STATE AGENCY INTERNAL AUDIT FORUM

August 3, 2018

Agenda

- Introductions

- Approval of Minutes – May 25, 2018

- Committee Updates

- Officer/Committee Chair Nominations and Vote

- Open Comments

- Adjourn

Approval of Minutes

TeamMate Transition

State Auditor's Office

Committee Updates

Peer Review

Peer Review Updates

State Agency/Higher Education Institute	Trained Peer Reviewers
Texas Department of Transportation	10
Teachers Retirement System	9
Texas Commission on Environmental Quality	9
Texas Workforce Commission	8
General Land Office	8
Department of Family and Protective Services	8
Texas Department of Insurance	7
Office of the Attorney General	6
Texas Department of Public Safety	6
Texas Comptroller of Public Accounts	5
Texas Parks and Wildlife Department	4
Department of Public Safety	3
Texas Facilities Commission	3
Health and Human Services Commission	3
Texas Water Development Board	3
Texas Department of Criminal Justice	3
Texas Department of Motor Vehicles	2
Texas Juvenile Justice Department	2
Texas A&M University System	2
Department of Information Resources	2
Texas Department of State Health Services	2
Employees Retirement System of Texas	1
Public Utilities Commission	1
Office of Court Administration	1
Office of the Governor	1
Texas Railroad Commission	1
Texas State Preservation Board	1
Grand Total	**111**

Highlights:
- 111 Trained Peer Reviewers
- 27 State Agencies participating

Next Steps:
- Work on revising the questionnaires for the Peer Review Manual
  - will send them out to the SAIAF group for comment when finished

- Retain/Recruit Peer Review committee members

- Maintain website and offer 2 Peer Review trainings, at minimum, annually

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Officer/Committee Chair Nominations and Vote

Nominees

Position	Name	Title	Organization
Chair	Tracey Hall CPA, CISA	Chief Auditor	Texas General Land Office/ Veterans Land Board
Vice Chair	Chance R. Watson CIA, CISA, CRMA	Internal Audit Director	Texas Department of Family and Protective Services
Recorder	Dale Hernandez CIA	Internal Auditor	Texas State Preservation Board
Peer Review Committee	Craig Otto CIA, CGAP, CRMA	Internal Audit Director	Texas Department of Transportation
Legislative Issues	Angelia Harris CIA	Internal Audit Director	Office of the Attorney General
Internal Audit Leadership Development	Catherine Melvin CPA, CIA, CLEA	Chief Auditor	Texas Department of Public Safety
Technology	Zoi Kondis CIA, CISA, CRMA	Director of Internal Audit	Texas Department of Agriculture

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Open Comments

Motion to Adjourn

Next Meeting Date:
Location: *TBD*

Reference Material

Legislative Issues Committee

Co-Chairs

- Cheryl Scott
- Zoi Kondis
- Darrell Carter (as available)

Objective / Deliverable

- To monitor proposed legislation that may directly or indirectly impact the Internal Audit function.
- Provide SAIAF members a monthly summary of pending legislation impacting the Internal Audit function.

Deliverable Update / Requests

- Bills Update submitted to SAIAF website
- Will continue to update bi-weekly
- Bill Analysis for next meeting

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Peer Review Committee

Chair

- Cindy Hancock, Texas Parks and Wildlife

Objective

- To provide SAIAF members with a peer review program to prepare for, conduct and report the results of a quality assessment review in accordance with Standards and guidance.

Deliverables

- Provide training to qualified, independent assessors to conduct peer reviews at member institutions.
- Update and maintain the peer review program.
- Maintain point balances and communicate them.
- Coordinate peer reviews actively.

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Information Technology Committee

Chair

- Sandra Menjivar-Suddeath, Department of Motor Vehicles

Objective

- To help state internal audit functions create, identify, and retain quality IT auditors and help mitigate risks by increasing awareness of IT risks by:
  - Improving the IT audit function
  - Increasing visibility and understanding of emerging and high IT risks areas
  - Identifying IT technologies that can help audit functions gain efficiencies

Planned Deliverables

- IT Training
- IT Annual Audit Plan Risk Methodology
- IT Auditor Development

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TeamMate Committee

Chair

- Nicole Kludt, Health and Human Services Commission

Objectives

- To provide a source of information and expertise related to the operation and maintenance of TeamMate by:
  - Staying current on new releases of TeamMate as well as any known issues
  - Reviewing TeamMate Connect for discussions from other users that may be relevant to SAIAF users
  - Serving as a resource for SAIAF members

Deliverable

- Training/Presentations

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