through to Local Entities**

TIME: 1:11:38AM

87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **313**      Agency name: **Department of Information Resources**

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<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
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**6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART C - COVID-19 RELATED EXPENDITURES**

DATE: 10/10/2020

**Funds Passed through to State Agencies**

TIME: 1:11:38AM

87th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **313**      Agency name: **Department of Information Resources**

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<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2019</b>	<b>Est 2020</b>	<b>Bud 2021</b>	<b>BL 2022</b>	<b>BL 2023</b>
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